



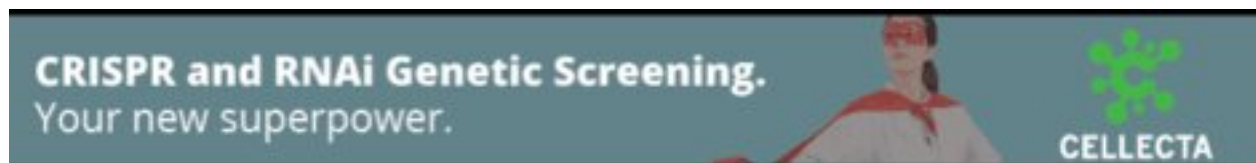
Transfer learning enhances clinical utility of polygenic scores with small, phenotypically refined cohorts

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1 **Transfer learning enhances clinical utility of polygenic scores with small,** 2 **phenotypically refined cohorts**

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46 Abstract

47 The clinical utility of PRS may be hindered by reliance on large, heterogeneous datasets for
48 generation that dilute phenotypic specificity. Meanwhile, small, well-defined clinical cohorts
49 (target) are ubiquitous but insufficient for PRS development. We propose an external-PRS
50 framework (ePRS) borrowing from the transfer learning literature that integrates genetic
51 evidence from target cohorts, incorporating continuous evidence measures and genetic
52 correlation for robust predictions. Simulation indicates superior performance of ePRS across
53 varying genetic correlations between the source and target phenotypes. ePRS refines an
54 Idiopathic Generalized Epilepsy (IGE) PRS to improve differentiation between Juvenile
55 Myoclonic Epilepsy (JME) and other IGE subtypes; and, leveraging a large attention deficit
56 hyperactivity disorder GWAS, enhances predictions for impulsivity in JME. Finally, to
57 address concerns about potential cross-platform artifacts, we trained and evaluated ePRS in
58 the Canadian Cystic Fibrosis (CF) Gene Modifier Study cohort to predict CF-Related
59 Diabetes using UK Biobank type 2 diabetes (T2D) summary statistics as the external source
60 phenotype. ePRS continues to improve prediction accuracy in this single-cohort,
61 harmonized-QC setting and offers more precise risk stratification and personalized care
62 across complex traits.

63

64 Introduction

65 One of the most promising genomic approaches for predicting complex traits to emerge from
66 large biobank-scale datasets is polygenic risk scores (PRS), a risk measure generated by

67 aggregating effects of multiple genetic variants associated with a particular disease or trait
68 (Choi et al. 2020). PRS have demonstrated their validity in informing the genetic architecture
69 of complex phenotypes (Ma and Zhou 2021) and shared genetic contributions across traits
70 (Brikell et al. 2018). Although they have the potential to augment clinical diagnosis by
71 enabling early detection and improved risk stratification for disease (Lewis and Vassos 2020),
72 they have fallen short of delivering due to a lack of evidence for clinical utility. Clinical
73 utility refers to the ability to provide actionable information to improve health outcomes,
74 distinct from clinical validity, which is the ability to predict disease status. The reliance on
75 large biobank-scale datasets for construction of PRS may be the very reason for their limited
76 utility in clinical practice. Here we explain this apparent contradiction, present a new tool to
77 circumvent this barrier, and apply it to common subtypes of epilepsy and a comorbidity of
78 Cystic Fibrosis (CF).

79 While large sample sizes enable robust estimates of genetic effect sizes, large-scale datasets
80 are often characterized by increased phenotypic heterogeneity that may include participants
81 with self-reported conditions or broad diagnostic categories that do not align perfectly with
82 more rigorously defined phenotypes used in clinical practice (Schoeler et al. 2023). This
83 could dilute the statistical precision of the estimated genetic effects and limit generalisability
84 of biobank-derived PRS to the clinic. Consider heterogeneity within Idiopathic Generalized
85 Epilepsy (IGE) or Type 2 diabetes (T2D), for which large GWAS exist (The International
86 League Against Epilepsy Consortium on Complex Epilepsies 2018; Ghatan et al. 2024) but
87 the T2D and IGE phenotype comprises distinct syndromes or pathways (viz. Juvenile
88 Myoclonic Epilepsy (JME), Juvenile Absence Epilepsy (JAE), Epilepsy with Generalised

89 Tonic-Clonic Seizures (EGTCS)) (Beniczky et al. 2025). Moreover, T2D shows some
90 distinct and some genetic overlap with other diabetes phenotypes such as cystic fibrosis-
91 related diabetes (CFRD; Blackman et al. 2013) where much smaller sample sizes are
92 available due to the rare nature of the disease. These IGE and diabetes phenotypes differ in
93 their underlying genetic architecture, treatment responses, prognosis and comorbidities
94 (Rubboli et al. 2023; Moran et al. 2010). Therefore, constructing subtype or syndrome-
95 specific PRS is critical before genetic prediction tools can be used to guide clinical care or
96 stratify individuals for clinical trials.

97 Developing a JME-specific PRS is challenging since large cohorts (biobank-scale) of deeply
98 phenotyped individuals with JME do not exist. Although deeply phenotyped clinical samples
99 for JME exist, these are of modest size due to the resources required for deep characterization
100 of the phenotype and its comorbidities (Roshandel et al. 2023). For this reason, studies have
101 opted to construct a general PRS, such as for IGE, and only test for its association with the
102 subtype of interest (e.g. JME), without establishing and improving its predictive capacity to
103 distinguish between different disease subtypes (JME vs JAE) (Heyne et al. 2024). In
104 particular, PRS developed for IGE can suffer from two distinct but related shortcomings
105 when applied to a JME-specific cohort. First, IGE-PRS is designed to differentiate
106 individuals at risk of IGE from healthy controls, but its performance may deteriorate when
107 used in individuals with JME due to variations in the genetic architecture of epilepsy
108 subtypes. These differences can also contribute to model instability if one or more syndromes
109 are over or under-represented in the training dataset. Second, IGE-PRS is not designed to
110 distinguish between different epilepsy subtypes, resulting in PRS that are too general to guide

111 personalized therapeutic decisions tailored to specific syndromes (Moreau et al. 2020). In
112 clinical trials, JME-specific PRS would also improve patient selection and phenotypic
113 homogeneity, reduce sample size and costs and increase chances of replicability.

114 Recent studies have shown that PRS can be improved by incorporating external information
115 or leveraging genetically related phenotypes (Chen et al. 2021; Mak et al. 2017; Zhao et al.
116 2022; Zhang et al. 2024; Xu et al. 2023). However, most existing approaches focus on
117 transferring PRS for the same phenotype across cohorts, or are limited in the outcome types
118 they can jointly model. Few methods focus specifically on adapting a *general* PRS – typically
119 derived from large, heterogeneous datasets - to improve external validation on well-defined
120 clinical cohorts with limited sample sizes, and none explore how effectively subtype-specific
121 PRS can distinguish between different clinical subtypes.

122 Adapting terminology from the transfer learning literature (Zhuang et al. 2021), we define
123 JME or CFRD, for example, as the target phenotypes and the JME- or CF-specific cohort as
124 the target dataset. Meanwhile, an external phenotype derived from a large biobank-scale
125 dataset, such as IGE or T2D, is referred to as the source phenotype. Here, we introduce
126 *external PRS* (ePRS), a penalization framework that integrates effect size estimates for the
127 target phenotype with statistical evidence (e.g., p-values) derived from large-scale GWAS on
128 source phenotypes as penalized weights. We aim to leverage both designs – the small, well-
129 characterized clinical sample and the large biobank-scale data with less phenotypic
130 specificity – to strengthen the predictive capacity of PRS for diagnostics, for distinguishing
131 subtypes in the clinic, and for clinical trials.

132 Our method differs from other methods in the literature in two key ways. First, ePRS
133 leverages a continuous measure of statistical evidence ($-\log_{10} pval$) instead of forcing an
134 arbitrary, binary cut-off threshold. Second, it provides a fail-safe mechanism for cases where
135 the source phenotype shares no overlapping genetic architecture with the target phenotype.
136 We use simulations to evaluate how ePRS performs as the genetic correlation between source
137 and target phenotypes varies, including settings where the source phenotype provides limited
138 or no relevant information. Finally, we apply ePRS to: (1) refine an IGE-based PRS to
139 improve its prediction of JME, enabling better distinction between JME and other epilepsy
140 subtypes; and (2) use a large-scale attention deficit hyperactivity disorder (ADHD) GWAS
141 to enhance PRS prediction for trait impulsivity in JME measured by the Barratt Impulsivity
142 Scale (BIS) – where impulsivity is a component trait of ADHD and is elevated in individuals
143 with JME (Shakeshaft et al. 2021); and 3) apply ePRS to CFRD by training and evaluating
144 entirely within the Canadian CF Gene Modifier Study (CGMS) but borrowing from a large
145 T2D GWAS, which also assuages any concerns that improvements in JME-PRS could be
146 driven by cross-cohort genotyping or QC differences.

147

148 Results

149 **Simulation Study**

150 *ePRS Improves Predictive Performance on a Deeply Phenotyped Cohort with Limited*
151 *Sample Size*

152 Here, we demonstrate that ePRS can leverage source phenotypes with overlapping genetic
153 architecture to improve predictive performance when only limited samples in the target
154 cohort are available.

155 The simulated target phenotype cohort consists of 300 unrelated individuals, each with 1,000
156 genetic variants used as predictors. GWAS summary statistics and the derived evidence
157 measure E_j are obtained from a related phenotype within a large-scale external dataset. In
158 practice, to approximate a large, well-powered external GWAS without explicitly simulating
159 tens of thousands of source samples, we treated the source phenotype as an idealized external
160 reference and did not add non-genetic variance to the simulated source phenotype
161 [Supplemental Material Section B]. Across simulations, we explicitly model partially shared
162 genetic architectures such that source and target phenotypes are generated with a shared
163 component and phenotype-specific components, rather than assuming completely distinct
164 architectures. We use the genome-wide genetic correlation r_g as a controlled knob for the
165 degree of effect-size sharing, spanning $r_g = 1$ (highly shared) to $r_g = 0$ (non-overlapping).
166 The genetic correlation between the two phenotypes ranges from 0 to 1, and model
167 performance is evaluated using R^2 [Supplemental Material Section B]. In the simulation
168 setup used for Figure 1A, the target phenotype was generated with residual noise ($\epsilon = 4$), so
169 the corresponding target-trait heritability is $h^2 = 0.714$.

170 Figure 1A compares the predictive capacity of different models, with ePRS (blue)
171 demonstrating superior performance as genetic correlation (r_g) varies from 0 to 1. Elastic net
172 regression (EN; black), which relies solely on the target phenotype cohort, maintains a

173 consistent R^2 regardless of r_g , but suffers from reduced model performance due to the
174 limited sample size. Pruning and thresholding (P+T; orange) leverages GWAS summary
175 statistics from the source phenotype and optimizes the p-value threshold within the target
176 cohort. However, this approach is overly restrictive as it misses many genetic variants that
177 are specific to the target phenotype but absent from the source phenotype. Finally, naively
178 employing external GWAS summary statistics defined on the source phenotype (Source;
179 green) performs exceptionally well when the phenotypes are highly correlated but fails
180 dramatically when the correlation is low, as expected. In addition, we also benchmarked a
181 globally weighted PRS that forms a linear combination of the target-only elastic net
182 coefficients with the source GWAS coefficients, $\hat{\beta}_{weighted} = \alpha \hat{\beta}_{EN} + (1 - \alpha) \hat{\beta}_{source}$, with
183 $\alpha \in [0,1]$ selected via nested cross-validation within the target training data. Results for this
184 weighted PRS baseline are shown in Supplemental Figure S12. As expected, weighted PRS
185 becomes increasingly competitive as genetic correlation increases; however, when genetic
186 correlation is low, tuning α in small target cohorts can be unstable, occasionally placing
187 nontrivial weight on a poorly matched source signal ($r_g \approx 0$) and yielding worse out-of-
188 sample performance than ePRS.

189 ePRS (blue) aims to balance these approaches, performing similarly to models that leverage
190 related phenotypes when genetic correlation is high ($r_g \rightarrow 1$) while incorporating a fail-safe
191 mechanism that increasingly relies on the target cohort when genetic correlation is low ($r_g \rightarrow$
192 0). As r_g decreases from 1 to 0, the source phenotype becomes only weakly correlated with
193 the target phenotype, which in turn provides less useful information for constructing a PRS

194 specific to the target cohort. This is demonstrated through the naïve approach (GWAS from
195 the source phenotype; green), where its predictive capacity measured in R^2 declines sharply
196 as r_g decreases from 1 to 0, eventually converging to 0 when the external dataset (source)
197 offers no valuable information for predicting the phenotype of interest. Conversely, ePRS
198 increasingly relies on the target cohort when genetic correlation between the two traits
199 weakens. The non-zero R^2 observed at $r_g = 0$ in Figure 1A arises from the predictive signal
200 present in the target cohort's training set – not from the source phenotype. Although the
201 predictive capacity of ePRS also declines as $r_g \rightarrow 0$ due to the limited sample size in the
202 target cohort, it converges to the performance of EN as if only the target cohort was used
203 without external data. In essence, r_g functions as a fail-safe mechanism, ensuring that
204 information from the source phenotype is disregarded when it offers no contribution to
205 constructing clinically relevant PRS for the target phenotype.

206 *ePRS Can Differentiate Between Disease Subtypes*

207 Our goal extends beyond improving model performance for clinical cohorts versus controls,
208 and we also aim to develop specific PRS that can differentiate between subtypes of a
209 heterogeneous disease. The simulated target phenotype cohort includes 400 unrelated
210 individuals, each with 1,000 genetic variants used as predictors. Each individual is assigned
211 a probability of developing the broad disease label (e.g., IGE) and, if diagnosed, is further
212 classified into one of two distinct subtypes. The simulated causal variants for both subtypes
213 are included within the set of causal variants for the general disease. This construction
214 reflects a shared genetic background for the broad disease label, with additional subtype-

215 differentiating effects (i.e., subtype-specific components acting on a shared background
216 rather than completely distinct architectures). This means that while a GWAS on the general
217 disease can capture genetic variants involved in the subtypes' genetic architecture, it cannot
218 differentiate between the subtypes. GWAS summary statistics and the derived evidence
219 measure E_j are obtained from the general disease in a large-scale external dataset. The
220 genetic correlation between the two subtypes ranges from 0 to 1, while model performance
221 for classifying the subtypes (binary) is measured using Area Under the Curve (AUC).
222 [Subtype assignment uses a liability-threshold model; Supplemental Material Section B]

223

224 Figure 1B illustrates the performance of different models in distinguishing between two
225 disease subtypes across a range of genetic correlation r_g from 0 to 1. On the x-axis, r_g
226 represents genetic correlation between the two subtypes, while the genetic correlation
227 between the target and source phenotypes (r_g defined in Figure 1A) remains fixed. ePRS
228 (blue) consistently demonstrates superior predictive performance, effectively separating the
229 subtypes at all levels of genetic correlation between the disease subtypes. Unlike in Figure
230 1A, naively using GWAS summary statistics based on the broad disease label proves
231 ineffective, yielding an AUC of 0.5 across all levels of r_g . Elastic net regression (EN; black)
232 suffers from reduced performance due to its reliance solely on the target cohort, with its
233 effectiveness further declining as r_g increases, making subtype differentiation more
234 challenging. Pruning and Thresholding (P+T; orange) relies on estimated GWAS effect sizes
235 and p-value threshold optimization from the target cohort, performing comparatively better

236 by capturing genetic variants specific to the target phenotype. However, limited sample size
237 can lead to unstable effect size estimates, and the lack of shrinkage (winner's curse) and
238 consideration for linkage disequilibrium (LD) further diminish its predictive power. ePRS
239 again seeks to balance these approaches by estimating variant effect sizes within the target
240 cohort (subtype of interest) while applying shrinkage by incorporating GWAS summary
241 statistics from the general phenotype as a form of penalization.

242 *ePRS Improves Model Stability and Delivers Consistent Performance compared to Elastic*
243 *Net (EN)*

244 Table 1 highlights model stability, measured by the standard error of R^2 across 100
245 bootstrapped samples, with and without incorporating GWAS summary statistics from
246 external datasets. ePRS consistently enhances model stability across all levels of genetic
247 correlation compared to EN, which relies solely on the target cohort without using external
248 GWAS data. While EN maintains consistent model stability regardless of r_g , ePRS
249 increasingly benefits from stronger correlation between the source and target phenotypes,
250 leading to a widening advantage in model stability. Although P+T performs more stably when
251 the source and target phenotypes are highly correlated, ePRS outperforms P+T in both
252 prediction tasks - JME risk prediction and subtype differentiation - as shown in Figures 1A
253 and 1B. ePRS also demonstrates improved stability in estimated effect sizes and predicted
254 individual risk within smaller target cohorts. Additional stability diagnostics are shown in
255 Supplemental Figures S3, S4, S5: we report bootstrap variability in predictive performance
256 (Fig. S3), stability and separation of estimated SNP effects for source-only / shared / target-

257 only predictors (Fig. S4), and stability of individual-level predicted risk across bootstrap
258 resamples (Fig. S5). Together, these show that improvements are not limited to mean AUC
259 or R^2 , but also reflect more stable coefficient estimation and risk stratification in small target
260 cohorts.

261 *ePRS Remains Robust when the Estimated Genetic Correlation is Misspecified*

262 Cross-trait LD score regression is known to be unstable with small sample sizes, resulting in
263 variability in the estimated genetic correlation (r_g). Therefore, ePRS must be sufficiently
264 robust to mis-specified r_g to generalize effectively to a target patient cohort with limited
265 sample size. To assess robustness to r_g misspecification, we vary the true genetic correlation
266 used to generate simulated data from 0 to 1 (Figure 1C; x-axis) while fitting ePRS with either
267 a fixed value of $r_g = 0.5$ (light blue dashed curve) or the true r_g (blue), with the results
268 shown in Figure 1C. The EN curve is shown as a reference because EN does not depend on
269 r_g . Mis-specifying r_g causes only a modest reduction in ePRS performance, and ePRS
270 remains robust relative to EN except when the source and target phenotypes are uncorrelated.
271 Since cross-trait LD score regression provides a standard error along with the estimated r_g ,
272 we recommend fitting ePRS multiple times with estimated genetic correlation within the
273 95% confidence interval ($\hat{r}_g \pm 1.96 * SE$) to ensure model predictions remain consistent
274 across all plausible values of r_g . When no reliable external estimate of r_g exists, it can be
275 treated as a hyperparameter and selected via nested cross-validation within the training data.
276 In the simulation setup used for Figure 1C, the target-trait heritability is likewise $h^2 = 0.7$.

277

278 **Improving PRS for clinical application in JME**

279 *JME-specific PRS from IGE GWAS*

280 Individuals of European descent diagnosed with JME from the Biology of Juvenile
281 Myoclonic Epilepsy (BIOJUME) study (n=624) were included as cases, while a randomly
282 selected sample of 3000 unrelated White British individuals without epilepsy from the
283 UKBB served as controls. The combined dataset was split into training (70%) and test (30%)
284 sets for both cases and controls. Covariates included in each analysis are listed in
285 Supplemental Table S2. All JME individuals included for this analysis were unrelated, thus
286 no relatives were split across training and test sets. Hyperparameters were selected using
287 cross-validation within the training set only, and performance was reported on the held-out
288 test set. GWAS summary statistics for IGE were obtained from a large-scale meta-analysis
289 combining twenty-four different population cohorts (The International League Against
290 Epilepsy Consortium on Complex Epilepsies 2018). JME-specific effect sizes were derived
291 after applying ePRS on the training set, and its predictive performance was subsequently
292 evaluated on the test set. Our objective is to generalize GWAS summary statistics derived
293 from IGE, the source phenotype, to JME, the target phenotype cohort.

294 Table 2 compares the model performance of various competing methods in distinguishing
295 individuals with JME from UKBB controls. The shared genetic architecture between JME
296 and IGE is evident, as IGE-PRS, which uses GWAS summary statistics from the external
297 meta-analysis for IGE, already performs well in distinguishing JME from the controls.
298 However, our proposed ePRS outperforms both IGE-PRS and Pruning and Thresholding

299 (P+T), the latter of which relies solely on the target dataset to estimate GWAS effect sizes
300 and optimize the p-value threshold for maximizing predictive power. Although elastic net
301 (EN) achieves predictive performance comparable to ePRS, it shows greater variability
302 across 50 different train-test splits derived from the target dataset (± 0.043 v. ± 0.021). ePRS
303 distinguishes itself by improving predictive capacity without compromising on model
304 stability, even when only limited sample sizes are available.

305 Table 3 compares the predictive capacity, measured by AUC, of various methods for subtype
306 differentiation, specifically aiming to accurately identify individuals with JME from those
307 with other epilepsy syndromes. IGE-PRS is not designed to differentiate between different
308 epilepsies and performs no better than random guessing (~ 0.5 AUC). The univariate P+T
309 approach achieves moderate performance but is surpassed by multivariate methods such as
310 EN and ePRS, which incorporate shrinkage effects and account for the correlation structure
311 (e.g. LD). As seen in Table 2, EN continues to demonstrate comparable performance to ePRS
312 *on average*, especially in differentiating JME from individuals with JAE; however, EN
313 exhibits greater variability across different train-test splits, leading to greater uncertainty in
314 its performance on any given dataset. ePRS continues to stand out for its enhanced predictive
315 accuracy and more consistent performance for subtype differentiation, especially when only
316 limited sample sizes are available. Positive predictive values and log odds ratios (log ORs)
317 across JME-PRS deciles, and sensitivity/specificity metrics are reported in Supplemental
318 Figures S9, S10, S11. It is important to note that while imperfect case-control matching may
319 introduce spurious associations between JME cases and UK Biobank controls, this does not

320 undermine the conclusion that ePRS provides a more refined PRS for JME and improves
321 differentiation between JME and other non-JME epilepsies.

322 *PRS for Impulsivity in JME improved from an ADHD GWAS*

323 Individuals of European descent with complete Barratt Impulsiveness Scale brief (BIS-brief)
324 score ratings were included in the analyses (n=324), which was divided into training (70%)
325 and test (30%) sets. BIS-brief assesses impulsivity with an 8-item self-report instrument
326 derived from the 30-item Barratt Impulsiveness Scale that provides a unidimensional total
327 score ranging from 8 to 32 (higher scores indicate greater trait impulsivity) (Steinberg et al.
328 2013). External GWAS summary statistics for ADHD were obtained from a large-scale case-
329 control study (n = 55,374; Demontis et al. 2019). We focus on BIS-Brief because impulsivity
330 is a clinically relevant comorbidity in JME and provides a quantitative target phenotype for
331 evaluating cross-phenotype transfer from ADHD GWAS results. BIS score-specific effect
332 sizes were derived after applying ePRS on the training set, and its predictive capacity was
333 subsequently evaluated on the test set.

334

335 Table 4 compares the predictive performance of ePRS with ADHD-PRS and Elastic Net (EN).
336 ADHD-PRS leverages GWAS summary statistics on ADHD and optimizes the p-value
337 threshold directly on the target dataset (training set only) for maximum prediction power.
338 Moreover, ePRS significantly outperforms EN while achieving comparable standard error
339 across 50 different train-test splits of the target dataset. Although ePRS demonstrates superior
340 performance compared to ADHD-PRS, using external GWAS summary statistics (ADHD-

341 PRS) performs reasonably well compared to the previous example with JME. The smaller
342 difference in prediction performance between ADHD-PRS and ePRS is likely attributable to
343 the small sample size available for training data (70% of the BIS-score dataset; n=227) and
344 R^2 being driven by a few variants with large effect sizes. Nonetheless, ePRS continues to
345 achieve improved predictions even for an ordinal measure with limited sample size.

346 **ePRS improves prediction of Cystic Fibrosis-Related Diabetes (CFRD) onset in CF** 347 **cohorts**

348 Although CF is caused by loss-of-function *CFTR* variants, individuals with the same causal
349 genotype show substantial variability in co-morbidities across the CF-affected organs that is
350 influenced by modifier genes. CFRD is one such comorbidity, and unlike the previous JME
351 examples that included cases and controls genotyped at different times on different platforms,
352 this CF application provides a within-cohort demonstration of ePRS that complements
353 simulations and shows that gains are not driven by cross-cohort genotyping or quality control
354 differences. We performed model training and evaluation entirely within the Canadian CF
355 Gene Modifier Study (CGMS; n=1,958) and restricted model fitting to the set of overlapping
356 variants with uniformly applied quality-control (QC) criteria, as described in Lin et al. (2021).
357 Since prior work by Blackman et al. (Blackman et al. 2013) has shown substantial genetic
358 overlap between CFRD and T2D, we used T2D summary statistics from the UK Biobank as
359 the external source phenotype and trained ePRS models to predict CFRD status in CGMS,
360 with strict separation of model tuning and evaluation through nested cross-validation.

361 Figure 2A shows model performance, measured by time-dependent AUC, comparing ePRS
362 and Elastic Net (EN). Error bars denote the 95% confidence interval of model performance
363 across 100 iterations of train-test splits. ePRS consistently outperformed EN by 3-4% in out-
364 of-fold prediction of CFRD onset across all ages, while also producing more stable
365 predictions as reflected by the narrower error bars. Figure 2B ranks held-out individuals by
366 predicted risk and evaluates the proportion of CFRD cases identified within the highest-risk
367 groups at age 35. ePRS captured a larger fraction of CFRD cases than EN at each evaluated
368 risk threshold. Together, the results demonstrate that ePRS not only improves time-dependent
369 discrimination but also better prioritizes individuals at elevated risk of CFRD onset within a
370 single harmonized cohort.

371

372 Discussion

373 We present ePRS, a penalized regression framework that adapts polygenic scores from
374 deeply phenotyped clinical cohorts using large, heterogeneous GWAS summary statistics of
375 correlated traits. ePRS yields measurable and statistically supported improvements in
376 clinically relevant prediction tasks. ePRS uses a continuous measure of external GWAS
377 evidence to define variant-specific penalties, while genetic correlation r_g modulates how
378 strongly external information is borrowed. This design improves prediction stability when
379 the target sample size is limited and provides a principled fail-safe mechanism when the
380 source phenotype is weakly related to the target phenotype by downweighting external
381 information and increasingly relying on the target cohort instead through r_g . Through

382 simulation, we demonstrate this fail-safe mechanism allows ePRS to converge toward the
383 target-only model when the external phenotype is weakly related or uninformative.

384 Related approaches have addressed the power-specificity trade-off in biobank settings by
385 integrating broad, shallow phenotyping with smaller sets of deeply phenotyped measures
386 within the same cohort. For example, Dahl et al. (2023) improves downstream PRS
387 performance primarily through phenotype imputation across correlated traits to increase the
388 effective sample size for a deeply phenotyped target. Our ePRS framework is complementary
389 but is designed for when the clinically refined phenotype is measured in a relatively small
390 cohort and within-biobank phenotype imputation is not feasible. ePRS then incorporates
391 external GWAS evidence via variant-specific penalization, with genetic correlation
392 providing a fail-safe mechanism when the shared genetic basis is weak. These approaches
393 may be synergistic, where improved biobank-derived phenotypes could yield more specific
394 GWAS summary statistics that serve as stronger external evidence that can be leveraged by
395 ePRS.

396 Using ePRS, we refined an IGE-based PRS into JME-specific risk scores in the BIOJUME
397 cohort, improving JME prediction and differentiation from other epilepsy subtypes relative
398 to IGE-PRS. Subtype-specific PRS may therefore complement conventional clinical features
399 for improved subtype classification and clinical trial recruitment. We emphasize that this
400 primary JME application is to demonstrate phenotypic refinement and subtype specificity for
401 diagnosis. Extending ePRS to prognosis/severity-related outcomes (e.g., age of onset) will

402 require harmonized, well-powered severity phenotypes in the target cohort and sufficiently
403 correlated external GWAS evidence for those severity-related traits.

404 ePRS also improves the predictive capacity of PRS for impulsivity in JME, measured through
405 BIS scores. Individuals with JME often exhibit increased impulsivity comparable to those
406 with personality and neurotic disorders (Shakeshaft et al. 2021). Identifying individuals with
407 impulsivity could help clinicians select the most effective treatment strategies. Moreover,
408 increased impulsivity is also linked to comorbidities including a higher risk of substance
409 abuse, suicidality and obesity (Chamorro et al. 2012). Tailored PRS for impulsivity could
410 enable preventive interventions and long-term support, improving outcomes from a younger
411 age. We do caution against relying solely on PRS for diagnosis, given its relatively low
412 explanatory power measured in R^2 . Since impulsivity is only one component of ADHD, we
413 hypothesize that ADHD-PRS, based solely on GWAS summary statistics for ADHD, could
414 only provide limited predictive accuracy for BIS scores, a more refined measure of
415 impulsivity but provides proof of concept. The ePRS methodology can easily incorporate
416 GWAS summary statistics from other phenotypes and even multiple studies of the same
417 phenotype to further improve predictive accuracy of the target phenotype. For instance, in
418 addition to IGE, incorporating GWAS summary statistics from multiple epilepsy subtypes
419 can potentially improve performance, especially when only limited data is available for the
420 target phenotype.

421 PRS applications can introduce spurious signal from differences in genotyping arrays,
422 imputation pipelines, or subtle stratification, especially when cases and controls are drawn

423 from different cohorts. To directly address this, we conducted a within-cohort application of
424 ePRS in the CGMS, predicting CFRD using T2D GWAS summary statistics from the UK
425 Biobank. In this setting, ePRS improved CFRD prediction by 3–4% in AUC over Elastic Net,
426 supporting that the gains observed in the epilepsy analyses are not spurious and explained by
427 cross-platform artifacts or QC differences (Lin et al. 2021).

428 ePRS focuses on improving prediction for a small, deeply phenotyped target cohort by
429 incorporating external GWAS evidence from related phenotypes through variant-specific
430 penalization. ePRS fits a penalized regression model directly to individual-level data in the
431 target cohort, allowing for regularization, covariate adjustment (e.g., sex, age of onset), gene-
432 by-environment interactions, and the integration of biologically informed penalty weights.
433 This is in contrast or complementary to other PRS methods with related goals. Transfer
434 learning and multi-ancestry PRS methods have been developed to improve PRS portability
435 across populations, for example TL-PRS (Zhao et al., 2022) and penalized-regression
436 ensemble approaches such as PROSPER (Zhang et al., 2024). These methods are
437 complementary to ePRS in that they primarily focus on transferring PRS for the same
438 phenotype across ancestries/cohorts.

439 PRS methods have been proposed to leverage external information to help improve
440 prediction accuracy in small clinical cohorts, including cross-trait penalized regression
441 (CTPR) (Chung et al. 2019), risk-factor PRS (R-F PRS) (Jung et al. 2024), and multi-trait
442 analysis of GWAS (MTAG) (Turley et al. 2018). However, R-F PRS requires polygenic
443 scores derived from each external phenotype or risk factor before leveraging them in an

444 elastic net regression, which does not allow for adjusting contributions from different genetic
445 variants within the same risk factor. This simplicity can pose a challenge as overlapping
446 genetic architecture between different traits may not be uniform across all genomic regions.
447 On the other hand, CTPR estimates variant effect sizes directly by modeling multiple traits
448 simultaneously, but the loss function can become non-ideal when the traits are of different
449 data types (e.g., binary vs. continuous). While MTAG improves statistical power by
450 leveraging GWAS summary statistics from genetically correlated traits, it assumes a non-
451 zero genetic correlation and does not support individual-level modeling.

452 Functional annotations could be incorporated into the ePRS by scaling variant-specific
453 penalties so that variants with stronger functional support receive less shrinkage. We did not
454 evaluate annotation-informed penalties here because our focus was on borrowing
455 information from genetically correlated external GWAS, but this extension may further
456 improve stability and prediction when the selected annotations are relevant to the target
457 biology. This approach mitigates potential bias when the genetic correlation between traits is
458 weak or absent.

459 We recognize that real genetic architectures can involve modifier effects, phenotypic
460 heterogeneity, and higher-order interactions. Our simulations adopt an additive generating
461 model (with a liability-threshold model for binary traits), consistent with the paradigm
462 commonly used in other PRS methodological studies and with the marginal-effect framework
463 of GWAS summary statistics (Ge et al. 2019; Dudbridge 2013; Privé et al. 2020). This
464 alignment helps ensure that observed performance differences are attributable to ePRS's

465 external-evidence weighting and transfer mechanism, rather than artifacts of evaluating
466 methods under mismatched generative assumptions. Extending the evaluation to explicitly
467 non-additive architectures is an important direction for future work, and ePRS can
468 accommodate interaction terms when such features are available and sufficiently powered in
469 the target cohort.

470 A few limitations for ePRS should be noted. A small target cohort may result in large
471 statistical errors when estimating effect sizes for individual variants, leading to increased
472 model instability. Moreover, penalized regression methods, such as Elastic Net, tend to
473 shrink estimated coefficients to 0 when the dimensionality (i.e., number of variants) increases
474 relative to the sample size, which could lead to an ineffective model that assigns a uniform
475 PRS to all individuals in the study. An alternative approach - leveraging variant effect sizes
476 from the external dataset while incorporating evidence measures from the target dataset –
477 may also be unsatisfactory and we recommend either searching for additional phenotypes
478 with higher genetic correlation r_g or implementing a two-stage approach to remove variants
479 with weak evidence of association in both the source and target datasets. Specifying the
480 ‘required’ target sample size is also not straightforward as it depends on the dimensionality
481 of the model (number of variants), the genetic architecture of the target phenotype, and the
482 extent of shared genetic architecture with the external source phenotype. Although train-test
483 splits reduce effective training size in very small cohorts, our simulations varying the target
484 cohort size (Supplemental Figures S6, S7) can provide guidelines for sample size and show
485 ePRS is most beneficial in precisely the small- N regime where only limited sample size is
486 available. More broadly, this instability is not specific to ePRS. In small target cohorts, any

487 method that relies on splitting data to tune hyperparameters can be volatile. This includes
488 weighted PRS where a global mixing parameter $\alpha \in [0,1]$ is selected by cross-validation to
489 combine a target-derived PRS with a source-derived PRS (Supplemental Figure S12). When
490 the genetic correlation between the source and target phenotypes is weak ($r_g \rightarrow 0$), the oracle
491 choice is essentially the target-only solution ($\alpha \approx 1$ under our parameterization; see
492 Methods). In practice, however, with limited sample sizes the cross-validated loss as a
493 function of α can be shallow and dominated by sampling noise, such that the selected α can
494 vary substantially across folds. In this regime, even a modest deviation away from $\alpha = 1$ can
495 introduce noise from a poorly matched source phenotype and yield worse model performance
496 than the target-only approach.

497 In summary, PRS from large biobank-scale data often lack alignment with clinical
498 populations, limiting their practical utility in personalized care. ePRS bridges this gap by
499 combining the strengths of biobank-scale studies and targeted clinical datasets, enhancing
500 predictive accuracy and clinical relevance.

501

502 Methods

503 **The External PRS (ePRS) Framework**

504 Let $y_{1,2,3,\dots,n}$ represent the phenotype of interest for individuals $i = 1, 2, \dots, n$ in the target
505 patient cohort and let $X_{i,j}$ denote the number of minor alleles for individual i and variant j .

506 Constructing a PRS for the target phenotype involves learning variant-specific weights, $\hat{\beta}_j$,
507 to obtain an aggregated risk score for each individual.

$$508 \quad PRS_i = \sum_{j=1}^M \hat{\beta}_j X_{ij}$$

509 However, estimating $\hat{\beta}_j$ becomes particularly challenging when the sample size is limited.
510 The goal is thus to leverage GWAS summary statistics based on external large-scale datasets
511 to develop robust variant-specific weights, improving model stability and predictive capacity
512 of the resulting PRS.

513 Assume we have access to GWAS summary statistics from a large-scale, source dataset. Let
514 $p_{1,2,\dots,M}$ be the p-values corresponding to variants 1,2, ... M . A smaller p-value indicates
515 stronger evidence of association between the variant and the source phenotype, and thus
516 ePRS should encourage the selection of such variants and impose a smaller penalty when
517 estimating their effect sizes. Therefore, we define the variant-specific penalty (E_j ; variant j)
518 as follows:

$$519 \quad E_j = \frac{1}{-\log_{10} p_j}$$

520 Because GWAS p-values are computed from association test statistics (e.g., $\hat{\beta}/SE(\hat{\beta})$), and
521 $SE(\hat{\beta})$ depends on the effective sample size, power due to sample size differences between
522 studies is already reflected in the reported p-values and therefore implicitly reflected in E_j .

523 The variant-specific penalty is inversely proportional to the negative log-transformed p-value,
 524 as illustrated in Supplemental Figure S1. An alternative concave penalty formulation is
 525 shown in Supplemental Figure S2. A genome-wide significant variant from an external
 526 GWAS would carry a small p-value (e.g., $1e-8$), resulting in a smaller penalty under the ePRS
 527 framework ($E_j = \frac{1}{8}$). Conversely, variants with moderate p-values, indicating weaker
 528 evidence of association, are assigned stronger penalties, discouraging ePRS from assigning
 529 large effect sizes and thereby reducing the variants' influence on an individual's overall
 530 genetic risk score. E_j could also be interpreted as the reciprocal of the s-value, also known
 531 as surprisal, in base 10. The use of s-values, which arise naturally from an information-
 532 theoretic perspective, provides E_j with a clear interpretation and allows for simple addition
 533 to combine evidence from multiple independent studies. For instance, if a variant j has p-
 534 values $p_{a,j}$ and $p_{b,j}$ from two independent GWAS, the combined penalty for variant j would
 535 be

$$E_j = \frac{1}{-(\log_{10}p_{a,j} + \log_{10}p_{b,j})}$$

536

537 If a variant is missing from one contributing study, we compute the combined evidence using
 538 only the studies in which it is observed (i.e., equivalent to setting $p = 1$ for the missing
 539 study). This ensures variants present in fewer studies will accrue less external evidence and
 540 thus receive stronger penalties.

541 We introduce ePRS, a modified weighted penalized regression framework that leverages a
 542 continuous evidence measure from large-scale external datasets. Variant-specific effect sizes
 543 for the target phenotype are obtained by solving the following optimization problem:

$$\hat{\beta} = \arg \min_{\beta} \frac{1}{2n} \sum_{i=1}^n (y_i - Z\gamma - \sum_{j=1}^M x_{ij}\beta_j)^2 + \lambda \left(\frac{(1-\alpha)}{2} (r_g \sum_{j=1}^M E_j \beta_j^2 + (1-r_g) \sum_j \beta_j^2) + \alpha (r_g \sum_{j=1}^M E_j \|\beta_j\|_1 + (1-r_g) \sum_j \|\beta_j\|_1) \right)$$

544

545 The loss function is analogous to a weighted elastic net penalized regression that linearly
 546 combines L^1 and L^2 penalties, with an additional parameter r_g that captures the genetic
 547 correlation between the source and target phenotypes. Specifically, r_g measures the
 548 proportion of variance the two traits share due to their overlapping genetic architectures. A
 549 genetic correlation of 0 implies non-overlapping genetic effects, while a genetic correlation
 550 of 1 indicates that all the genetic effects between the two traits are identical. We assume r_g
 551 to be constant in ePRS, and can either be obtained from estimates reported in previous studies
 552 or estimated using cross-trait LD score regression (Bulik-Sullivan et al. 2015) exclusively
 553 on the training dataset to avoid data leakage. This assumption can be relaxed by allowing
 554 region-specific (local) genetic correlation estimates. For instance, partition the genome into
 555 approximately independent LD blocks $b = 1, \dots, B$ and replace the global r_g with a local
 556 estimate $\hat{r}_{g,b(j)}$ for SNP j in block $b(j)$. In practice, local \hat{r}_g estimates may be noisy in small
 557 target cohorts and can be shrunk toward the genome-wide r_g to preserve model stability.

558 Moreover, when SNP-based r_g estimates are statistically unstable in a small, targeted cohort,
559 we recommend treating r_g as a tuning parameter and selecting it via nested cross-validation
560 on the training data. Twin/pedigree-based genetic correlation estimates, while not directly
561 equivalent to SNP-based r_g , can also be used to define a plausible range for this tuning grid.
562 We emphasize that ePRS does not require GWAS to be performed in the target cohort. Instead,
563 SNP effect sizes are estimated directly by applying the ePRS framework to the training subset
564 of the target phenotype cohort.

565 **Incorporation of covariates.** Let $Z \in \mathbb{R}^{n \times q}$ denote a matrix of non-genetic covariates
566 measured in the target cohort (e.g., sex, age, principal components, and study-specific
567 covariates), with corresponding coefficients $\gamma \in \mathbb{R}^q$. In ePRS, covariates are included as
568 unpenalized fixed effects, while genetic variant effects β are subject to penalization. This
569 ensures adjustment for known confounders (including population structure) in the same
570 manner as standard PRS association models and penalized regression frameworks.
571 Covariates used in each analysis are listed in Supplemental Table S2.

572 A detailed description of the ePRS framework is provided below:

Algorithm 1 External Polygenic Risk Score (ePRS) Framework**Input:**

- $\mathbf{X} \in \mathbb{R}^{n \times p}$: Genotype matrix for the target phenotype cohort (with n individuals and p SNPs)
- $\mathbf{y} \in \mathbb{R}^n$: Phenotype vector for the target cohort
- $p \in \mathbb{R}^p$: External GWAS summary statistics (p-values) for the source phenotype
- λ, α : Regularization parameters

Output:

- Estimated SNP weights $\hat{\beta} \in \mathbb{R}^p$
- Polygenic risk scores $ePRS_i = \mathbf{x}_i^\top \hat{\beta}$ for individuals $i = 1, \dots, n$

Steps:1. **Split into training and test sets**

- Partition the target cohort into disjoint training and test sets: $(\mathbf{X}^{\text{train}}, \mathbf{y}^{\text{train}})$ and $(\mathbf{X}^{\text{test}}, \mathbf{y}^{\text{test}})$

2. **Estimate Genetic Correlation r_g between source and target phenotypes**

- Estimated in the training set only, or taken directly from other studies.
- Can be estimated via LD Score Regression

3. **Define SNP-specific penalties from external GWAS statistics**

- For each SNP $j = 1, \dots, p$, define penalty as:

$$E_j = \frac{1}{-\log_{10} p_j}$$

- Optional: Penalties can be derived by combining multiple independent GWAS of the same phenotype:

$$E_j = \frac{1}{-(\log_{10} p_{a,j} + \log_{10} p_{b,j})}$$

4. **Model fitting via weighted elastic net**

- Solve the weighted elastic net optimization problem on the training set:

$$\hat{\beta} = \arg \min_{\beta} \frac{1}{2n} \sum_{i=1}^n \left(y_i - \sum_{j=1}^M x_{ij} \beta_j \right)^2 + \lambda \left((1 - \alpha) \left(r_g \sum_{j=1}^M E_j \beta_j^2 + (1 - r_g) \sum_j \beta_j^2 \right) + \alpha \left(r_g \sum_{j=1}^M E_j \|\beta_j\|_1 + (1 - r_g) \sum_j \|\beta_j\|_1 \right) \right) \quad (1)$$

- Tune (λ, α) via cross-validation in the training set

5. **Risk scoring and evaluation**

- For each individual i in the test set, compute:

$$ePRS_i = \mathbf{x}_i^\top \hat{\beta}$$

- Evaluate predictive performance using metrics such as AUC or R^2

573

574 Algorithm 1: A detailed description of the ePRS framework, which integrates external GWAS

575 summary statistics with individual-level data from the target cohort. To avoid data leakage and ensure

576 unbiased evaluation, the target cohort is split into independent training and test sets. Genetic

577 correlation (r_g) between source and target phenotypes is estimated using only the training set and578 controls the relative influence of external variant-specific penalties (E_j) in a weighted penalized579 regression framework. When $r_g = 1$, the external information is fully leveraged, whereas $r_g =$

580 0 yields a conventional penalized regression model that relies solely on the target data.

581 Leveraging genetic correlation instead of Pearson's correlation between the source and target
582 phenotypes offers two advantages. First, genetic correlation applies equally to quantitative
583 traits and binary traits on a liability scale, allowing for the straightforward estimation of r_g
584 between case-control studies and those with continuous outcomes – something not possible
585 with Pearson's correlation. Second, Pearson's correlation between the two traits can be
586 arbitrarily weakened by adding random variations to the measured traits, even when the
587 shared genetic architecture remains unchanged. For instance, introducing measurement error
588 in the phenotype of interest Y will reduce Pearson's correlation, causing ePRS to borrow less
589 information from the source phenotype despite that their shared genetic influences remain
590 intact.

591 Consider the scenario where the source phenotype is completely irrelevant for predicting the
592 target phenotype. In such cases, incorporating variant-specific penalty E_j from the source
593 dataset is unnecessary and may introduce unwanted noise, potentially degrading model
594 performance. By extending the loss function to include genetic correlation r_g , we introduce
595 a fail-safe mechanism when the source and target phenotypes have non-overlapping genetic
596 effects. When $r_g \rightarrow 1$, the source and target phenotypes are perfectly correlated and share the
597 same underlying genetic etiology, allowing ePRS to converge to a weighted elastic net model
598 that fully leverages external information from the large-scale source dataset. In contrast,
599 when $r_g \rightarrow 0$, the phenotypes become uncorrelated, and no useful information could be
600 gained from incorporating the external dataset. In this scenario, the proposed ePRS

601 framework simplifies to a conventional elastic net regression using only data from the target
602 phenotype cohort.

603 We emphasize that ePRS is not intended to identify unknown clusters within a complex
604 phenotype, a goal typically addressed by clustering methods such as PCA, DBSCAN, and
605 graph-based clustering networks (Patterson et al. 2006; Ester et al. 1996; Tsitsulin et al. 2020).
606 Instead, ePRS focuses on constructing specific polygenic scores tailored to the target
607 phenotype, even with limited sample sizes available. The ePRS framework utilizes iterative
608 gradient descent to estimate model coefficients for the target cohort $\hat{\beta}_j$ until convergence. To
609 optimize the hyperparameters λ and α , the target cohort is split into training and validation
610 sets, which can exacerbate model instability especially when sample sizes are small. In
611 practice, this tuning is performed within the training set (nested cross-validation), while the
612 held-out test set is used only for performance evaluation. To overcome this challenge, we
613 propose a two-stage approach to screen out genetic variants with weak evidence of
614 association (e.g., $p > 0.3$) in both the target phenotype cohort (training set only) and the
615 large-scale external cohort before applying ePRS. The two-stage approach is particularly
616 attractive when the target phenotype is a subtype of the source phenotype defined on the
617 large-scale heterogeneous cohort.

618

619 **Combining Multiple External Phenotypes**

620 The ePRS framework allows users to easily incorporate multiple studies of the same external
621 phenotype or from multiple phenotypes. E_j allows for simple addition to combine evidence

622 from multiple independent studies of the same phenotype. For instance, if a variant j has p-
 623 values $p_{a,j}$ and $p_{b,j}$ from two independent GWAS, the combined penalty for variant j would
 624 be

$$E_j = \frac{1}{-(\log_{10}p_{a,j} + \log_{10}p_{b,j})}$$

625

626 If two external GWAS have overlapping individuals resulting in correlated p-values between
 627 the two studies, summation in the denominator can be easily replaced by Cauchy
 628 combination instead (Liu and Xie 2020).

629

630 To account for multiple external phenotypes within the ePRS framework, a separate estimate
 631 of cross-trait genetic correlation is to be derived for each phenotype – $r_{g,k}$ for external
 632 phenotypes $k = 1,2,3$, etc. Penalty assigned to each variant would thus be a weighted linear
 633 combination of different $r_{g,k}$ and E_j , and a separate elastic net penalty term would be added
 634 for each additional external phenotype.

$$\hat{\beta} = \arg \min_{\beta} \frac{1}{2n} \sum_{i=1}^n (y_i - \sum_{j=1}^M x_{ij} \beta_j)^2 +$$

$$\lambda \left(\frac{(1-\alpha)}{2} \left(\sum_k \sum_{j=1}^M r_{g,k} E_j \beta_j^2 + \sum_k \sum_j (1-r_{g,k}) \beta_j^2 \right) + \alpha \left(\sum_k \sum_{j=1}^M r_{g,k} E_j \|\beta_j\|_1 + \sum_k \sum_j (1-r_{g,k}) \|\beta_j\|_1 \right) \right)$$

635

636 **Baseline PRS Methods Used in Simulation**

637 Comparator PRS methods used for benchmarking (P+T, source-only PRS, elastic net, and
638 weighted PRS) are described in Supplemental Material Section K.

639

640 **Phenotype Definition**

641 Barratt Impulsivity Scale (BIS)

642 BIS scores used to measure self-rating of impulsivity in the study were collected through
643 BIS-brief, which is a shorter version of conventional BIS scores (Steinberg et al. 2013). The
644 current version of BIS scores includes 30 questions on 11 items measuring three theoretical
645 sub traits: attentional, motor and non-planning impulsiveness. In contrast, BIS-brief is a
646 unidimensional scale including 8 of the original 11 items generating a total score from 8 to
647 32. Moreover, BIS-brief demonstrated comparable construct validity as observed in
648 conventional BIS scores. It has been shown that using BIS-brief in large epidemiological
649 studies of psychiatric disorders reduces the burden on respondents without the loss of
650 information (Steinberg et al. 2013).

651

652 **External GWAS Summary Statistics on IGE from ILAE**

653 GWAS summary statistics for Idiopathic Generalized Epilepsy (IGE) were obtained from the
654 2018 ILAE Consortium meta-analysis, which combined data from 24 population cohorts and
655 included 15,212 IGE cases and 29,677 controls. Importantly, there is no sample overlap

656 between the 2018 ILAE study and the BIOJUME Consortium cohort used in this study,
657 which ensures that all external GWAS statistics used in ePRS are fully independent of the
658 target dataset, mitigating concerns about data leakage or inflated predictive performance. To
659 further support the robustness of our findings, we provide a simulation in Supplemental
660 Figure S8 showing that the results are not materially affected by the presence or absence of
661 sample overlap.

662

663 **Human Participants**

664 Clinical and genetic data were collected from the Biology of Juvenile Myoclonic Epilepsy
665 (BIOJUME) consortium study (n=864) (Shakeshaft et al. 2022), the Rolandic Epilepsy
666 Genome-wide Association International Study (REGAIN; n=852 [https://ichgcp.net/clinical-](https://ichgcp.net/clinical-trials-registry/NCT03547050)
667 [trials-registry/NCT03547050](https://ichgcp.net/clinical-trials-registry/NCT03547050)), and from other studies of Electrical Status Epilepticus in
668 Slow-Wave Sleep (ESES) and IGEs (Lemke et al. 2013). We obtained informed consent from
669 all participants and ethical approval from the UK Health Research Authority: South Central
670 Oxford C Research Ethics Committee (16/SC/0266); London Bridge Research Ethics
671 Committee 18/LO/0207 and all other collaborating sites. The SickKids Research Ethics
672 Committee of The Hospital for Sick Children (1000033784) gave ethical approval for this
673 work.

674

675 **Study datasets and rationale for real-data applications**

676 To evaluate ePRS in clinically realistic settings, we applied the framework to three target
677 phenotypes using complementary data sources. Each application was selected to emphasize
678 a distinct methodological contribution of ePRS in the context of small, deeply phenotyped
679 cohorts: 1) **phenotype refinement and subtype specificity** when the external GWAS is
680 broader than the target phenotype of interest; 2) **cross-phenotype transfer** when the external
681 GWAS is on a related (but non-identical) phenotype and the target outcome is a refined trait
682 measure; and 3) **robustness to cross-cohort genotyping** through a design that trains and
683 evaluates within a single harmonized cohort. A summary of sample sizes, ancestry, and
684 genotyping platforms is provided in Table 5.

685 **Application 1: IGE → JME (BIOJUME cases + UK Biobank controls)**

686 This analysis tests whether ePRS can adapt a broad, biobank/meta-analysis-derived genetic
687 signal (IGE) to produce a JME-tailored PRS that improves prediction and stability in a small,
688 clinically ascertained cohort, including improved differentiation of JME from other epilepsy
689 subtypes (i.e., increased phenotypic specificity).

690 **Application 2: ADHD → impulsivity in JME**

691 This analysis serves as a proof-of-concept for cross-phenotype transfer: leveraging a large
692 external ADHD GWAS to improve prediction of a refined component trait (impulsivity)
693 measured directly in the target cohort. It highlights that ePRS can borrow external evidence
694 even when the source phenotype is related but not identical to the target trait, and when the
695 target outcome is measured on a quantitative scale.

696

697 Application 3: T2D → CFRD (within Canadian CF Gene Modifier Study; CGMS)

698 This analysis is designed to address concerns about cross-cohort confounding (e.g.,
699 genotyping platform or QC differences between cases/controls). Model fitting and evaluation
700 are performed entirely within a single harmonized cohort (CGMS), while using an external
701 GWAS (UK Biobank T2D) only to define variant-specific evidence weights. This isolates
702 the methodological benefit of ePRS (borrowing stable external signal to improve prediction
703 in modest samples) from potential cross-platform artifacts.

704

705 Juvenile Myoclonic Epilepsy (JME) and Other Epilepsy Subtypes

706 Individuals of European descent (defined as within 6 standard deviations from the 1000
707 Genomes European cluster in a PCA analysis) diagnosed with JME from the BIOJUME
708 study (n=624) were used for all subsequent PRS analyses (Roshandel et al. 2023). Individuals
709 with other epilepsy subtypes include Childhood Absence Epilepsy (CAE; n=88), Electrical
710 Status Epilepticus in Sleep (ESES; n=73), Juvenile Absence Epilepsy (JAE, n=30), and
711 Rolandic Epilepsy (RE; n=143) (Panjwani et al. 2016). Sex distributions across the JME and
712 other epilepsy subtypes are provided in Supplemental Table S1. JME, CAE, and JAE are
713 considered subtypes of IGE, while RE is an idiopathic focal epilepsy, and ESES is considered
714 a Developmental and/or Epileptic Encephalopathy (Beniczky et al. 2025). Moreover, we
715 randomly sampled 3000 unrelated individuals of European descent from the UK Biobank
716 (UKBB; Bycroft et al. 2018) to serve as controls. Principal Component Analysis was

717 conducted on the combined dataset, and 10 PCs were used as adjustments during the
718 implementation of GWAS and all predictive algorithms in the target phenotype cohort.

719

720 **Population structure control in cross-cohort analyses.**

721 To account for fine-scale structure when cases and controls are drawn from different cohorts,
722 we performed PCA on the merged BIOJUME–UK Biobank dataset. Individuals were
723 restricted to European ancestry based on proximity to the 1000 Genomes European cluster
724 (within 6 SD). The top 10 PCs from the merged PCA were included as covariates in the
725 GWAS and in all predictive modeling to adjust for within-European structure across
726 recruitment sources. Because BIOJUME and UK Biobank were genotyped on different
727 arrays (Illumina Omni 2.5 vs Affymetrix Axiom), all modeling is performed after
728 harmonized QC. Moreover, we performed sensitivity analysis by repeating the primary case–
729 control modeling with additional PCs (20 PCs) and observed consistent results.

730 **Barratt Impulsivity Scale (BIS) Data**

731 A total of 381 individuals with JME who passed phenotype QC with complete BIS-score
732 ratings were included. Four individuals who failed genotyping QC and one additional
733 individual were removed due to cryptic relatedness. We identified 329 individuals from the
734 remaining cohort as being of European ancestry (within 6 standard deviations from the 1000
735 Genomes European cluster (Gong et al. 2019) in a principal component analysis) and
736 removed five additional patients with missing information on seizure frequency. A total of

737 324 individuals were used for all subsequent PRS analyses for BIS scores. Further details on
738 genotyping quality control and imputation are provided in Roshandel et al. 2023.

739 **UK Biobank Participants (UKBB)**

740 UKBB is a large-scale biomedical database with over 500,000 individuals from across the
741 United Kingdom. We randomly sampled 3,000 unrelated White British individuals without
742 epilepsy to serve as controls for individuals with Idiopathic Generalized Epilepsy (IGE).
743 Individuals with epilepsy reported under “first occurrences” (Category 1712), which
744 incorporates primary care data, ICD-10 codes, and self-reported outcomes, were removed
745 from the healthy controls. Only variants with $MAF > 0.01$ are included in the analyses while
746 genotyping and quality control are described in detail here (UKBB Study 40946).

747

748 **Canadian CF Gene Modifier Study (CGMS)**

749 The Canadian CF Gene Modifier Study (CGMS) is a nationwide collaborative initiative
750 aimed at identifying genetic variants outside the primary *CFTR* gene that influence the
751 severity and progression of CF-related organ damage. We included 1,958 individuals from
752 CGMS who have *CFTR* variants associated with pancreatic insufficiency (PI) or have
753 a *CFTR* genotype carried by individuals diagnosed with CFRD in the CGMS. Specifically,
754 CFRD was observed in CGMS participants who had a PI pathogenic variant in combination
755 with one of the following “mild” *CFTR* alleles: 2789+5G>A, A455E, G85E, and IVS8(5T).
756 To ensure representation of these genotypes, we included ten individuals without a CFRD

757 diagnosis but carrying the same *CFTR* genotypes. Detailed descriptions of phenotyping and
758 genotyping criteria have been reported previously (Lin et al. 2021).

759 Table 5 summarizes the Human Participants section and describes its sample size, ancestry,
760 genotyping platform, and the corresponding phenotypes.

761

762 **Genotyping Quality Control**

763 BIOJUME participants were genotyped using the Illumina Omni 2.5 array while the UK
764 Biobank participants were genotyped using the Axiom Array by Affymetrix. Individuals with
765 RE and ESES were genotyped using the Illumina Omni 2.5 array while CAE/JAE individuals
766 were genotyped using the Human OmniExpress BeadChip. Individuals in CGMS were
767 genotyped using the Illumina 610 Quad, 660W, and the Omni 2.5 array, but only 3,984
768 overlapping variants that were annotated to genes previously identified as CF modifiers were
769 included in the analysis (Lin et al. 2021). Quality control (QC) was performed using PLINK
770 v.190b6.18. Individuals and variants with call rates below 90%, samples with sex mismatches
771 and/or high heterozygosity, males with heterozygous calls for X Chromosome markers, and
772 females with non-missing calls for markers on the Y chromosome were removed. Unrelated
773 individuals were obtained using KING v.2.2.4 –unrelated option. Moreover, we further
774 removed ambiguous SNPs, indels, monomorphic variants, duplicated variants, and all
775 variants with minor allele frequency (MAF) <1%. Details of individual-level QC in CGMS
776 have been reported previously (Lin et al. 2021).

777

778 **Datasets**

779 UK Biobank genotype data are available to eligible researchers. Applications are submitted
780 via the UK Biobank Access Management System (<https://ams.ukbiobank.ac.uk>) following
781 UK Biobank guidance (<https://www.ukbiobank.ac.uk/use-our-data/apply-for-access/>). After
782 an application is approved, data are made available primarily via the UK Biobank Research
783 Analysis Platform (UKB-RAP; <https://ukbiobank.dnanexus.com>), with limited exemptions
784 for temporary downloads in specific circumstances.

785 BIOJUME Consortium data supporting the findings of this study are available to qualified
786 researchers upon request, subject to consortium approval and applicable ethics/privacy
787 restrictions. Access instructions for researchers can be found at
788 <https://childhoodepilepsy.org/research-studies/biojume/>.

789 CF genotype data are available by application to the CF Canada National Data Registry for
790 accessing confidential clinical data as previously described (Lin et al. 2021). Application
791 form can be found at <https://cysticfibrosis.ca/for-researchers>.

792

793 **Code Availability**

794 The R implementation of ePRS and simulation code are provided as Supplemental Code S1.
795 The public GitHub repository containing the same code with full documentation can be found
796 at <https://github.com/strug-hub/ePRS>.

797

798 Competing Interest Statement

799 The authors declare no competing interests.

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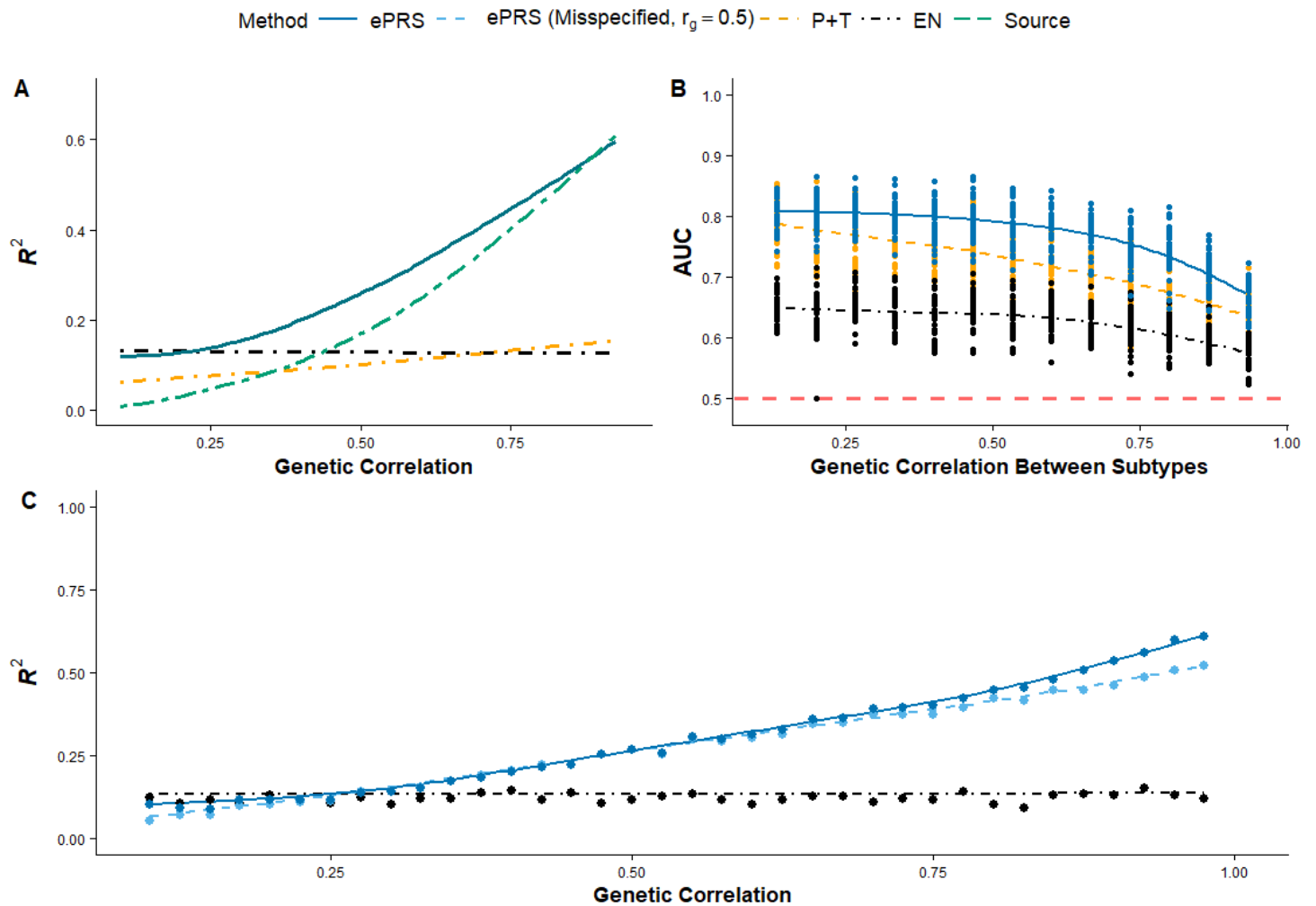
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810 **Figures**

811

812 **Figure 1: Simulation benchmarks of ePRS.**

813 Colours and line styles denote model type throughout the figure: blue, ePRS; orange, pruning
 814 and thresholding (P+T); black, elastic net (EN); and green, PRS derived from source GWAS
 815 summary statistics. Lines show smoothed mean performance across values of r_g , while
 816 points indicate the values of r_g at which performance was evaluated. In panel B, the red

817 dashed horizontal line indicates random classification performance ($AUC = 0.5$). In panel C,
818 the darker blue line indicates ePRS fitted using the true genetic correlation, while light blue
819 indicates ePRS fitted using a fixed, mis-specified genetic correlation.

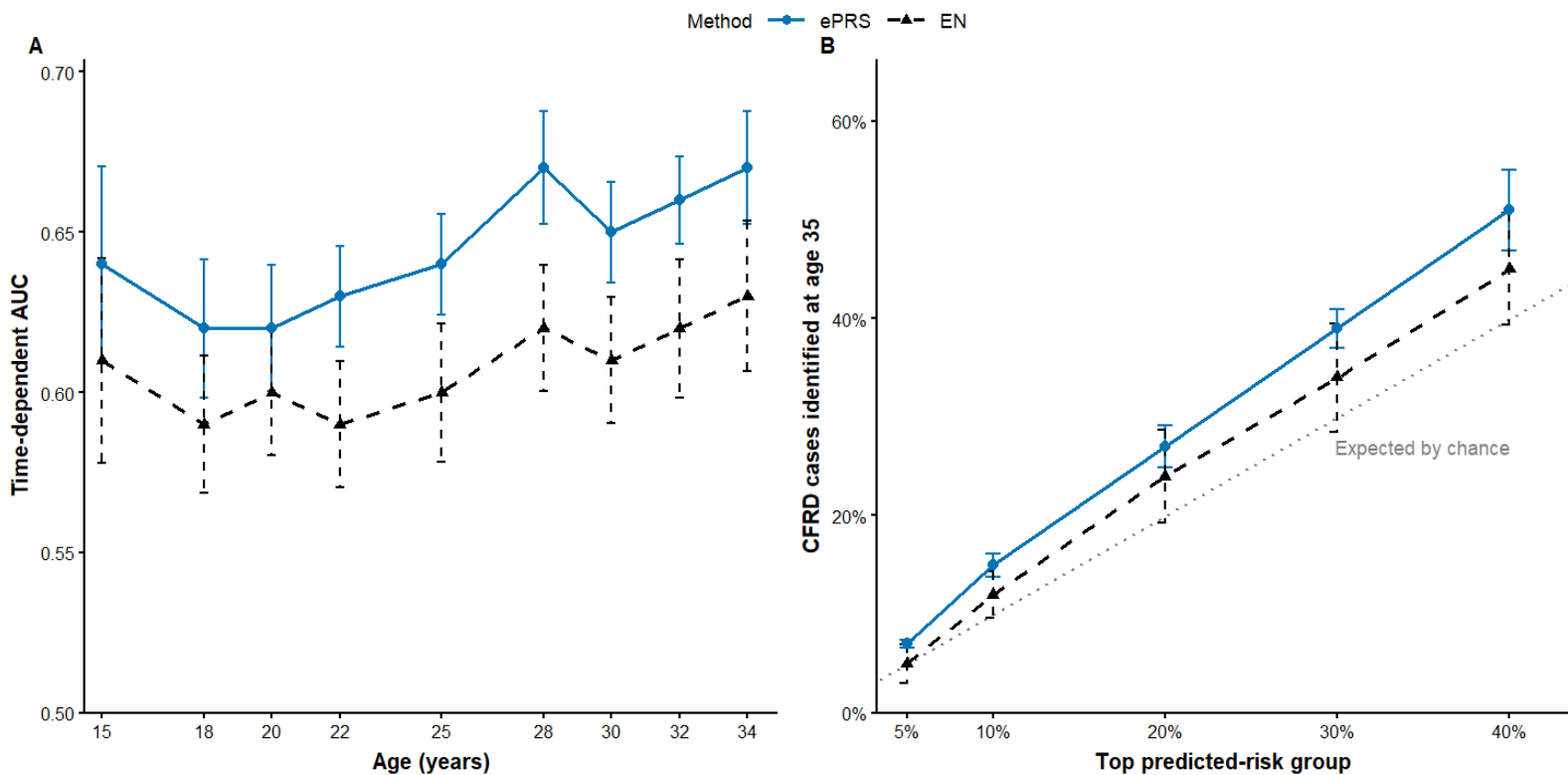
820 **A):** ePRS demonstrates superior predictive performance against pruning and thresholding
821 (P+T), elastic net using the target phenotype cohort (EN) and external GWAS summary
822 statistics defined on the source phenotype (Source). The simulated heritability of the target
823 phenotype is $h^2 \approx 0.7$.

824 **B):** ePRS demonstrates stronger predictive capacity in differentiating between disease
825 subtypes compared to conventional approaches including pruning and thresholding (P+T)
826 and elastic net regression (EN). Using GWAS summary statistics from the general phenotype
827 would fail to distinguish between different subtypes, resulting in an AUC of 0.5 which is
828 equivalent to random guessing (red dashed line).

829 **C):** Robustness of ePRS to misspecification of the genetic correlation r_g . The x-axis shows
830 the true genetic correlation used to generate simulated data, while ePRS is fit using either a
831 fixed $r_g = 0.5$ (light blue dashed curve) or the true r_g (blue). EN is used as a reference
832 because EN does not utilize r_g . Misspecification leads to only modest attenuation in ePRS
833 performance (blue/light blue remain close over the x-axis), and ePRS remains above EN
834 except when the source and target phenotypes are uncorrelated. The simulated heritability of
835 the target phenotype is $h^2 \approx 0.7$.

836 **Abbreviations:** AUC, area under the curve; EN, elastic net; GWAS, genome-wide
837 association study; P+T, pruning and thresholding; h^2 , heritability; r_g , genetic correlation.

838



839 **Figure 2: ePRS improves within-cohort prediction and the identification of high-risk**
 840 **individuals for CFRD onset in CGMS.**

841 **A):** Model performance measured in time-dependent AUC between ePRS and elastic Net
 842 (EN) in CGMS. Error bars represent the 95% confidence interval of model performance from
 843 100 iterations of train-test splits. ePRS consistently outperformed EN by 3-4% in out-of-fold
 844 performance when predicting CFRD onset at all ages and simultaneously achieved more
 845 stable predictions compared to EN.

846 **B):** Figure 2B ranks held-out individuals by predicted risk and evaluates the proportion of
 847 CFRD cases at age 35 identified within the highest-risk groups. ePRS captured a larger

848 fraction of CFRD cases than EN at each evaluated risk threshold. For example, the top 20%
 849 of individuals ranked by ePRS captured ~30% of CFRD cases, exceeding both EN and the
 850 expected proportion by chance. ePRS not only improves time-dependent discrimination but
 851 also better prioritizes individuals at elevated risk of CFRD within a single harmonized cohort.

852 **Abbreviations:** AUC, area under the curve; CFRD, cystic fibrosis-related diabetes; CGMS,
 853 Canadian Cystic Fibrosis Gene Modifier Study; EN, elastic net.

854

855 Tables

r_g between Source/Target Phenotypes	Standard Error of Model Performance Across 100 Bootstrapped Samples		
	ePRS	EN	P+T
0.1	9.7%	12.5%	11.2%
0.3	8.5%	11.1%	10.3%
0.5	8.3%	11.2%	8.8%
0.7	7.3%	10.5%	7.1%
0.9	6.0%	10.9%	5.1%

856

857

858 Table 1: **ePRS improves model stability.** Across all levels of genetic correlation, ePRS
 859 shows smaller standard errors compared to Elastic Net (EN), which does not leverage GWAS
 860 summary statistics defined on external sources. r_g represents the genetic correlation between
 861 the source and target phenotypes, while model instability is measured by the standard error
 862 of R^2 across 100 bootstrapped samples. **The most stable model for each r_g is shown in**
 863 **bold.** Although P+T demonstrates greater stability when the source and target phenotypes

864 are highly correlated, ePRS outperforms P+T in both prediction tasks: JME risk prediction
 865 and subtype differentiation, as shown in Figures 1A and 1B.

866 **Abbreviations:** EN, elastic net; GWAS, genome-wide association study; JME, juvenile
 867 myoclonic epilepsy; P+T, pruning and thresholding; r_g , genetic correlation; R^2 , coefficient
 868 of determination.

869

870

Performance Metric	JME v. UKBB Controls (AUC)
IGE-PRS	0.74
Pruning and Thresholding	0.78
Elastic Net	0.848 ± 0.043
ePRS	0.874 ± 0.021

871

872 Table 2: Model performance (AUC) in distinguishing JME individuals from UKBB controls.

873 ePRS significantly outperforms both IGE-PRS and Pruning and Thresholding (P+T) and
 874 demonstrates greater stability in model performance across 50 different train-test splits
 875 derived from the original target cohort (JME individuals and UKBB controls).

876 **Abbreviations:** AUC, area under the curve; IGE, idiopathic generalized epilepsy; JME,
 877 juvenile myoclonic epilepsy; P+T, pruning and thresholding; UKBB, UK Biobank.

Performance Metric	JME v. Non-IGE Epilepsies (AUC)		JME v. IGE Epilepsies (AUC)	
	RE	ESES	CAE	JAE
IGE-PRS	0.51 ± 0.02	0.48 ± 0.02	0.50 ± 0.03	0.52 ± 0.02
Pruning & Thresholding	0.60 ± 0.03	0.50 ± 0.03	0.61 ± 0.03	0.61 ± 0.04
Elastic Net	0.60 ± 0.04	0.62 ± 0.04	0.62 ± 0.03	0.71 ± 0.04
ePRS	0.65 ± 0.02	0.66 ± 0.03	0.65 ± 0.03	0.69 ± 0.02

878

879 Table 3: Model performance (AUC) in distinguishing between JME and the other epilepsy
880 subtypes. IGE-PRS performs no better than random guessing as expected, while ePRS
881 significantly outperforms both IGE-PRS and P+T. Although Elastic net (EN) demonstrates
882 comparable predictive performance with ePRS on average, it exhibits greater variability
883 across different train-test splits, leading to greater uncertainty in its performance on any given
884 dataset. Epilepsy subtypes listed include Rolandic Epilepsy (RE), Electrical Status
885 Epilepticus in Sleep (ESES), Childhood Absence Epilepsy (CAE), and Juvenile Absence
886 Epilepsy (JAE).

887 **Abbreviations:** CAE, childhood absence epilepsy; ESES, electrical status epilepticus in
888 sleep; IGE, idiopathic generalized epilepsy; JAE, juvenile absence epilepsy; JME, juvenile
889 myoclonic epilepsy; RE, Rolandic epilepsy.

Performance Metric	BIS Scores (R^2)
ADHD-PRS	14.8%
Elastic Net	6.5% ± 2.1%
ePRS	17.8% ± 2.3%

890

891 Table 4: Model performance in predicting BIS Scores. ePRS significantly outperforms elastic
 892 net while achieving comparable standard error across 50 different train-test splits of the target
 893 dataset. R^2 represents the proportion of variance in BIS scores explained by the various
 894 polygenic scores. ADHD-PRS performs comparably well, potentially due to R^2 being driven
 895 by a few variants with large effect sizes.

896 **Abbreviations:** ADHD, attention-deficit/hyperactivity disorder; BIS, Barratt Impulsiveness
 897 Scale

898

Sample Source	N	Genotyping Platform	Ancestry	Phenotypes / Notes
BIOJUME (Shakeshaft et al. 2022) JME Individuals	624	Illumina Omni 2.5	European	EUR ethnicity defined as within 6 SD of 1KG EUR (PCA)
Panjwani et al. 2016	259	Illumina Omni 2.5	European	Includes ESES (N=73), RE (n=143), MAE (n=43)
Panjwani et al. 2016	118	Human OmniExpress BeadChip	European	Includes CAE (n=88), JAE (n=30)
BIOJUME BIS Score Substudy	324	Illumina Omni 2.5	European	JME individuals with complete Barratt Impulsivity Scale (BIS) scores and seizure data
UK Biobank (UKBB)	3,000	Axiom Array	White British	Random unrelated controls, filtered to exclude individuals with epilepsy
Canadian Gene Modifier Study (CGMS)	1,958	Illumina 610 Quad / 660W / Omni 2.5	European	Cystic Fibrosis-Related Diabetes (CFRD)

899

900 Table 5: Human participants and genotyping data included in the study.

901 **Abbreviations:** 1KG, 1000 Genomes Project; BIOJUME, Biology of Juvenile Myoclonic
 902 Epilepsy; BIS, Barratt Impulsiveness Scale; CAE, childhood absence epilepsy; CFRD, cystic
 903 fibrosis-related diabetes; CGMS, Canadian Cystic Fibrosis Gene Modifier Study; ESES,
 904 electrical status epilepticus in sleep; EUR, European; JAE, juvenile absence epilepsy; JME,
 905 juvenile myoclonic epilepsy; MAE, myoclonic-atonic epilepsy; PCA, principal component
 906 analysis; RE, Rolandic epilepsy; SD, standard deviation; UKBB, UK Biobank.

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918 YuChung Lin led and performed the statistical analyses. Lisa Strug provided supervision.
919 YuChung Lin and Lisa Strug drafted the manuscript. All other authors reviewed the
920 manuscript for clarity and provided editorial feedback. All authors read and approved the
921 final version.

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