



## Interspecies regulatory landscapes and elements revealed by novel joint systematic integration of human and mouse blood cell epigenomes

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The morphology and functions of different cell types are determined by the expression of distinctive sets of genes in each. This differential gene expression is regulated by the interplay of transcription factors (TFs) binding to *cis*-regulatory elements (CREs) in the genomic DNA, such as promoters and enhancers, forging interactions among the CREs and components of transcriptional apparatus and ultimately leading to patterns of gene activation and repression characteristic of each cell type (Maston et al. 2006; Hamamoto and Fukaya 2022). Epigenetic features such as accessibility of DNA and modifications of histone tails in chromatin have pronounced impacts on the ability of TFs to bind to CREs, and furthermore, they serve as a molecular memory of transcription and repression (Strahl and Allis 2000; Ringrose and Paro 2004). Frequently co-occurring sets of chromatin features define epigenetic states, which are associated with gene regulation and expression (Ernst and Kellis 2010; Hoffman et al. 2013; Zhang et al. 2016). Genome-wide assignment of DNA intervals to epigenetic states (annotation) provides a view of the regulatory landscape that can be compared across cell types, which in turn leads to insights into the processes regulating gene expression (Libbrecht et al. 2021).

Comprehensive mapping of CREs within the context of the regulatory landscape in different cell types is needed to achieve a broad understanding of differential gene expression. Maps of candidate CREs (cCREs) provide guidance in understanding how changes in cCREs, including single-nucleotide variants and indels, can lead to altered expression (Hardison 2012), and they can inform approaches for activation or repression of specific genes in potential strategies for therapies (Bauer et al. 2013). Indeed, most human genetic variants associated with common traits and diseases are localized in or near cCREs (Hindorf et al. 2009; The ENCODE Project Consortium 2012; Maurano et al. 2012). Thus, knowledge of the activity and epigenetic state of cCREs in each cell type can facilitate understanding the impact of trait-associated genetic variants on specific phenotypes. Furthermore, genome editing approaches in somatic cells have recently been demonstrated to have promise as therapeutic modalities (Frangoul et al. 2021), and a full set of cCREs annotated by activity and state can help advance similar applications.

The different types of blood cells in humans and mice are particularly tractable systems for studying many aspects of gene regulation during differentiation. The striking differences among mature cell types result from progressive differentiation starting from a common hematopoietic stem cell (HSC) (Kondo et al. 2003). Although single-cell analyses reveal a pattern of ostensibly continuous expression change along each hematopoietic lineage (Laurenti and Göttgens 2018), intermediate populations of multilineage progenitor cells with decreasing differentiation potential have been defined, which provide an overall summary and nomenclature for major stages in differentiation. These stem, progenitor, and mature cell populations can be isolated using characteristic cell surface markers (Spangrude et al. 1988; Payne and Crooks 2002), albeit with many fewer cells in progenitor populations. In addition to the primary blood cells, several immortalized cell lines provide amenable systems for the intensive study of various aspects of gene regulation during differentiation and maturation of blood cells (Weiss et al. 1997).

The VISION project aims to produce a **Validated Systematic Integration** of hematopoietic epigenomes, harvesting extensive epigenetic and transcriptomic data sets from many investigators and large consortia into concise, systematically integrated summaries of regulatory landscapes and cCREs (Hardison et al. 2020). We

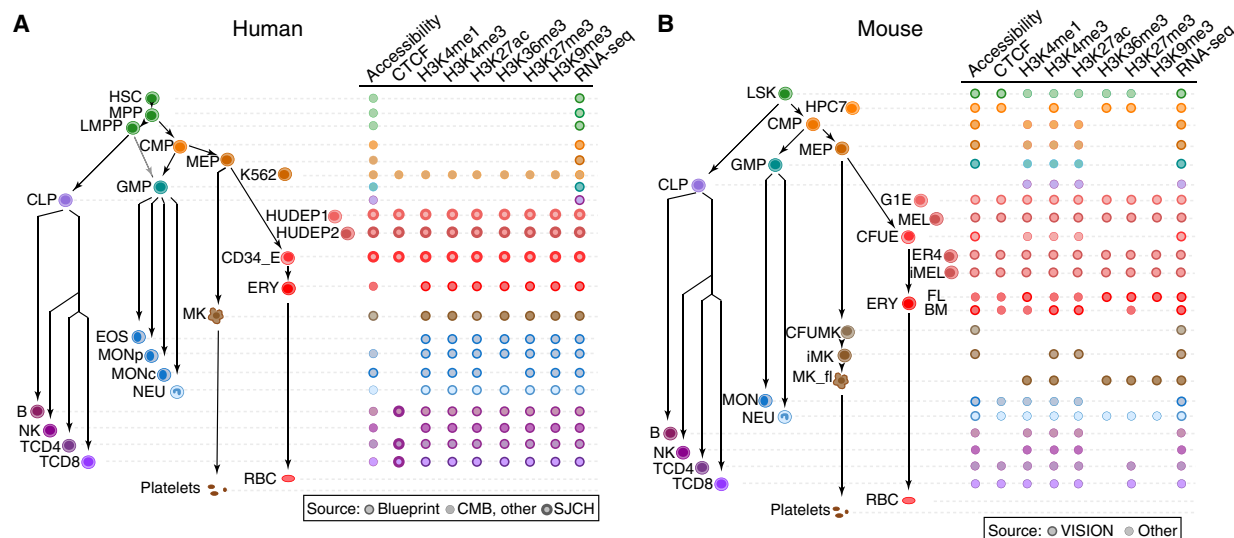
previously published the results of these analyses for progenitor and mature blood cell types from the mouse (Xiang et al. 2020). In the current study, we generated additional epigenetic data sets and compiled data from human blood cells to expand the integrative analyses to include data from both human and mouse. The systematic integrative analysis of epigenetic features across blood cell types was conducted jointly in both species to learn epigenetic states, generate concise views of epigenetic landscapes, and predict regulatory elements that are comparable in both species. This joint modeling enabled further comparisons using approaches that were not dependent on DNA sequence alignments between species, including a demonstration of the role of orthologous TFs in cell type-specific regulation in both species. An exploration of comparisons of epigenetic landscapes between species showed that they were informative for inferring regulatory roles of elements in lineage-specific (i.e., nonaligning) DNA. Together, this work provides valuable community resources that enable researchers to leverage the extensive existing epigenomic data into further mechanistic regulatory studies of both individual loci and genome-wide trends in human and mouse blood cells.

## Results

### Extracting and annotating epigenetic states by modeling epigenomic information jointly in human and mouse

A large number of data sets of epigenetic features related to gene regulation and expression (404 data sets, 216 in human and 188 in mouse) (Fig. 1A,B; Supplemental Material, “Data generation and collection”; Supplemental Tables S1, S2) served as the input for our joint integrative analysis of human and mouse regulatory landscapes across progenitor and mature blood cell types. The features included chromatin accessibility, which is a hallmark of almost all regulatory elements, occupancy by the structural protein CTCF, and histone modifications associated with gene activation or repression. After normalizing and denoising these diverse data sets (Supplemental Fig. S1), we conducted an iterative joint modeling to discover epigenetic states, that is, sets of epigenetic features commonly found together, in a consistent manner for both human and mouse blood cells (Fig. 2). The joint modeling took advantage of the Bayesian framework of the Integrative and Discriminative Epigenomic Annotation System (IDEAS) (Zhang et al. 2016; Zhang and Hardison 2017) to iteratively learn states in both species. The joint modeling proceeded in four steps: initial training on randomly selected regions in both species, retention of the 27 epigenetic states that exhibit similar combinatorial patterns of features in both human and mouse, the use of these 27 states as prior information to sequentially run the IDEAS genome segmentation on the human and mouse data sets, and removal of two heterogeneous states (Fig. 2A; Supplemental Figs. S2–S5). This procedure ensured that the same set of epigenetic states was learned and applied for both species. Previously, the segmentation and genome annotation (Libbrecht et al. 2021) method ChromHMM (Ernst and Kellis 2012) was used to combine data between species by concatenating the data sets for both human and mouse cell types (Yue et al. 2014). This earlier approach produced common states between species, but it did not benefit from the positional information and automated approach to handling missing data that are embedded in IDEAS.

The resulting model with 25 epigenetic states (Fig. 2B) was similar to that obtained from mouse blood cell data (Xiang et al. 2020). The states captured combinations of epigenetic features



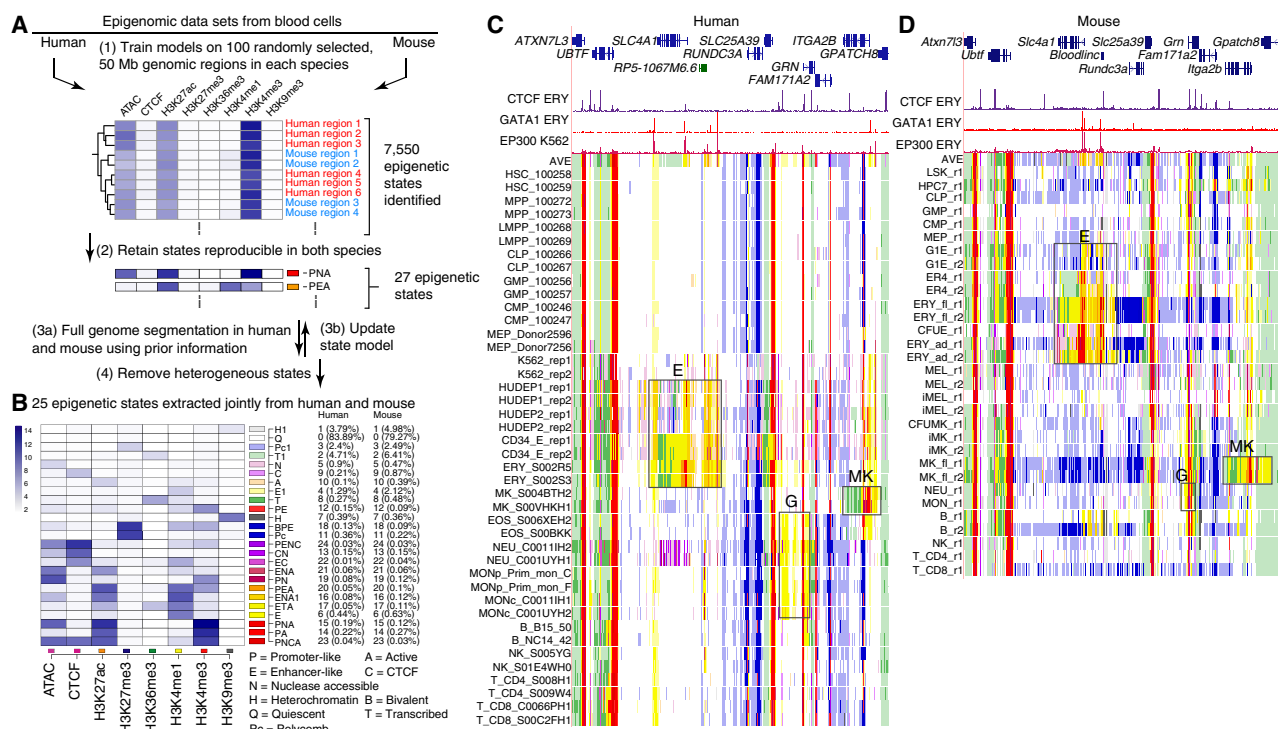
**Figure 1.** Cell types and data sets used for systematic integration of epigenetic features of blood cells. (A) The tree on the *left* shows the populations of stem, progenitor, and mature blood cells and cell lines in human. The diagram on the *right* indicates the epigenetic features and transcriptomes for which genome-wide data sets were generated or collected, with distinctive icons for the major sources of data, specifically the Blueprint project (Martens and Stunnenberg 2013; Stunnenberg et al. 2016), Corces et al. (2016) (CMB), and St. Jude Children’s Research Hospital (SJCRH) (Cheng et al. 2021; Qi et al. 2021). (B) Cell types and epigenetic data sets in mouse, diagrammed as for panel A. Sources were described by Xiang et al. (2020) (Supplemental Table S1). Abbreviations for blood cells and lines are as follows: (HSC) hematopoietic stem cell, (MPP) multipotent progenitor cell, (LMPP) lymphoid–myeloid primed progenitor cell, (CMP) common myeloid progenitor cell, (MEP) megakaryocyte–erythrocyte progenitor cell, (K562) a human cancer cell line with some features of early megakaryocytic and erythroid cells, (HUDEP1) immortalized human umbilical cord blood–derived erythroid progenitor cell lines expressing fetal globin genes (HUDEP1) or adult globin genes (HUDEP2), (CD34\_E) human erythroid cells generated by differentiation from CD34<sup>+</sup> blood cells, (ERY) erythroblast, (RBC) mature red blood cell, (MK) megakaryocyte, (GMP) granulocyte monocyte progenitor cell, (EOS) eosinophil, (MON) monocyte, (MONp) primary monocyte, (MONc) classical monocyte, (NEU) neutrophil, (CLP) common lymphoid progenitor cell, (B) B cell, (NK) natural killer cell, (TCD4) CD4<sup>+</sup> T cell, (TCD8) CD8<sup>+</sup> T cell, (LSK) Lin<sup>−</sup>Sca1<sup>+</sup>Kit<sup>+</sup> cells from mouse bone marrow containing hematopoietic stem and progenitor cells, (HPC7) immortalized mouse cell line capable of differentiation *in vitro* into more mature myeloid cells, (G1E) immortalized mouse cell line blocked in erythroid maturation by a knockout of the *Gata1* gene and its subline ER4 that will further differentiate after restoration of *Gata1* function in an estrogen-inducible manner (Weiss et al. 1997), (MEL) murine erythroleukemia cell line that can undergo further maturation upon induction (designated iMEL), (CFUE) colony forming unit erythroid, (FL) designates ERY derived from fetal liver, (BM) designates ERY derived from adult bone marrow, (CFUMK) colony forming unit megakaryocyte, (iMK) immature megakaryocyte, and (MK\_fl) megakaryocyte derived from fetal liver.

characteristic of regulatory elements such as promoters and enhancers, transcribed regions, and repressed regions marked by either Polycomb (H3K27me3) or heterochromatin (H3K9me3), including states that differ quantitatively in the contribution of specific features to each state. For example, H3K4me1 is the predominant component of states E1 and E, but E1 has a lower contribution of that histone modification. Similar proportions of the genomes of human and mouse were covered by each state (Fig. 2B).

Assigning all genomic bins in human and mouse to one of the 25 states in each hematopoietic cell type produced an annotation of blood cell epigenomes that gave a concise view of the epigenetic landscape and how it changes across cell types, using labels and color conventions consistently for human and mouse. The value of this concise view can be illustrated in orthologous genomic intervals containing genes expressed preferentially in different cell lineages as well as genes that are uniformly expressed (Fig. 2C, D). For example, the gene *SLC4A1/Slc4a1*, encoding the anion transporter in the erythrocyte plasma membrane, is expressed in the later stages of erythroid maturation (Doré and Crispino 2011). The epigenetic state assignments across cell types matched the differential expression pattern, with genomic intervals in the gene and its flanking regions, including a noncoding gene located upstream (to its right, *Bloodline* in mouse), assigned to states indicative of enhancers (yellow and orange) and promoters (red) only in erythroid cell types, with indications of stronger activation in the more mature erythroblasts (Fig. 2C,D, region boxed and labeled E).

A similar pattern was obtained in both human and mouse. Those genomic intervals assigned to the enhancer- or promoter-like states contain candidates for regulatory elements, an inference that was supported by chromatin binding data including occupancy by the TF GATA1 (Xu et al. 2012; Pimkin et al. 2014) and the coactivator EP300 (ENCODE data sets ENCSR000EGE and ENCSR982LJQ) in erythroid cells. Similarly, the gene and flanking regions for *GRN/Grn*, encoding the granulins precursor protein that is produced at high levels in granulocytes and monocytes (Jian et al. 2013), and *ITGA2B/Itga2b*, encoding the alpha 2b subunit of integrin that is abundant in mature megakaryocytes (van Pampus et al. 1992; Pimkin et al. 2014), were assigned to epigenetic states indicative of enhancers and promoters in the expressing cell types (boxed regions labeled G and MK, respectively). In contrast, genes expressed in all the blood cell types, such as *UBTF/Ubtf*, were assigned to active promoter states and transcribed states across the cell types. We conclude that these concise summaries of the epigenetic landscapes across cell types showed the chromatin signatures for differential or uniform gene expression and revealed discrete intervals as potential regulatory elements, with the consistent state assignments often revealing similar epigenetic landscapes of orthologous genes in human and mouse.

Although these resources are useful, some limitations should be kept in mind. For example, IDEAS used data from similar cell types to improve state assignments in cell types with missing data, but the effectiveness of this approach may be impacted by



**Figure 2.** Genome segmentation and annotation jointly between human and mouse using IDEAS. (A) Workflow for joint modeling. (1) Initial epigenetic states from 100 randomly selected regions separately in human and mouse hematopoietic cell types were identified in IDEAS runs. (2) States that were reproducible and shared in both species were retained. (3a,3b) The profile of epigenetic feature contribution to each of the reproducible states was sequentially refined by applying IDEAS across the full genomes of human and of mouse, updating the state model after each IDEAS run. (4) Two heterogeneous states were removed to generate the final joint epigenetic states in the two species. (B) The 25 joint epigenetic states for human and mouse hematopoietic cell types. The average signal of the epigenetic features for each state is shown in the heatmap. The corresponding state colors, the state labels based on the function, and the average proportions of the genome covered by each state across cell types are listed on the right of the heatmap. (C) Annotation of epigenetic states in a large genomic interval containing *SLC4A1* and surrounding genes across human blood cell types. The genomic interval is 210 kb, GRCh38 Chr 17: 44,192,001–44,402,000, with gene annotations from GENCODE V38. Binding patterns for selected transcription factors (TFs) are from the VISION project ChIP-seq tracks (CTCF and GATA1 in adult erythroblasts, signal tracks from MACS, track heights 100 and 80, respectively) or from the ENCODE data portal (EP300 in K562 cells, experiment ENCSR000EGE, signal track is fold change over background, track height is 50). The epigenetic state assigned to each genomic bin in the different cell types is designated by the color-coding shown in panel B. The replicates in each cell type examined in Blueprint are labeled by the ID for the donor of biosamples. Genes and regulatory regions active primarily in erythroid (E), granulocytes (G), and megakaryocytes (MK) are marked by gray rectangles. (D) Annotation of epigenetic states in a large genomic interval containing *Slc4a1* and surrounding genes across mouse blood cell types. The genomic interval is 198 kb, mm10 Chr 11: 102,290,001–102,488,000, with gene annotations from GENCODE VM23. Binding patterns for selected TFs are from the VISION project ChIP-seq tracks (CTCF in adult erythroblasts, GATA1 and EP300 from the highly erythroid fetal liver, signal tracks from MACS, track heights 200, 200, and 150, respectively; the EP300 track was made by remapping reads from ENCODE experiment ENCSR982LJQ). The tracks of epigenetic states and highlighted regions are indicated as in panel C.

the pattern of missing data. In particular, the epigenetic data on human stem and progenitor cell types were largely limited to ATAC-seq data, whereas histone modification data and CTCF occupancy were available for the analogous cell types in mouse (Fig. 1). Thus, the state assignments for epigenomes in human stem and progenitor cells may be less robust compared with those for similar cell types in the mouse. Another limitation is the broad range of quality in the data sets that cannot be completely adjusted by normalization, which leads to over- or underrepresentation of some epigenetic signals in specific cell types (Supplemental Fig. S5). Despite these limitations, the annotation of blood cell epigenomes after normalization and joint modeling of epigenetic states produced a highly informative painting of the activity and regulatory landscapes across the genomes of human and mouse blood cells.

#### cCRE in human and mouse

We define a cCRE as a DNA interval with a high signal for chromatin accessibility in any cell type (Xiang et al. 2020). We utilized a

version of the IDEAS methodology to combine peaks of accessibility across different cell types, running it in the signal intensity state (IS) mode only on chromatin accessibility signals (Xiang et al. 2021), which helps counteract excessive expansion of peak calls when combining them (Supplemental Fig. S6).

Employing the same peak-calling procedure to data from human and mouse resulted in 200,342 peaks of chromatin accessibility for human and 96,084 peaks for mouse blood cell types (Supplemental Table S3). Applying the peak caller MACS3 (Zhang et al. 2008) on the same human ATAC-seq data generated a larger number of peaks, but those additional peaks tended to have low signal and less enrichment for overlap with other function-related genomic data sets (Supplemental Fig. S7).

The ENCODE Project released regulatory element predictions in a broad spectrum of cell types in the index of DHSs (Meuleman et al. 2020) and the SCREEN cCRE catalog (The ENCODE Project Consortium et al. 2020), using data that were largely different from those utilized for the VISION analyses. Almost all the VISION cCRE calls in human blood cells were included in the regulatory

element predictions from ENCODE (Supplemental Fig. S8A), supporting the quality of the VISION cCRE calls. Furthermore, as expected from its focus on blood cell types, the VISION cCRE catalog shows stronger enrichment for regulatory elements active in blood cells (Supplemental Fig. S8B; Supplemental Table S4).

### Enrichment of the cCRE catalog for function-related elements and trait-associated genetic variants

Having generated catalogs of cCREs along with an assignment of their epigenetic states in each cell type, we characterized the human cCREs further by connecting them to orthogonal (not included in VISION predictions) data sets of DNA elements implicated in gene regulation or in chromatin structure and architecture (termed structure-related) (Fig. 3A; Supplemental Fig. S9; Supplemental Table S5). About two-thirds (136,664 or 68%) of the VISION human cCREs overlapped with elements in the broad groups of CRE-related (97,361 cCREs overlapped) and structure-related (83,327 cCREs overlapped) elements, with 44,024 cCREs overlapping elements in both categories (Fig. 3A,B). In contrast, 10 sets of randomly chosen DNA intervals, matched in length and GC-content with the human cCRE list, showed much less overlap with the orthogonal sets of elements (Fig. 3B). Of the CRE-related superset, the enhancer-related group of data sets contributed the most overlap with VISION cCREs, followed by SuRE peaks, which measure promoter activity in a massively parallel reporter assay (van Arensbergen et al. 2017), and CpG islands (Fig. 3C). Compared with overlaps with the random matched intervals, the VISION cCREs were highly enriched for overlap with each group of CRE-related data sets (Fig. 3C). Of the structure-related superset, the set of CTCF occupied segments (OSs) contributed the most overlap, followed by chromatin loop anchors, again with high enrichment relative to overlaps with random matched sets (Fig. 3D). Considering the VISION cCREs that intersected with both structure- and CRE-related elements, major contributors were the cCREs that overlap with enhancers and CTCF OSs or loop anchors (Supplemental Fig. S10). Furthermore, the VISION cCREs captured known blood cell CREs (Supplemental Table S4) and CREs demonstrated to impact a specific target gene in a high throughput analysis (Fig. 3E; Gasperini et al. 2019). We conclude that the intersections with orthogonal, function- or structure-related elements lent strong support for the biological significance of the VISION cCRE calls and added to the annotation of potential functions for each cCRE.

The catalog of VISION human blood cell cCREs showed a remarkable enrichment for genetic variants associated with blood cell traits, further supporting the utility of the catalog. We initially observed a strong enrichment by overlap with variants from the NHGRI-EBI GWAS catalog (Buniello et al. 2019) associated with blood cell traits (Supplemental Fig. S11). We then analyzed the enrichments while considering the haplotype structure of human genomes, whereby association signals measured at assayed genetic markers likely reflect an indirect effect driven by linkage disequilibrium (LD) with a causal variant (that may or may not have been genotyped). We employed stratified LD score regression (sLDSC) (Finucane et al. 2015) to account for LD structure and estimate the proportion of heritability of each trait explained by a given genomic annotation, quantifying the enrichment of heritability in 587 traits from the UK Biobank (UKBB) GWAS (Ge et al. 2017; <http://www.nealelab.is/uk-biobank/>) within the VISION cCREs relative to the rest of the genome (Supplemental Material, “Stratified linkage disequilibrium score regression (sLDSC)”). These traits encompassed 54 “blood count” traits that measure properties in-

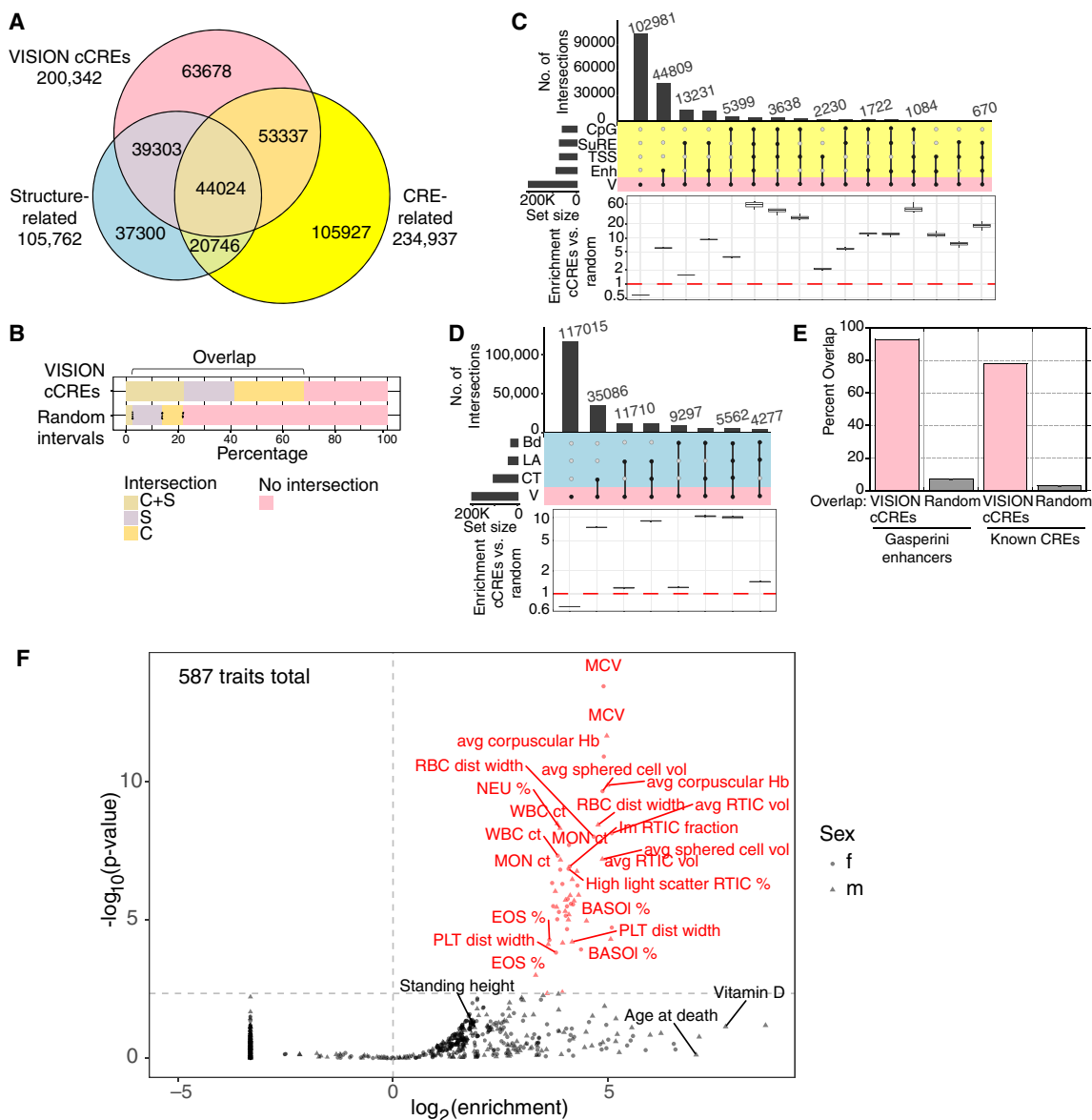
cluding size and counts of specific blood cell types, 60 “blood biochemistry” traits that measure lipid, enzyme, and other molecular concentrations within whole blood samples, and 473 non-blood-related traits, allowing us to assess the specific relevance of the cCREs to regulation of blood-related versus other phenotypes. At a 5% FDR threshold, we discovered 53 traits for which cCREs were significantly enriched in heritability (Fig. 3F). Of these traits, 52 (98%) were blood-related and 50 were blood count traits, representing 93% of all UKBB blood count traits included in our analysis. The remaining two significant traits pertained to blood biochemistry, specifically, the male and female glycosylated hemoglobin concentrations. These metrics and observations together lend support to the VISION cCRE annotation being composed of informative genomic regions associated with regulation of genes involved in development of blood cell traits.

### Estimates of regulatory impact of cCREs during differentiation

The epigenetic states assigned to cCREs can reveal those that show changes in apparent activity during differentiation. Inferences about the activity of a cCRE in one or more cell types are based on whether the cCRE was actuated, that is, was found in a peak of chromatin accessibility, and which epigenetic state was assigned to the actuated cCRE. Those states can be associated with activation (e.g., enhancer-like or promoter-like) or repression (e.g., associated with polycomb or heterochromatin). In addition to these categorical state assignments, quantitative estimates of the impact of epigenetic states on expression of target genes are useful, for example, to provide an estimate of differences in inferred activity when the states change. Previous work used signals from single or multiple individual features such as chromatin accessibility or histone modifications in regression modeling to explain gene expression (e.g., Karlič et al. 2010; Dong et al. 2012), and we applied a similar regression modeling using epigenetic states as predictor variables to infer estimates of regulatory impact of each state on gene expression (Xiang et al. 2020).

We used state assignments of cCREs across cell types in a multivariate regression model to estimate the impact of each state on the expression of local genes (Supplemental Material, “Estimation of the impact of epigenetic states and cCREs on gene expression”). That impact was captured as  $\beta$  coefficients, which showed the expected strong positive impact for promoter- and enhancer-associated states and negative impacts from heterochromatin and polycomb states (Fig. 4A). The  $\beta$  coefficients were then used in further analysis, such as estimating the change in regulatory impact as a cCRE shifts between states during differentiation (Fig. 4A, difference matrix to the left of the  $\beta$  coefficient values). The  $\beta$  coefficient values also were used to generate an epigenetic state regulatory potential (esRP) score for each cCRE in each cell type, calculated as the  $\beta$  coefficient values for the epigenetic states assigned to the cCRE weighted by the coverage of the cCRE by each state (Fig. 4B). These esRP scores were the basis for visualizing the collection of cCREs and how their regulatory impact changed across differentiation (Supplemental Fig. S12; Supplemental Movie S1). Comparison of the integrative esRP scores with signal intensities for single features (ATAC-seq and H3K27ac) showed all were informative for visualizations, and esRP performed slightly better than the single features in differentiating cCREs based on locations within gene bodies (Supplemental Fig. S13).

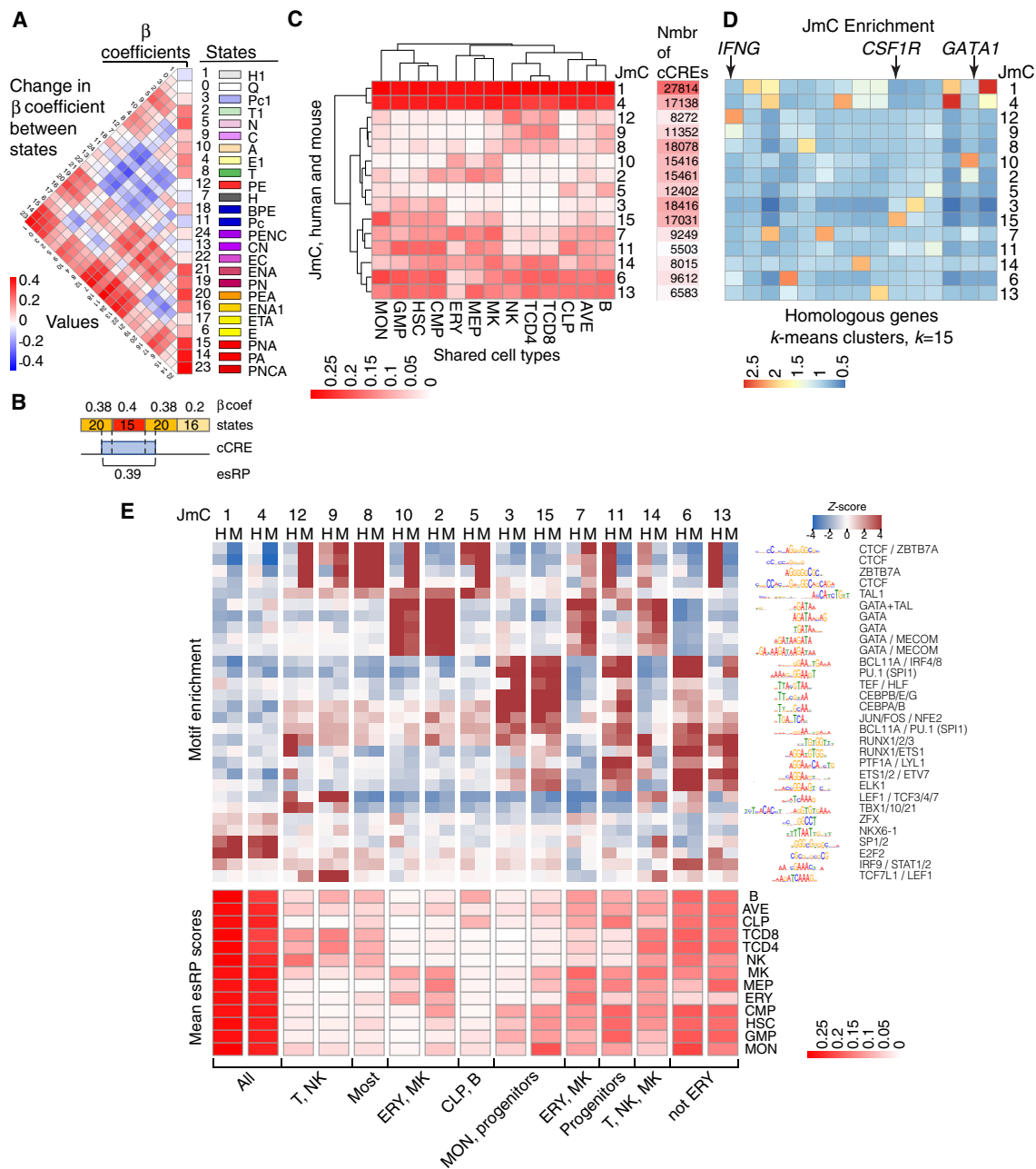
In addition, we explored the utility of the esRP scores for clustering the cCREs into groups with similar activity profiles across blood cell types in both human and mouse. Focusing on the



**Figure 3.** Overlaps of VISION cCREs with other catalogs and enrichment for variants associated with blood cell traits. (A) Venn diagram showing intersections of human VISION cCREs with a combined superset of elements associated with nuclear structure (CTCF OSs, loop anchors, and TAD boundaries); with a combined superset of DNA intervals associated with *cis*-regulatory elements (CREs), including TSSs, CpG islands, peaks from a massively parallel promoter and enhancer assay; and enhancers predicted from enhancer RNAs, peaks of binding by EP300, and histone modifications in erythroblasts (see Supplemental Material, Supplemental Fig. S9; Supplemental Table S5). (B) The proportions of cCREs and randomly selected, matched sets of intervals in the overlap categories are compared in the bar graph. For the random sets, the bar shows the mean, and the dots show the values for each of 10 random sets. (C) The UpSet plot provides a higher resolution view of intersections of VISION cCREs with the four groups of CRE-related elements, specifically enhancer-related (Enh), transcription start sites (TSSs), survey of regulatory elements (SuREs), and CpG islands (CpGs). The enrichment for the cCRE overlaps compared with those in randomly selected, matched sets of intervals are shown in the boxplots below each overlap subset, with dots for the enrichment relative to individual random sets. (D) Overlaps and enrichments of VISION cCREs for three sets of structure-related elements, specifically CTCF OSs (CT), loop anchors (LA), and TAD boundary elements. (E) Overlaps of VISION cCREs with two sets of experimentally determined blood cell cCREs. (F) Enrichment of SNPs associated with blood cell traits from the UK Biobank in VISION cCREs. Results of the sLDS analysis of all cCREs are plotted with enrichment of the cCRE annotation in heritability of each trait on the *x*-axis and the significance of the enrichment on the *y*-axis. The analysis covers 292 unique traits with GWAS results from both males and females and three traits with results only from males. The vertical dotted line indicates an enrichment of one, and the horizontal dotted line delineates the 5% FDR significance threshold. Points and labels in red represent traits for which there was significant enrichment of SNPs associated with the VISION cCREs. Traits with a negative enrichment were assigned an arbitrary enrichment of 0.1 for plotting and appear as the column of points at the *bottom left* of the plot. The shape of the point indicates the sex in which the GWAS analysis was performed for each trait.

esRP scores in 12 cell types shared between human and mouse along with the average across cell types, we identified clusters jointly in both species. The clustering proceeded in three steps, specifically finding robust *k*-means clusters for the combined hu-

man and mouse cCREs, identifying the clusters shared by cCREs in both species, and then further grouping those shared *k*-means clusters hierarchically to define 15 joint metaclusters (JmCs) (Supplemental Fig. S14). Each cCRE in both mouse and human was



**Figure 4.**  $\beta$  coefficients of states, esRP scores of cCREs, joint human–mouse metaclusters of cCREs based on esRP scores, and enrichment for TFBS motifs. (A)  $\beta$  coefficients and the difference of  $\beta$  coefficients of the 25 epigenetic states. The vertical columns on the right show the  $\beta$  coefficients along with the ID, color, and labels for the 25 joint epigenetic states. The triangular heatmap shows the difference of the  $\beta$  coefficients between two states in the right columns. Each value in the triangle heatmap shows the difference in  $\beta$  coefficients between the state on top and the state below based on the order of states in the right columns. (B) An example of calculating esRP score for a cCRE in a cell type based on the  $\beta$  coefficients of states. For a cCRE covering more than one 200 bp bin, the esRP equals the weighted sum of  $\beta$  coefficients of states that covers the cCRE, in which the weights are the region covered by different states. (C) The average esRP score of all cCREs in JmCs across blood cell types shared by human and mouse. The right column shows the number of human cCREs in each JmC. (D) The average enrichment of JmCs in 15 homologous gene clusters. The genes are clustered based on the JmCs' enrichments by *k*-means. (E) Motifs enriched in joint metaclusters. The top heatmap shows the enrichment of motifs in the cCREs in each JmC in human (H) and mouse (M) as a Z-score. The logo for each motif is given to the right of the heat map, labeled by the family of TFs that recognize that motif. The heatmap below is aligned with the motif enrichment heatmap, showing the mean esRP score for the cCREs in each JmC for all the common cell types examined between human and mouse. A summary description of the cell types in which the cCREs in each JmC are more active is given at the bottom.

assigned to one of the 15 JmCs, and each JmC was populated with cCREs from both mouse and human.

These JmCs established discrete categories for the cCREs based on the cell type distribution of their inferred regulatory im-

pact (Fig. 4C). The clusters of cCREs with high esRP scores across cell types were highly enriched for promoter elements (Supplemental Fig. S15A). The cell type–restricted clusters of cCREs showed enrichment both for selected enhancer catalogs

and for functional terms associated with those cell types (Supplemental Fig. S15A,B). Furthermore, clustering of human genes by the JmC assignments of cCREs in a 100 kb interval centered on their TSS (Supplemental Material, “Enrichment of JmCs assigned to cCREs in gene loci”) revealed a strong enrichment for JmCs with high activity in the cell type(s) in which the genes are expressed (Fig. 4D). Examples include *IFNG* showing enrichment for JmC 12, which has high esRP scores in T and NK cells; *CSF1R* showing enrichment for JmC 15, which has high scores in monocytes; and *GATA1* showing enrichment for JmC 10, which has high scores in erythroid cells and megakaryocytes. Moreover, running sLDSC on cCREs in individual JmCs showed enrichment for heritability of blood cell–related traits in some specific JmCs (Supplemental Fig. S16).

As expected from previous work (e.g., Heintzman et al. 2009; Meuleman et al. 2020), similar metaclusters of cCREs were generated based on single signals from the histone modification H3K27ac or chromatin accessibility across cell types (Supplemental Fig. S17). Clustering based on any of the three features better resolved individual cell types when larger numbers of clusters were considered, prior to collapsing the shared robust clusters into JmCs (Supplemental Fig. S18).

In summary, we show that the  $\beta$  coefficients and esRP scores provide valuable estimates of regulatory impacts of states and cCREs, respectively. The esRP-driven JmCs provide refined subsets of cCREs that should be informative for investigating cell type–specific and general functions of cCREs. We also built self-organizing maps as a complementary approach to systematic integration of epigenetic features and RNA data across cell types (Supplemental Fig. S19; Jansen et al. 2019).

### Motif enrichment in JmCs of human and mouse cCREs

We examined the sets of cCREs in each JmC to ascertain enrichment for transcription factor binding site (TFBS) motifs because these enriched motifs suggest the families of TFs that play a major role in regulation by each category of cCREs. Furthermore, having sets of cCREs determined and clustered for comparable blood cell types in human and mouse provided the opportunity to discover which TFBS motifs were shared between species and whether any were predominant in only one species.

To find TFBS motifs associated with each JmC, we calculated enrichment for all nonredundant motifs in the Cis-BP database (Weirauch et al. 2014) using Maelstrom from GimmeMotifs (Bruse and van Heeringen 2018) (Supplemental Material, “Enrichment for transcription factor binding site motifs in joint metaclusters of cCREs”). The results confirmed previously established roles of specific TFs in cell lineages and showed little evidence for novel motifs (Fig. 4E). For example, TFBS motifs for the GATA family of TFs were enriched in JmCs 2 and 10, which have high esRP scores in progenitor and mature cells in the erythroid and megakaryocytic lineages, as expected for the known roles of GATA1 and GATA2 in this lineage (Blobel and Weiss 2009; Fujiwara et al. 2009). The GATA motif was also enriched in JmC 14, as expected for the role of GATA3 in natural killer (NK) and T cells (Rothenberg and Taghon 2005). Furthermore, motifs for the known lymphoid TFs TBX21, TCF7L1, and LEF1 (Chi et al. 2009) were enriched in cCREs with high esRP scores in NK and T cells (JmCs 9 and 12), and motifs for myeloid-determining TFs CEBPA and CEBPB (Graf and Enver 2009) and the myeloid TF SPI1 (also known as PU.1) (Tenen et al. 1997) were enriched in cCREs that are active in progenitor cells and monocytes (JmCs 3 and 15). TFBS motifs for promoter-as-

sociated TFs such as E2F2 and SP1 (Dyban and Tjian 1983; Kaczynski et al. 2003) were enriched in broadly active cCREs (JmCs 1 and 4). These patterns of motif enrichments in the JmCs fit well with the expectations from previous studies of TF activity across lineages of blood cells, and thus, they lend further credence to the value of the cCRE calls and the JmC groupings for further studies of regulation in the blood cell types.

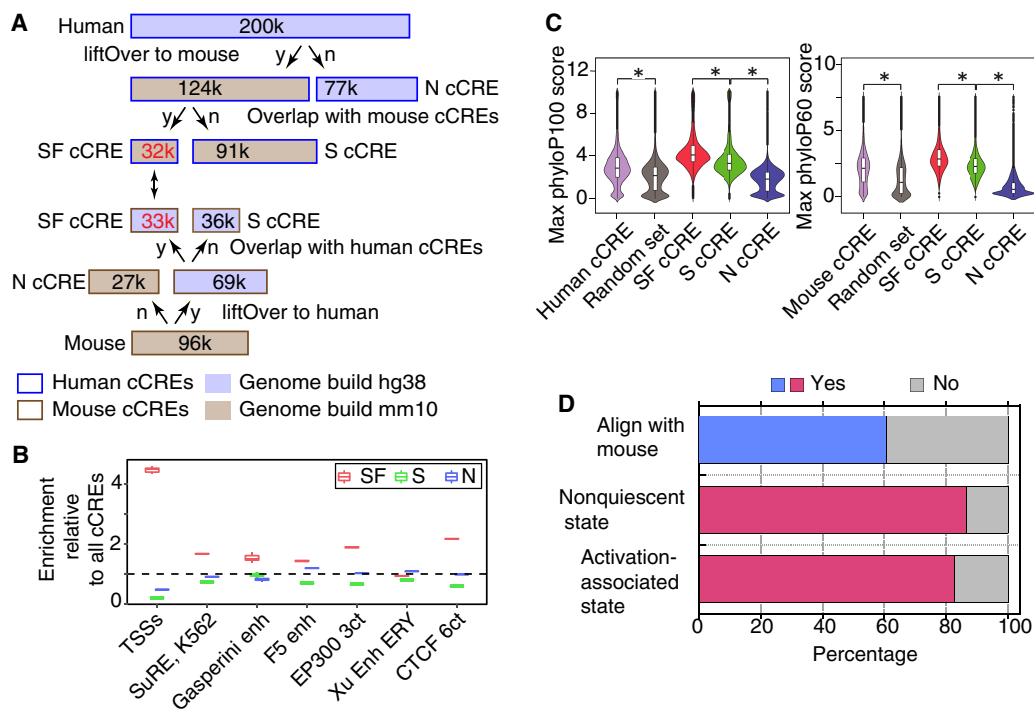
The genome-wide collection of cCREs across many blood cell types in human and mouse provided an opportunity for an unbiased and large-scale search for indications of TFs that may be active specifically in one species for a shared cell type. Prior studies of TFs have shown homologous TFs used in analogous cell types across species (e.g., Carroll 2008; Noyes et al. 2008; Schmidt et al. 2010; Cheng et al. 2014; Villar et al. 2014), but it is not clear if there are significant exceptions. In our study, we found that for the most part, the motif enrichments were quite similar between the human and mouse cCREs in each JmC. Note that these similarities were not forced by requiring sequence matches between species; the cCREs were grouped into JmCs based on their pattern of activity, as reflected in the esRP scores, across cell types, not by requiring homologous sequences. This similarity between species indicates that the same TFs tend to be active in similar groups of cell types in both mouse and human. An intriguing potential exception to the sharing of motifs between species was the enrichment of TFBS motifs for CTCF and ZBTB7A in some JmCs, suggestive of some species selectivity in their binding in the context of other TFs (Supplemental Figs. S20, S21). These indications of conditional, preferential usage of these TFs in human or mouse could serve as the basis for more detailed studies in the future.

In summary, after grouping the cCREs in both human and mouse by their inferred regulatory impact across blood cells in a manner agnostic to DNA sequence or occupancy by TFs, the enrichment for TFBS motifs within those groups recapitulated known activities of TFs both broadly and in specific cell lineages. The results also showed considerable sharing of inferred TF activity in both human and mouse.

### Evolution of sequence and inferred function of cCREs

The human and mouse cCREs from blood cells were assigned to three distinct evolutionary categories (Fig. 5A). About one-third of the cCREs were present only in the reference species (39% for human, 28% for mouse), as inferred from the failure to find a matching orthologous sequence in whole-genome alignments with the other species. We refer to these as nonconserved (N) cCREs. Of the two-thirds of cCREs with an orthologous sequence in the second species, slightly more than 30,000 were also identified as cCREs in the second species. The latter cCREs comprise the set of cCREs conserved in both sequence and inferred function, which we call SF conserved (SF) cCREs. Almost the same number of cCREs in both species fall into the SF category; the small difference resulted from interval splits during the search for orthologous sequences (Supplemental Fig. S22). The degree of chromatin accessibility in orthologous SF cCREs was positively correlated between the two species (Supplemental Fig. S23). The remaining cCREs (91,000 in human and 36,000 in mouse) were conserved in sequence but not in an inferred function as a regulatory element, and we call them S conserved (S) cCREs. The latter group could result from turnover of regulatory motifs or acquisition of different functions in the second species.

The distributions of epigenetic states assigned to the blood cell cCREs in each of the three evolutionary categories were similar



**Figure 5.** Evolutionary and epigenetic comparisons of cCREs. (A) Workflow to partition blood cell cCREs in human and mouse into three evolutionary categories. (N) Nonconserved, (S) conserved in sequence but not inferred function, (SF) conserved in both sequence and inferred function as a cCRE, (y) yes, and (n) no. (B) Enrichment of SF-conserved human cCREs for TSSs. The number of elements in seven sets of function-related DNA intervals that overlap with the 32,422 SF human cCREs was determined, along with the number that overlap with three subsets (32,422 each) randomly selected from the full set of 200,342 human cCREs. The ratio of the number of function-related elements overlapping SF-cCREs to the number overlapping a randomly chosen subset of all cCREs gave the estimate of enrichment plotted in the graph. The mean for the three determinations of enrichment is indicated by the horizontal line for each set. Results are also shown for a similar analysis for the S and N cCREs. (C) Distribution of phyloP scores for three evolutionary categories of cCREs in human and mouse. The maximum phyloP score for each genomic interval was used to represent the score for each cCRE, using genome sequence alignments of 100 species with human as the reference (phyloP100) and alignments of 60 species with mouse as the reference (phyloP60). The distribution of phyloP scores for each group are displayed as a violin plot. All 10 random sets had distributions similar to the one shown. The asterisk (\*) over brackets indicates comparison for which the *P*-value for Welch's *t*-test is  $<2.2 \times 10^{-16}$ . (D) Proportion of human genomic elements active in a massively parallel reporter assay (MPRA) that aligns with mouse or is in a state reflecting dynamic chromatin; 57,061 genomic elements found to be active in a lentivirus MPRA that tested a close to comprehensive set of predicted regulatory elements in K562 cells (Agarwal et al. 2023) were assessed for their ability to align with the mouse genome (blue bar) or whether the IDEAS epigenetic state assigned in K562 cells was not quiescent or was in a set of states associated with gene activation (magenta bars). The results are plotted as percentages of the total number of MPRA-active elements.

between human and mouse, but those distributions differed among evolutionary categories, with significantly more SF cCREs assigned to promoter-like states than were S or N cCREs (Supplemental Fig. S24). Indeed, the SF cCREs tended to be close to or encompass the TSSs of genes, showing a substantial enrichment in overlap with TSSs compared to the overlap observed for all cCREs (Fig. 5B). Many of the S and N cCREs were assigned to enhancer-like states (Supplemental Fig. S24D), giving a level of enrichment for overlap with enhancer data sets comparable to that observed for the full set of cCREs (Fig. 5B).

For both human and mouse, the level of sequence conservation, estimated by the maximum phyloP score (Pollard et al. 2010), was higher in the collection of cCREs than in sets of randomly chosen genomic intervals matching the cCREs in length and G+C content (Fig. 5C). Among the evolutionary categories of cCREs, the distribution of phyloP scores for SF cCREs was significantly higher than the distribution for S cCREs, which in turn was higher than that for N cCREs, for both species (Fig. 5C). The whole-genome alignments underlying the phyloP scores are influenced by proximity to the highly conserved coding exons (King et al. 2007), and the high phyloP scores of the promoter-enriched SF cCREs could reflect both this effect as well as a strong constraint

on conserved function (Supplemental Fig. S25). In all three evolutionary categories, the distribution of phyloP scores was higher for promoter-proximal cCREs than for distal ones, but the relative levels of inferred conservation were the same for both; that is, SF > S > N (Supplemental Fig. S26).

In summary, this partitioning of the cCRE catalogs by conservation of sequence and inferred function revealed informative categories that differed both in evolutionary trajectories and in types of functional enrichment.

Conservation of noncoding genomic DNA sequences among species has been used extensively to predict regulatory elements (Gumucio et al. 1992; Hardison 2000; Pennacchio and Rubin 2001), but the observation that predicted regulatory elements fall into distinct evolutionary categories (SF, S, and N) raised the question of whether inter-species DNA sequence alignments or annotation of epigenetic states would be more effective in finding elements that were experimentally determined to be active in gene regulation. Recent advances in massively parallel reporter assays have enabled the testing of large sets of candidate elements, approaching comprehensive assessment of the predicted elements (Agarwal et al. 2023). We used the set of more than 57,000 human genomic elements shown to be active in K562 cells

to address this question (Supplemental Material), and we found that requiring alignment to the mouse genome would miss ~40% of the active elements, whereas requiring presence in a non-quiescent epigenetic state or one associated with gene activation would cover 87% or 82.5%, respectively, of the active elements (Fig. 5D). Thus, the epigenetic state annotation can enable a more comprehensive prediction and examination of gene regulatory elements. This realization motivated a comparison of epigenetic states between human and mouse, as described in the next section.

### Comparison of epigenetic states around orthologous genes in human and mouse

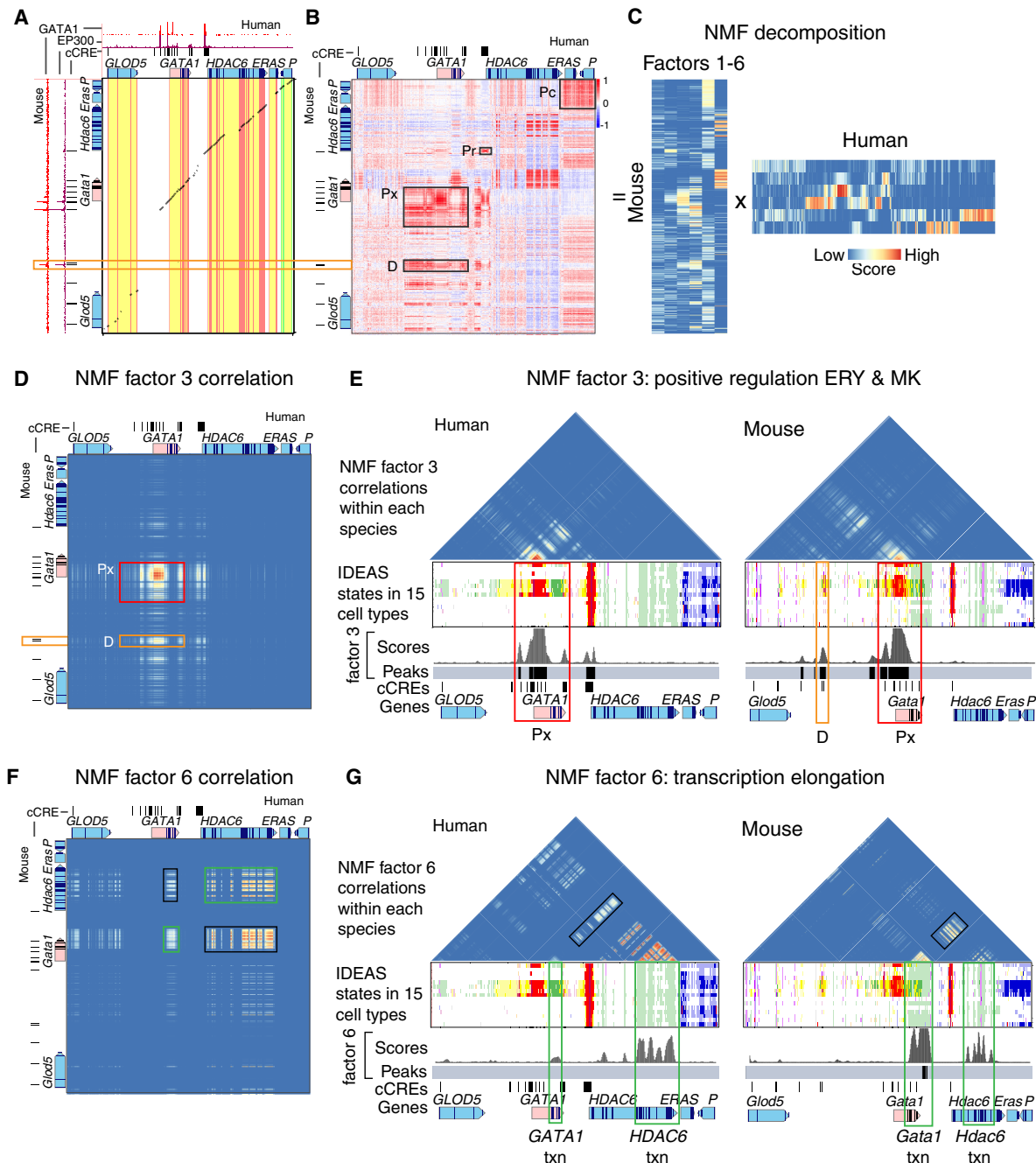
The consistent state assignments from the joint modeling facilitated epigenetic comparisons between species. Such comparisons are particularly informative for orthologous genes with similar expression patterns but some differences in their regulatory landscapes. For example, the orthologous genes *GATA1* in human and *Gata1* in mouse each encode a TF with a major role in regulating gene expression in erythroid cells, megakaryocytes, and eosinophils (Ferreira et al. 2005), with a similar pattern of gene expression across blood cell types in both species (Supplemental Fig. S27). The human and mouse genomic DNA sequences aligned around these orthologous genes, including their promoters and proximal enhancers; the alignments continued through the genes downstream from *GATA1/Gata1* (Fig. 6A). An additional, distal regulatory element located upstream of the mouse *Gata1* gene, which was bound by GATA1 and EP300 (Fig. 6A), was found only in mouse (Valverde-Garduno et al. 2004). The DNA sequences of the upstream interval harboring the mouse regulatory element did not align between mouse and human except in portions of the *GL05/Glod5* genes (Fig. 6A). Thus, the interspecies sequence alignments provide limited information about this distal regulatory element.

This limitation to sequence alignments led us to explore whether comparisons of epigenetic information would be more informative, utilizing the consistent assignment of epigenetic states in both human and mouse, which do not rely on DNA sequence alignment. In the large genomic regions (76 kb and 101 kb in the two species) encompassing the orthologous human *GATA1* and mouse *Gata1* genes and surrounding genes, we computed the correlation for each genomic bin between the epigenetic state assignments across cell types in one species and that in the other species for all the bins (Supplemental Fig. S28). This local, all-versus-all comparison of the two loci yielded a matrix of correlation values showing similarities and differences in profiles of epigenetic states in the two species (Fig. 6B). The conserved promoter and proximal enhancers of the *GATA1/Gata1* genes were highly correlated in epigenetic states across cell types between the two species, in a region of the matrix that encompassed the aligning DNA sequences (Fig. 6B, labeled Px). In contrast, whereas the mouse-specific distal regulatory element did not align with the human DNA sequence, the epigenetic states annotating it presented high correlations with active epigenetic states in the human *GATA1* locus (Fig. 6B, labeled D).

The complexity of the correlation matrix (Fig. 6B) indicated that multiple epigenetic trends could be contributing to the patterns. To systematically reduce the high dimensionality of the matrix to a set of simpler matrices, we employed nonnegative matrix factorization (NMF) because of its interpretability (Stein-O'Brien et al. 2018; Lee and Roy 2021). The decomposed matrices from

NMF revealed a set of factors, each of which (Fig. 6C, represented by each column in the mouse matrix and each row in the human matrix) captures a group of highly correlated elements in the original matrix that shows a pattern distinct from the rest of the elements. The complex correlation matrix was decomposed into six distinct factors, as determined by the number of factors at which an “elbow” was found in the BIC score (Supplemental Fig. S29). Each factor encapsulated a specific epigenetic regulatory machinery or process exhibiting consistent cross-cell-type patterns in both humans and mice (Supplemental Fig. S30). For example, the correlation matrices reconstructed by using signals from factor 3 exclusively highlighted the cell type-specific positive regulators for the *GATA1/Gata1* gene loci; these regulatory elements were evident in reconstructed correlation matrices between species (Fig. 6D) and within individual species (Fig. 6E). By applying a Z-score approach to identify peak regions in the factor 3 signal vector (with FDR < 0.1) (Supplemental Material), we pinpointed regions in both species showing an epigenetic regulatory machinery exhibiting positive regulatory dynamics for the orthologous *GATA1/Gata1* gene loci, particularly in the ERY and MK cell types. In contrast, the correlation matrices reconstructed from the signals for factor 6 (Fig. 6F,G) highlighted regions marked by the transcription elongation modification H3K36me3 (Fig. 6G, epigenetic states colored green). The correlations in the factor 6 elongation signature were observed, as expected, between the human/mouse orthologous gene pairs *GATA1* and *Gata1* as well as between human *HDAC6* and mouse *Hdac6* (Fig. 6F, green rectangles). The factor 6 correlations were also observed between the *GATA1/Gata1* and *HDAC6/Hdac6* genes (Fig. 6F,G, black rectangles), showing a common process, specifically transcriptional elongation, at both loci. A similar analysis for other factors revealed distinct regulatory processes or elements, such as active promoters (factor 2), exhibiting unique cross-cell-type patterns (Supplemental Fig. S30). The genomic bins with high scores for a given NMF factor in human showed high correlation with bins with high scores for that same factor in mouse, indicating that the NMF factors capture a similar set of epigenetic state patterns in each species (Supplemental Fig. S31). The patterns captured by NMF factors 3 and 6 were robust to the choice of *k* in the NMF (Supplemental Fig. S32). Overall, these results underscore this method’s capability to objectively highlight regulatory regions with analogous epigenetic patterns across cell types in both species. This method could aid in extracting additional information about similar epigenetic patterns between human and model organisms such as mice, for which only a portion of their genome aligns with that of human.

Because some of the NMF factors reflected processes in gene expression and regulation that occur in many genes, some of the highly correlated regions across species could reflect false positives. Thus, it is prudent to restrict the current approach to genomic intervals around orthologous genes to reduce the impact of false discovery. We examined patterns of epigenetic state correlations across cell types between the human *GATA1* gene locus and three nonorthologous loci in mouse to investigate the scope of this issue (Supplemental Material). Although genomic bins of high epigenetic state correlation were observed between nonorthologous loci, the discovery of bins implicated in a cell type-specific process, such as erythroid or megakaryocytic regulation, could be enhanced by utilizing a broader background model for computing peaks of NMF signal (Supplemental Fig. S33). With this refined approach to peak identification, the false-discovery rate estimated for epigenetic state comparison between the human *GATA1* locus and the mouse *Cd4* locus was reduced to 0.1 or less (Supplemental



**Figure 6.** Epigenetic comparisons of regulatory landscapes and cCREs. (**A,B**) DNA sequence alignments and correlations of epigenetic states in human *GATA1* and mouse *Gata1* genes and flanking genes. (**A**) Dot-plot view of chained BLASTZ alignments by PipMaker (Schwartz et al. 2000) between genomic intervals encompassing and surrounding the human *GATA1* (GRCh38 Chr X: 48,760,001–48,836,000; 76 kb) and mouse *Gata1* (mm10 Chr X: 7,919,401–8,020,800; 101.4 kb, reverse complement of reference genome) genes. The axes are annotated with gene locations (GENCODE), predicted cCREs, and binding patterns for GATA1 and EP300 in erythroid cells. (**B**) Matrix of Pearson's correlation values between epigenetic states (quantitative contributions of each epigenetic feature to the assigned state) across 15 cell types analogous for human and mouse. The correlation is shown for each 200 bp bin in one species with all the bins in the other species, using a red–blue heat map to indicate the value of the correlation. Axes are annotated with genes and cCREs in each species. (**C**) Decomposition of the correlation matrix (**B**) into six component parts or factors using nonnegative matrix factorization. (**D–G**) Correlation matrices for genomic intervals encompassing *GATA1/Gata1* and flanking genes, reconstructed using values from NMF factors. (**D,E**) Correlation matrices using values of NMF factor 3 between human and mouse (**D**) or within human and within mouse (**E**). The red rectangles highlight the positive regulatory patterns in the *GATA1/Gata1* genes (labeled Px), which exhibit conservation of both DNA sequence and epigenetic state pattern. The orange rectangles denote the distal positive regulatory region present only in mouse (labeled D), which shows conservation of epigenetic state pattern without corresponding sequence conservation. Beneath the correlation matrices in panel **E** are maps of IDEAS epigenetic states across 15 cell types, followed by a graph of the score and peak calls for NMF factor 3 and annotation of cCREs (thin black rectangles) and genes. (**F,G**) Correlation matrices using values of NMF factor 6 between human and mouse (**F**) or within human and within mouse (**G**). The green rectangles highlight the correlation of epigenetic state patterns within the same gene, both across the two species and within each species individually, and the black rectangles highlight the high correlation observed between the two genes *GATA1* and *HDAC6*.

Fig. S33R). Furthermore, the epigenetic state comparisons between the human *GATA1* locus and the mouse *Rps19* locus revealed a previously unreported region with hallmarks of erythroid regulatory elements (Supplemental Fig. S34). These initial results suggest that the genomic scale of the epigenetic state correlations could be expanded in future work with judicious attention to reducing false discovery, for example, by linking the discovered elements to evidence of conserved synteny between species.

Examination of human genomic elements shown to be active in a lentiMPRA assay (Agarwal et al. 2023) at 30 loci (Supplemental Table S6) revealed that the active elements were enriched in genomic bins with high cross-cell-type epigenetic state correlation between species (Supplemental Fig. S35). The enrichment for active elements was further increased in bins with both high epigenetic state correlation and interspecies sequence conservation, whereas enrichment was reduced or comparable (depending on approaches used for false-discovery thresholds) in bins with only sequence conservation. These results further support the value of the cross-cell-type epigenetic state correlation between species in predicting and interpreting cCREs (Supplemental Fig. S36).

The comparison of epigenetic state profiles across cell types also provided a means to categorize cCREs between species that did not require a match in the underlying genomic DNA sequence (Supplemental Figs. S37, S38). Results from that approach indicated that certain cCREs were potentially involved in regulation of orthologous genes, even for cCREs with DNA sequences that did not align between species.

In summary, the IDEAS joint modeling on the input data compiled here and the consistent state assignments in both mouse and human confirmed and extended previous observations on known regulatory elements, and they revealed both shared and distinctive candidate regulatory elements and states between species. Correlations of state profiles between species provided a comparison of chromatin landscapes even in regions with DNA sequences that were not conserved between species. Our initial results reported here support continuing the development of this approach of comparing cross-cell-type epigenetic state profiles between species for functional prediction and interpretation of cCREs.

## Discussion

In this paper, the VISION consortium introduces a set of resources describing the regulatory landscapes of both human and mouse blood cell epigenomes. A key, novel aspect of our work is that the systematic integrative modeling that generated these resources was conducted jointly across the data from both species, which enabled robust comparisons between species without being limited by sequence alignments, allowing comparisons in nonconserved and lineage-specific genomic regions.

One major resource is the annotation of the epigenetic states across the genomes of progenitor and mature blood cells of both species. These state maps show the epigenetic landscape in a compact form, capturing information from the input data on multiple histone modifications, CTCF occupancy, and chromatin accessibility, and they use a common set of epigenetic states to reveal the patterns of epigenetic activity associated with gene expression and regulation both across cell types and between species. A second major resource is a catalog of cCREs actuated in one or more of the blood cell types in each species. The cCREs are predictions of discrete DNA segments likely involved in gene regulation, based on the patterns of chromatin accessibility across cell types,

and the epigenetic state annotations suggest the type of activity for each cCRE in each cell type, such as serving as a promoter or enhancer, participating in repression, or being inactive. A third major resource is a quantitative estimate of the regulatory impact of human and mouse cCREs on gene expression in each cell type, that is, an esRP score, derived from multivariate regression modeling of the epigenetic states in cCREs as predictors of gene expression. The esRP scores are a continuous variable capturing not only the integration of the input epigenetic data but also the inferred impacts on gene expression. Those impacts may be manifested as activation or repression during regulation or as transcriptional elongation. They are useful for many downstream analyses, such as determining informative groups of cCREs by clustering analysis. These resources along with browsers for visualization and tools for analysis are provided at our project website (<https://usevision.org>). Among these tools is cCRE\_db, which records the several dimensions of annotation of the cCREs and provides a query interface to support custom queries from users.

Our human blood cell cCRE catalog should be valuable for mechanistic interpretations of trait-related human genetic variants. Human genetic variants associated with traits intrinsic to blood cells were significantly enriched in the VISION cCRE catalog, whereas variants associated with a broad diversity of other traits were not enriched. We expect that the extensive annotations in our cCRE catalog combined with information about TFBS motifs and TF occupancy should lead to specific, refined hypotheses for mechanisms by which a variant impacts expression, such as alterations in TF binding, which can be tested experimentally in further work.

The jointly learned state maps and cCRE predictions allowed us to extend previous work on the evolution of regulatory elements between mouse and human. Several previous studies focused on TF occupancy, for example, examining key TFs in one tissue across multiple species (Schmidt et al. 2010; Ballester et al. 2014; Villar et al. 2014) or a diverse set of TFs in multiple cell types and in mouse and human (Cheng et al. 2014; Yue et al. 2014; Denas et al. 2015). Other studies focused on discrete regions of high chromatin accessibility in multiple cell types and tissues between mouse and human (Stergachis et al. 2014; Vierstra et al. 2014). These previous studies revealed that only a small fraction of elements was conserved both in genomic sequence and in inferred function. A notable fraction of elements changed considerably during mammalian diversification, including turnover of TF binding site motifs and repurposing of elements (Schmidt et al. 2010; Cheng et al. 2014; Stergachis et al. 2014; Denas et al. 2015). These prior studies focused primarily on regions of the genome with sequences that aligned between human and mouse, with the nonaligning regions used to infer that some elements were lineage-specific and that many were derived from transposable elements and endogenous retroviruses (Bourque 2009; Rebollo et al. 2012; Jacques et al. 2013; Sundaram et al. 2014). Our evolutionary analyses confirmed the previous observations, for example, finding about one-third of cCREs are conserved in both sequence and inferred function between human and mouse and further showing that this evolutionary category was highly enriched for proximal regulatory elements.

Going beyond the prior comparative epigenetic studies, our jointly learned epigenetic state maps generated a representation of multiple epigenetic features, not just TF occupancy or chromatin accessibility, and they are continuous in bins across genomes of both species. Using the same set of epigenetic states for annotation of both the human and mouse genomes gave a common

“alphabet” (set of states) for both species, which enabled comparisons of the epigenetic profiles between species. In the current work, we explored the utility of these epigenetic comparisons in several ways. For example, the joint clusterings of cCREs between species by esRP scores (derived from the epigenetic state annotations) enabled an analysis that was agnostic to DNA sequence or occupancy by TFs to show considerable sharing of inferred TF activity in both human and mouse. Furthermore, the common alphabet of states allowed us to compare the cross-cell-type epigenetic state patterns in large genomic intervals of both species containing orthologous genes, again in a manner agnostic to underlying DNA sequence similarities or differences. These epigenetic comparisons were a strong complement to genomic sequence alignments, revealing regulatory elements with similar epigenetic profiles even in genomic regions in which the DNA sequence does not align between species. Our detection, even in segments of DNA that do not align between species, of epigenetic similarity indicative of a common role in gene regulation suggests that processes or structures, such as chromatin interactions, chromatin complexes, or molecular condensates, may be maintained between species in a manner that is not fully revealed by comparisons of genome sequences. Hence, further studies of this apparent epigenetic dimension of regulatory conservation may be productive. For example, the complex interspecies epigenetic state correlation matrices were decomposed into NMF factors that represented major types of regulatory mechanisms, some that were common across cell types and others that were specific to certain cell types. Further investigation indicated the potential for judicious use of the cell type-specific NMF factors in a context of conserved synteny for expanding the scale of the state correlation analysis in future studies.

Previous work compared epigenetic profiles across species, such as the phylo-HMGP method to find different evolutionary states in multispecies epigenomic data (Yang et al. 2018) and the LECIF scores to find evidence of conservation from functional genomic data (Kwon and Ernst 2021). These approaches are powerful but limited to the genomic regions with DNA sequences that align between the species, and thus, they will miss the ~40% of experimentally demonstrated CREs that are not in aligning regions (Fig. 5D). In contrast, our approach of correlating epigenetic states included both DNA segments that align between human and mouse and those that do not, and it captures more of the experimentally verified cCREs. For comparisons between species, both genomic sequence alignment and epigenetic state annotation across cell types provide important sources of information. Combining both types of data into joint models for predicting CREs could be a productive avenue for future work, not only to improve accuracy but also to allow the contributions of each type of information to be determined systematically.

Several innovations were developed to produce the resources introduced here. A major innovation was to extend the IDEAS framework (Zhang et al. 2016) to jointly learn epigenetic states and assign them to annotate the epigenomes in human and mouse blood cells. The IDEAS method employs a Bayesian approach to the modeling to learn the states, which we utilized to bring in states learned from the data in one species as priors for learning states in the data from the second species. Another extension of the IDEAS framework was to learn states based on one feature, specifically ATAC-seq data, defining discrete signal ISs. This approach was used for calling cCREs, implemented as the IDEAS-IS method (Xiang et al. 2021). The approach is relatively simple and benefits from joint modeling across the input data sets. Other methods for

predicting cCREs based on chromatin accessibility across many cell types prevented excessive expansion of the summary calls for overlapping peaks by employing a centroid determination for the DNase hypersensitive site (DHS) index (Meuleman et al. 2020) or by choosing the highest signal peak for the ENCODE cCRE catalog (The ENCODE Project Consortium et al. 2020). The ENCODE cCRE catalog paired DHS peaks with individual chromatin modifications or CTCF occupancy, which led to complications when data on diagnostic features were missing from some cell types. The IDEAS framework used for the VISION cCRE sets leveraged data in related cell types to ameliorate the impact of missing data.

Although the resources introduced here are valuable for many applications, it is prudent to acknowledge their limitations. First, the quality of the products of integrated analyses is limited by the quality and completeness of the input raw data. We endeavored to reduce the impact of variances in the input data by normalization. The S3V2 procedure (Xiang et al. 2021) systematically normalized the input data to adjust for differences in the signal-to-noise ratio and variance in signal across the data sets. Some epigenetic features were not determined in some cell types, and we used the IDEAS method in part because it is able to assign an epigenetic state even in the context of missing data by learning patterns from local similarities in cell types for which the data are present (Zhang and Mahony 2019). However, these approaches cannot completely overcome all issues with variance in input data, and further developments in these directions (such as Shahraki et al. 2024; Xiang et al. 2024) may help to improve integrative resources. Second, the resolution of both the epigenetic state assignments and the cCRE inference is limited to 200 bp, which is the window size we utilized in the IDEAS analyses. Other resources, such as DHS calls (Meuleman et al. 2020), DNase footprints (Vierstra et al. 2020), and motif instances (Weirauch et al. 2014), achieve a higher resolution. Indeed, one can use these higher-resolution data sets to derive further information about cCREs, such as families of TFs that are likely to be binding to them. Regarding esRP scores, a third limitation is that we do not make explicit assignments for target genes of cCREs. Predictions of a large number of target gene–cCRE pairs were made in our prior work (Xiang et al. 2020); these assignments cover large genomic intervals around each gene and are most useful when used with further filtering, such as restricting cCREs and target genes to the same topologically associated domains. On-going work is examining other models and approaches for assigning likely target genes to cCREs. A fourth limitation is that our inference of repression-related cCREs applies only to those with stable histone modifications. Elements that had been involved in initiation of repression but eventually were packaged into quiescent chromatin, for example, via a hit-and-run mechanism (Shah et al. 2019), would not be detected. A fifth limitation concerns the scale of the studies of epigenetic conservation by correlations of epigenetic states. Our current approach is limited to individual examination of specific genetic loci because we used orthologous genes as the initial anchors. Exploring ways to expand the scale of the analytical approach is a goal of future research. Finally, the work presented here was restricted to blood cell types. In future work, extension of the approaches developed in this study to a broader spectrum of cell types would expand the utility of the resulting resources.

In conclusion, we present several important new resources to enable further and more detailed studies of gene regulation in human and mouse blood cells both during normal differentiation and in pathological contexts. The patterns of epigenetic states in

cCREs across cell types show value in developing an understanding of how genetic variants impact blood cell traits and diseases. Furthermore, the joint modeling between species opens avenues for further exploration of comparisons of epigenetic landscapes in addition to sequence alignments for insights into evolution and function of regulatory elements between species.

## Methods

### Data generation, collation, normalization, and integration

The data sets used as input, including the ones generated for the work reported here (with methods), are described in the [Supplemental Material](#) (“Data generation and collection”) and [Supplemental Tables S1 and S2](#). The S3V2 approach (Xiang et al. 2021) was used for normalization and for denoising the data sets prior to integration. The data sets were integrated to find and assign epigenetic states using IDEAS (Zhang et al. 2016; Zhang and Hardison 2017); the extension of this approach to joint learning and annotation between species is described in the [Supplemental Material](#) (the sections “Data normalization” and “Joint systematic integration of human and mouse blood cell epigenomes by IDEAS”).

### Prediction, annotation, and estimation of regulatory impact of cCREs

The identification of cCREs as peaks of chromatin accessibility employed IDEAS in the signal IS mode (Xiang et al. 2021). This approach and comparisons with MACS peaks (Zhang et al. 2008) are described in the [Supplemental Material](#) (“Prediction of VISION cCREs using IDEAS-IS”). The cCREs are provided in [Supplemental Table S3](#). Annotation of potential cCRE functions used intersections with orthogonal data sets of elements implicated in regulation or chromatin structure ([Supplemental Table S5](#)). Enrichment of genetic variants associated with blood cell traits used sLDSC (Finucane et al. 2015). The impact of epigenetic states in cCREs on regulation of gene expression used a multivariate linear regression approach like one described previously (Xiang et al. 2020). The methods and supplemental results on these analyses are presented in detail in the [Supplemental Material](#).

### Identification of clusters of cCREs based on esRP scores

The sets of human and mouse cCREs were placed jointly into groups based on their esRP scores using a series of *k*-means clustering steps, as described in detail in the [Supplemental Material](#) and [Supplemental Figure S14](#). Methods and results for enrichment of the resulting JmCs for orthogonal sets of regulatory elements and SNPs associated with blood cell traits, along with comparisons of clusters based on chromatin accessibility and H3K27ac signal, are described in the [Supplemental Material](#) and [Supplemental Figures S15–S18](#). Motifs that were differentially enriched across JmCs were identified using the Maelstrom tool in the GimmeMotifs suite (v0.17.1) (Bruse and van Heeringen 2018) and SeqUnwinder (Kakumanu et al. 2017), as described in detail in the [Supplemental Material](#) and [Supplemental Figure S21](#).

### Partitioning cCREs to evolutionary categories based on DNA sequence alignments and cCRE calls between species

The human and mouse cCREs were assigned to three evolutionary categories using the following procedure. The set of human cCREs was mapped to mouse genome assembly mm10 using the liftOver tool at the UCSC Genome Browser (Hinrichs et al. 2006). Human cCREs that failed to map to mm10 were grouped as N cCREs. Matches to mouse cCREs for the human cCREs that could be

mapped by liftOver to mm10 were determined using the intersect tool in BEDTools (Quinlan and Hall 2010). Human cCREs that overlapped with mouse cCREs were labeled as SF cCREs, whereas human cCREs that mapped to mm10 but did not match mouse cCREs were labeled as S cCREs. A similar process was performed on the set of mouse cCREs using liftOver to map to human genome build GRCh38

### Calculation of pairwise correlation coefficients for epigenetic landscapes between human and mouse

A bin-to-bin pairwise correlation analysis was used to quantify the similarity of epigenetic landscapes between two DNA regions in human and mouse. For each 200 bp bin in one cell type in one species, the assigned epigenetic state was replaced by a vector of mean signals of eight epigenetic features in the IDEAS state model. After replacing the states in all 15 matched cell types (14 analogous cell types and one pseudocell type with average values for all cell types) in the two species, the original two categorical state vectors with 15 elements were converted into two numeric vectors with 120 numbers ([Supplemental Fig. S28](#)). The similarity of cross-cell-type epigenetic landscape between two bins in the two species was defined as the correlation coefficient between each pair of numeric vectors with 120 numbers. When calculating the correlation coefficients, we added random noise (mean = 0, sd = 0.2) to the raw values to avoid high correlation coefficients created between regions with states that have low signals. The complex correlation matrix was decomposed into distinctive factors using NMF (Lee and Seung 1999). The methods and supplemental results on these analyses are presented in detail in the [Supplemental Material](#).

### Data access

All raw and processed sequencing data generated in this study have been submitted to the NCBI Gene Expression Omnibus (GEO; <https://www.ncbi.nlm.nih.gov/geo/>) under accession number GSE229101 and to the NCBI BioProject database (<https://www.ncbi.nlm.nih.gov/bioproject/>) under accession number PRJNA952902. Resources developed in the VISION project are available at the website <https://usevision.org>; the data can be viewed via a track hub at the UCSC Genome Browser or any compatible browser by using the URL <https://usevision.org/data/trackHub/hub.txt> or by clicking the track hubs link at <https://usevision.org>. The database cCRE db supports flexible user queries on extensive annotation of the cCREs, including epigenetic states and esRP scores across cell types, chromatin accessibility scores across cell types, membership in JmCs, and evolutionary categories. Code developed for this study is provided as two zipped directories in the [Supplemental Material](#): [Supplemental Code 1](#) for the joint IDEAS modeling and most other analyses, and [Supplemental Code 2](#) for the sLDSC analysis. The code is also available at the following GitHub repositories: [https://github.com/guanjue/Joint\\_Human\\_Mouse\\_IDEAS\\_State](https://github.com/guanjue/Joint_Human_Mouse_IDEAS_State) for the joint human–mouse IDEAS pipeline and [https://github.com/usevision/cre\\_heritability](https://github.com/usevision/cre_heritability) for the sLDSC analysis.

### Competing interest statement

The authors declare no competing interests.

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