



## Coexpression enrichment analysis at the single-cell level reveals convergent defects in neural progenitor cells and their cell-type transitions in neurodevelopmental disorders

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1 **Co-expression enrichment analysis at the single-cell level reveals convergent defects in**  
2 **neural progenitor cells and their cell-type transitions in neurodevelopmental disorders**

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23

24 **Abstract**

25           A large number of genes have been implicated in neurodevelopmental disorders (NDDs), but  
26 their contributions to NDD pathology are difficult to decipher without understanding their diverse roles in  
27 different brain cell types. Here, we integrated NDD genetics with single-cell RNA sequencing data to  
28 assess co-expression enrichment patterns of various NDD gene sets. We identified mid-fetal cortical  
29 neural progenitor cell development—more specifically, the ventricular radial glia-to-intermediate  
30 progenitor cell transition at gestational week 10—as a key point of convergence in autism spectrum  
31 disorder (ASD) and epilepsy. Integrated Gene Ontology-based analysis further revealed that ASD genes  
32 activate neural differentiation and inhibit cell cycle during the transition, whereas epilepsy genes function  
33 as downstream effectors in the same processes, offering one possible explanation for the high comorbidity  
34 rate of the two disorders. This approach provides a framework for investigating the cell-type-specific  
35 pathophysiology of NDDs.

36

## 37 **Introduction**

38 Over the past decade, large-scale exome and genome sequencing studies have established that  
39 hundreds of *de novo* genetic variants contribute to neurodevelopmental disorders (NDDs), including  
40 autism spectrum disorder (ASD) (Iossifov et al. 2014; De Rubeis et al. 2014; Krumm et al. 2015; Sanders  
41 et al. 2015; C Yuen et al. 2017), epilepsy (Allen et al. 2013; EuroEPINOMICS-RES Consortium et al.  
42 2017; Heyne et al. 2018), intellectual disability (ID) (de Ligt et al. 2012; Rauch et al. 2012; Lelieveld et al.  
43 2016), and developmental delay (DD) (Deciphering Developmental Disorders Study 2017). The  
44 underlying genetic landscapes of these disorders are so heterogeneous that most NDD-associated genes  
45 account for only a few cases of a given disease. Yet the fact that certain endophenotypes, such as seizures,  
46 are common to multiple NDDs suggests that the disease-associated genes might functionally converge on  
47 certain shared events in brain development (Anttila et al. 2018; Lo-Castro and Curatolo 2014). Identifying  
48 these convergences should deepen our understanding of NDD pathophysiology and may lead to viable  
49 treatments.

50 Several systems-level studies have made progress in this regard by integrating NDD genes with  
51 functional data. For example, one study applied weighted gene co-expression network analysis to identify  
52 modules of co-expressed genes that are enriched for association with ASD (Parikshak et al. 2013). This  
53 top-down analysis suggested that at the circuit level, ASD genes are enriched in superficial cortical layers  
54 and glutamatergic projection neurons during fetal cortical development. Another study took a bottom-up  
55 approach by focusing on nine high-confidence ASD genes and searching for spatiotemporal conditions in  
56 which probable ASD genes co-express with them; this strategy suggested that glutamatergic projection  
57 neurons in deep cortical layers of human mid-fetal prefrontal and primary motor-somatosensory cortex  
58 are a key point of ASD gene convergence (Willsey et al. 2013). Integrating gene co-expression with  
59 protein-protein interaction networks to identify modules that enrich for genes mutated in several NDDs  
60 revealed that different NDDs share a major point of gene convergence during early embryonic brain  
61 development (Hormozdiari et al. 2015). Although these and other studies (Chang et al. 2015; Krishnan et

62 al. 2016; Shohat et al. 2017; Lin et al. 2015) applied different methods, the main conclusions are similar:  
63 a substantial subset of ASD and/or other NDD genes converge in fetal cortical development.

64 The majority of co-expression analyses on NDDs utilized the BrainSpan dataset, which contains  
65 spatiotemporal gene expression data from the developing human brain (Kang et al. 2011). Because this  
66 dataset was collected from bulk brain tissue, it is hard to investigate cell-type-specific co-expression  
67 patterns. The recent publication of single-cell RNA sequencing (scRNA-seq) profile from the developing  
68 human prefrontal cortex (Zhong et al. 2018), however, provides an unprecedented opportunity to  
69 understand NDD pathophysiology in a cell-type-specific manner. Given that dysfunction of the prefrontal  
70 cortex has been implicated in multiple NDDs (Xiong et al. 2007; Gulsuner et al. 2013; Willsey et al. 2013;  
71 Arnsten 2006; Parikshak et al. 2013), we decided to integrate this scRNA-seq dataset with disease genes  
72 from NDDs to see if we could identify disease-specific convergence of NDD genes in specific cell types  
73 and developmental stages. We accomplished this and in the process uncovered critical cellular processes  
74 affected in ASD and epilepsy.

75

## 76 **Results**

### 77 **Genes associated with specific NDDs are co-expressed in specific cell types**

78 To identify high-confidence genes associated with risk for each NDD, we first interrogated genes  
79 with *de novo* protein-altering variants for the four NDDs in the denovo-db database (Turner et al. 2017)  
80 and non-redundant data for epilepsy (Epi) from two studies (EuroEPINOMICS-RES Consortium et al.  
81 2017; Heyne et al. 2018). Nonsense, frameshift, and canonical splice-site mutations generally lead to loss  
82 of function, whereas missense mutations can cause hypomorphic, hypermorphic, antimorphic, or  
83 neomorphic effects. Thus, for each NDD, we divided the associated genes into two categories: genes with  
84 *de novo* loss-of-function (dnLoF) mutations and genes with *de novo* missense (dnMis) mutations. To  
85 select the most relevant genes for each NDD, we included only genes with at least two or three  
86 (depending on gene set sizes) *de novo* mutations of the same category in each specific disorder (Methods).  
87 In total, we defined eight high-confidence NDD gene sets: dnLoF-ASD, dnLoF-Epi, dnLoF-ID, dnLoF-

88 DD, dnMis-ASD, dnMis-Epi, dnMis-ID, and dnMis-DD (**Supplemental Table S1A**). The different gene  
89 sets overlap somewhat, as expected from the high comorbidity among these NDDs (**Supplemental Fig.**  
90 **S1**).

91 To investigate the co-expression dynamics of NDD genes in specific cell types, we utilized the  
92 scRNA-seq dataset from more than 2,300 single cells of the developing human prefrontal cortex at  
93 gestational weeks (GWs) 8 to 26 (Zhong et al. 2018). This dataset contains six major cell types: neural  
94 progenitor cells (NPCs), excitatory neurons, interneurons, astrocytes, oligodendrocyte progenitor cells  
95 (OPCs), and microglia. We performed co-expression analyses of the different NDD gene sets using the  
96 transcriptomic data from each of these cell types.

97 We reasoned that mutations in different genes that cause similar symptoms are more likely to  
98 functionally converge on processes, stages in brain development, or specific cell types. This functional  
99 convergence should be reflected by an increase in the level of co-expression within a particular NDD  
100 gene set compared with the overall co-expression level of all the expressed genes (background genes) in  
101 that cell type (Methods). In brief, we calculated the pairwise Spearman's correlation coefficients between  
102 background genes in each cell type and defined the top 0.5% gene pairs with the highest correlation  
103 coefficients as significantly co-expressed. We then calculated the fraction of significantly co-expressed  
104 gene pairs out of all pairs of genes in the NDD gene set and divided it by 0.5% to get a co-expression fold  
105 enrichment score of the NDD gene set. A high co-expression fold enrichment score indicates that the  
106 genes in the set are more significantly co-expressed than background genes. To verify that enrichment is  
107 specific and disease-relevant, we also included several control gene sets, including genes with dnLoF  
108 mutations in unaffected ASD siblings (Turner et al. 2017), genes with LoF mutations in the general  
109 population (Lek et al. 2016), brain-specific gene regulatory factors (Brain-GRF) (Berto et al. 2016), and  
110 synaptic genes (Koopmans et al. 2019) (Methods; **Supplemental Table S1A**).

111 We calculated co-expression fold enrichment scores for the eight NDD gene sets and four control  
112 gene sets across the six major cell types (Fig. 1A; **Supplemental Fig. S2**). In general, NDD gene sets  
113 showed significantly higher co-expression than control gene sets (Fig. 1A; **Supplemental Fig. S2 and**

114 **S3**). The majority of NDD gene sets showed high co-expression in NPCs (Fig. 1A), suggesting a  
115 convergent involvement of NPCs in different NDDs. Moreover, dnLoF-ASD and dnMis-Epi genes stood  
116 out as having the highest co-expression enrichment scores in specific cell types (Fig. 1A; **Supplemental**  
117 **Fig. S4**). dnLoF-ASD genes have the highest co-expression in NPCs (18.8-fold enrichment), suggesting a  
118 significant contribution of NPCs to ASD pathophysiology (Fig. 1A). dnMis-ASD genes showed low co-  
119 expression in the six cell types (Fig. 1A), consistent with previous estimates that ~43% of dnLoF  
120 mutations (but only ~13% of dnMis mutations) contribute to ASD diagnosis (Iossifov et al. 2014). dnMis-  
121 Epi genes are highly co-expressed in NPCs, excitatory neurons, and, more prominently, interneurons (Fig.  
122 1A), in line with previous findings that dnMis mutations (Hamdan et al. 2017; Heyne et al. 2018) and  
123 interneuron dysfunction (Lado et al. 2013; Noebels 2015) contribute significantly to the etiology of  
124 epilepsy. ID and DD genes did not exhibit comparable co-expression, suggesting either less functional  
125 convergence or perhaps the need to examine single-cell data from other brain regions.

126 To determine whether the observed co-expression enrichment reflects true biological signals, we  
127 systematically tested possible confounders (Crow et al. 2016; McCall et al. 2016; Skinnider et al. 2019)  
128 (**Supplemental Methods**). We found that co-expression enrichment is robust to changes in the co-  
129 expression threshold (**Supplemental Fig. S5 and S6**) and correlation-based measures of association  
130 (**Supplemental Fig. S7**). Co-expression enrichment calculated using Spearman's correlation can capture  
131 known correlated pathways (**Supplemental Fig. S8; Supplemental Table S1C**). Co-expression  
132 enrichment remains similar after controlling for gene set size difference (**Supplemental Fig. S9**), gene  
133 expression level dependence (**Supplemental Fig. S10**), and severity of missense mutations  
134 (**Supplemental Fig. S11**). Because cell numbers vary across the six major cell types (Fig. 1A;  
135 **Supplemental Table S1B**), we downsampled the same number of cells for each type to make the co-  
136 expression enrichment scores comparable (Methods). We found that reducing cell numbers generally  
137 decreases the co-expression enrichment scores (Fig. 1B,C; **Supplemental Fig. S12**), consistent with the  
138 previous finding that larger cell numbers facilitate the reconstruction of more robust and coherent  
139 networks (Skinnider et al. 2019). Nevertheless, even after downsampling, dnLoF-ASD genes still had the

140 highest co-expression in NPCs (Fig. 1B), and dnMis-Epi genes were still highly co-expressed in NPCs  
141 and interneurons (their highest co-expression was in microglia; Fig. 1C). Although we used percentile-  
142 based cutoff for co-expression enrichment analysis to mitigate the effect of global co-expression  
143 differences across cell types, the findings are consistent with results from absolute correlation analysis  
144 (**Supplemental Fig. S13 and S14**). Although microglia have been implicated in epilepsy (Vezzani et al.  
145 2011, 2013), all our subsequent analyses focus on NPCs and interneurons because of their larger sample  
146 sizes.

147 **Supplemental Fig. S15 and S16** present several examples of dnLoF-ASD and dnMis-Epi gene  
148 pairs that show higher co-expression in NPCs and interneurons, respectively. Fig. 1D,E show the co-  
149 expression networks for dnLoF-ASD and dnMis-Epi genes in the six major cell types using the original  
150 sample size, highlighting the larger number of network edges in the cell types with higher co-expression  
151 enrichment.

152

### 153 **ASD and epilepsy genes co-express at specific developmental stages in NPCs and interneurons**

154 To determine the specific developmental stages that contribute to the co-expression of dnLoF-  
155 ASD in NPCs and dnMis-Epi genes in NPCs and interneurons, we performed co-expression enrichment  
156 analysis of these two gene sets at different time points. To overcome sample size difference and increase  
157 the accuracy of co-expression enrichment score estimation, we focused on cell stages with at least 50 cells  
158 and downsampled the same number of cells for each cell stage to make results comparable (Methods; Fig.  
159 2A-C; **Supplemental Fig. S17**). We included excitatory neurons for comparison (Fig. 2B).

160 In NPCs, dnLoF-ASD genes were highly co-expressed at GW10 and, to a lesser extent, GW16  
161 (Fig. 2A; **Supplemental Fig. S17A**). At GW10, ventricular radial glia (vRG) cells in the subventricular  
162 zone give rise to intermediate progenitor cells (IPCs) (Lui et al. 2011), which further differentiate into  
163 deep-layer neurons (Nowakowski et al. 2016). We found high co-expression of dnLoF-ASD genes in  
164 GW10 vRG cells and IPCs together, but little to no co-expression in either cell type alone (Fig. 2D;  
165 **Supplemental Fig. S18A, S19A and S20**)—i.e., the high co-expression occurs during the vRG-to-IPC

166 transition. Consistently, most dnLoF-ASD genes concurrently increased expression during the transition  
167 from vRG cells to IPCs (Fig. 2G; **Supplemental Table S2**). ASD genes with one or two dnLoF mutations  
168 and all the SFARI curated gene sets except category six (Basu et al. 2009) also increased expression  
169 during this transition (**Supplemental Fig. S22**). Figure 2H,I compare the co-expression networks between  
170 individual cell types and the cell-type transition at GW10 for dnLoF-ASD and dnMis-Epi genes using the  
171 original sample size.

172 At GW16, vRG cells not only give rise to IPCs in the subventricular zone but also produce outer  
173 radial glia (oRG) cells that will migrate to the outer subventricular zone (Nowakowski et al. 2016; Lui et  
174 al. 2011; Fietz et al. 2010; Hansen et al. 2010), where they further differentiate into upper-layer neurons.  
175 Although GW16 vRG cells did not show co-expression enrichment, oRG cells and IPCs showed moderate  
176 co-expression enrichment (Fig. 2E; **Supplemental Fig. S18B and S19B**). Unlike the GW10 situation,  
177 however, co-expression enrichment was not higher in the combination of oRG cells and IPCs (Fig. 2F;  
178 **Supplemental Fig. S19C**), and dnLoF-ASD genes did not show expression change during the vRG-to-  
179 oRG, vRG-to-IPC, or oRG-to-IPC transitions (**Supplemental Fig. S23**). Co-expression scores for dnMis-  
180 Epi genes were generally lower than those of dnLoF-ASD genes but showed similar patterns at NPC  
181 stages and transitions (Fig. 2A,D-G; **Supplemental Fig. S17A, S18, S19, S21 and S23**).

182 In excitatory neurons, neither dnLoF-ASD or dnMis-Epi genes showed much co-expression (Fig.  
183 2B), despite their elevated absolute correlation at GW16 (**Supplemental Fig. S17B**). In interneurons,  
184 dnMis-Epi genes were highly co-expressed at later developmental stages, particularly GW23 (Fig. 2C;  
185 **Supplemental Fig. S17C**), when interneurons are developing axons and maturing in the prefrontal cortex  
186 (Zhong et al. 2018).

187

## 188 **ASD and epilepsy genes during the differentiation from NPCs to excitatory neurons**

189 To understand whether dnLoF-ASD or dnMis-Epi genes coordinate during cell differentiation, we  
190 analyzed the co-expression pattern of these two gene sets during NPC terminal differentiation (Fig. 3A,B).  
191 Due to the limited sample size (**Supplemental Table S1B**), we focused on NPC-to-excitatory neuron

192 differentiation at GW10 and GW16, when we could study at least 50 samples in both NPCs and  
193 excitatory neurons. Excitatory neurons sampled from GW10 and GW16 are mostly deep-layer and upper-  
194 layer neurons, respectively (**Supplemental Fig. S24**). Both dnLoF-ASD and dnMis-Epi genes displayed  
195 their highest co-expression in NPCs but not in the combined cells (Fig. 3A,B; **Supplemental Fig. S25**).  
196 Their co-expression was not the highest in the combined cells of NPC subtypes and excitatory neurons  
197 either (**Supplemental Fig. S26A,B and S27A-C**). However, their expression rose during the  
198 differentiation, especially at GW16 (Fig. 3C,D; **Supplemental Fig. S26C,D and S27D-F; Supplemental**  
199 **Table S3**). These data indicate that as ASD and epilepsy genes become more abundant in excitatory  
200 neurons, they also become more specialized in function.

201

#### 202 **ASD and epilepsy genes are associated with the transition at GW10**

203 To identify the functions of dnLoF-ASD and dnMis-Epi genes during the vRG-to-IPC transition  
204 at GW10, we developed a Gene Ontology (GO) correlation analysis to determine the correlation between  
205 a given gene set and any GO term in a context-dependent manner (Methods). We found that ASD and  
206 epilepsy genes are positively correlated with genes involved in neurogenesis and neural differentiation  
207 (Fig. 4A,B; **Supplemental Table S4A,B**) but negatively correlated with genes involved in cell cycle and  
208 cellular respiration (Fig. 4C,D; **Supplemental Table S4C,D**).

209 Like ASD and epilepsy genes, genes in GO terms that show positive correlation increase their  
210 expression during the transition (Fig. 4A,B; **Supplemental Table S4A,B**). Genes in GO terms that show  
211 negative correlations, especially those involved in the cell cycle, tend to decrease their expression during  
212 the transition (Fig. 4C,D; **Supplemental Table S4C,D**). These observations are consistent with the fact  
213 that IPCs exhibit increased neuronal commitment and decreased proliferation capacity compared with  
214 vRG cells (Noctor et al. 2004).

215

#### 216 **ASD and epilepsy genes serve different functions during the NPC transition**

217 If dnLoF-ASD and dnMis-Epi genes are involved in the same biological pathways during the  
218 NPC transition at GW10, the differences between ASD and epilepsy suggest that the underlying  
219 mechanisms should differ. We therefore examined the composition of each gene set. We found that ASD  
220 genes are enriched in GO terms such as chromatin modification and organization, while epilepsy genes  
221 are enriched for neurogenesis and neural differentiation (Fig. 5A,B; **Supplemental Table S5A,B**). Given  
222 that chromatin modification and organization are critical for transcriptional regulation, and dozens of  
223 ASD-associated chromatin regulators have well-known regulatory functions in neurogenesis (Ronan et al.  
224 2013; Ernst 2016; Courchesne et al. 2019), these results suggest that ASD genes serve as upstream  
225 regulators to control the transcription of other genes in these pathways to promote the NPC transition at  
226 GW10, while epilepsy genes could be downstream effectors. Neither ASD nor epilepsy genes showed  
227 enrichment in cell cycle-related GO terms with which they negatively correlate (Fig. 5C,D;  
228 **Supplemental Table S5C,D**). ASD genes might therefore also serve to repress cell cycle progression.

229

### 230 ***CHD8* promotes neural differentiation and inhibits cell cycle**

231 To determine whether dnLoF-ASD genes are indeed upstream regulators in the NPC transition,  
232 we studied the chromatin remodeling gene *CHD8*, a key high-confidence ASD gene (Bernier et al. 2014).  
233 *CHD8* is a hub gene in the vRG-to-IPC transition network at GW10 (Fig. 2H). A previous study  
234 performed RNA-seq analysis on *Chd8* haploinsufficient mice using forebrain tissue at five developmental  
235 stages (E12.5, E14.5, E17.5, P0, and adult) (Gompers et al. 2017). The top 300 downregulated and top  
236 300 upregulated genes in *Chd8* haploinsufficient mice at each stage were defined as *CHD8*-activated and  
237 -repressed genes, respectively (**Supplemental Methods; Supplemental Table S6A**). We found that only  
238 *CHD8*-activated genes at E14.5 are both preferentially bound by *CHD8* (Gompers et al. 2017) and  
239 enriched for ASD genes (**Supplemental Fig. S28**), suggesting that they are more likely genuine *CHD8*  
240 targets involved in ASD pathology. Thus, we deemed *CHD8*-activated and -repressed genes at E14.5 as  
241 *CHD8* targets in ASD for further analysis.

242 We first analyzed the expression pattern of these *CHD8* targets in human GW10 NPCs. *CHD8*  
243 doubled its expression during the vRG-to-IPC transition (Fig. 2H; **Supplemental Table S2**). Expression  
244 of *CHD8*-activated genes rose during the transition, while *CHD8*-repressed genes decreased, compared  
245 with background genes (Fig. 6A; **Supplemental Table S6B**). *CHD8* was more positively correlated with  
246 *CHD8*-activated genes and more negatively correlated with *CHD8*-repressed genes than the background  
247 genes (Fig. 6B; **Supplemental Table S6C**). Moreover, *CHD8*-activated genes were enriched for GO  
248 terms related to neurogenesis and neuron development (Fig. 6C; **Supplemental Table S6D**), whereas  
249 *CHD8*-repressed genes were enriched for GO terms related to cell cycle (Fig. 6D; **Supplemental Table**  
250 **S6E**). These results indicate that *CHD8* promotes the vRG-to-IPC transition at GW10 by activating neural  
251 differentiation pathways and repressing cell cycle-related processes. This would predict that *CHD8*  
252 haploinsufficiency shifts vRG cells towards proliferation instead of differentiation. Indeed, *Chd8*  
253 haploinsufficient mice have greater number of radial glia cells and fewer IPCs during embryonic  
254 development (Gompers et al. 2017).

255

### 256 **Co-expression enrichment of NDD genes faithfully represents NDD pathophysiology**

257 Our co-expression enrichment analysis assumes that functional convergences of high-confidence  
258 NDD genes represent core pathways underlying the diseases. If this assumption is correct, we would  
259 expect that lower-confidence NDD genes would also converge to core pathways. We therefore calculated  
260 Spearman's correlation with dnLoF-ASD genes in NPCs for dnLoF-ASD genes (with  $\geq 3$  dnLoF  
261 mutations) and ASD genes with fewer dnLoF mutations. As expected, ASD genes harboring one or two  
262 dnLoF mutations correlate more strongly with dnLoF-ASD genes than genes harboring no dnLoF  
263 mutations, independently confirming that co-expression enrichment of dnLoF-ASD genes in NPCs  
264 captures ASD pathology (Fig. 7A; **Supplemental Table S7A**). Similar results were obtained for dnMis-  
265 Epi genes in interneurons (Fig. 7B; **Supplemental Table S7B**).

266 These results suggest that genes with more mutations tend to be at the core of the NDD gene co-  
267 expression network while genes with fewer mutations tend to be in the periphery. To test this hypothesis,

268 we constructed an NPC co-expression network of all the ASD genes with dnLoF mutations (Fig. 7C;  
269 **Supplemental Table S7C**) and an interneuron co-expression network of all the epilepsy genes with  
270 dnMis mutations (Fig. 7D; **Supplemental Table S7D**). Consistent with our hypothesis, genes with more  
271 mutations tended to occupy the core of the network, as indicated by a significantly higher co-expression  
272 degree (Fig. 7E,F; **Supplemental Table S7E,F**). These findings confirm that co-expression enrichment of  
273 NDD-associated genes faithfully reveals biological mechanisms.

274

## 275 **Discussion**

276 This study supports the hypothesis that heterogeneous genetic mutations in ASD and epilepsy  
277 converge to disrupt a small set of critical neurodevelopmental events in particular cell types, expanding  
278 our understanding of NDD pathophysiology and leading towards comprehensive cell maps in  
279 neuropsychiatric disorders (Willsey et al. 2018). Our study also presents a computational framework for  
280 analyzing disease pathophysiology using scRNA-seq datasets.

281

## 282 **NDD pathophysiology depends on types of genetic perturbations**

283 When analyzing the NDD gene sets, we found that for the same disorder, genes with different  
284 types of mutations display distinct co-expression patterns. For instance, dnLoF-ASD genes have the  
285 highest co-expression enrichment in NPCs among all the NDD gene sets, but dnMis-ASD genes showed  
286 little co-expression; dnLoF-Epi genes showed little co-expression in interneurons, while dnMis-Epi genes  
287 had high enrichment in the same cell type. One possible explanation is that haploinsufficiency is the  
288 major genetic mechanism for highly penetrant ASD genes, whereas gain-of-function or dominant-  
289 negative missense mutations dominate the mutational spectrum of highly penetrant genes in epilepsy.  
290 Several lines of evidence support this explanation. First, about three times as many LoF mutations  
291 contribute to ASD diagnosis as missense mutations (Iossifov et al. 2014). Second, missense variants  
292 explain a larger proportion of individuals with epilepsy than of individuals with ID (Hamdan et al. 2017),  
293 and NDD individuals with missense variants are more likely to have epilepsy than individuals with LoF

294 variants (Heyne et al. 2018). In fact, dozens of dominant-negative or gain-of-function missense mutations  
295 have been reported in epilepsy (Yuan et al. 2014; Nava et al. 2014; Orhan et al. 2014; Veeramah et al.  
296 2012; Barcia et al. 2012; Lemke et al. 2014; Li et al. 2016b). Finally, at the individual gene level,  
297 missense variants in *SCN2A* and *SCN8A* are more strongly implicated in epilepsy than LoF variants  
298 (Heyne et al. 2018), and while gain-of-function variants in *SCN2A* contribute to seizure, all ASD-  
299 associated variants dampen or eliminate channel function (Ben-Shalom et al. 2017). Furthermore, we  
300 found that ASD genes tend to regulate the transcription of other genes in neural differentiation pathways  
301 whereas epilepsy genes tend to serve as downstream effectors. This helps explain why so many ASD  
302 involve seizures (Sundelin et al. 2016; Betancur 2011) but most epilepsies do not involve ASD: a  
303 mutation in a single ion channel downstream of the differentiation program might severely affect one  
304 electrophysiological property of IPCs and neurons, but a mutation in a transcription regulator upstream of  
305 the differentiation program could broadly and moderately affect multiple aspects of the cell, such as  
306 proliferation, specification, and maturation. Some ASD genes, like *CHD8*, might also determine whether  
307 to initiate the transition and/or regulate the balance of NPC proliferation and differentiation at the early  
308 stage of the transition. LoF mutations in this kind of genes could explain early brain overgrowth in ASD  
309 (Courchesne et al. 2007, 2019; Ernst 2016; Gompers et al. 2017).

310

### 311 **NPCs and cell-type transition in ASD and epilepsy**

312 Another finding is the difference in co-expression patterns within a cell type and during the cell-  
313 type transition. Both dnLoF-ASD and dnMis-Epi genes are more strongly co-expressed at GW10 in the  
314 whole NPC population than within vRG cells or IPCs alone, suggesting that these genes play a critical  
315 role in the vRG-to-IPC transition. This transition is central to cortical development. vRG cells undergo  
316 either symmetric division to proliferate and expand the radial glia pool or asymmetric division to generate  
317 neurons or IPCs. IPCs migrate out of the ventricular zone to form the SVZ at the basal side. There, they  
318 undergo limited rounds of divisions to produce multiple neurons. Disruptions in this two-step pattern of  
319 neurogenesis would therefore derail cerebral cortex development (Martínez-Cerdeño et al. 2006;

320 Kriegstein et al. 2006; Krogan et al. 2016; Gompers et al. 2017; Li et al. 2016a; Shenhav et al. 2012; Daza  
321 et al. 2016). Moreover, the morphological and electrophysiological properties of upper-layer neurons  
322 depend on their origins from radial glia cells or IPCs (Haydar et al. 2015). Thus, gene mis-expression  
323 during the vRG-to-IPC transition is a plausible pathogenic pathway for ASD and epilepsy. Without  
324 transcriptomic data at the single-cell level, this kind of subpopulation analysis would be very difficult if  
325 not impossible.

326 More importantly, our approach not only yields a list of core genes with a high co-expression  
327 degree but also identifies the most relevant cell types where these genes and pathways exhibit convergent  
328 function. Future investigations focusing on these core genes and their related regulatory pathways in the  
329 most relevant cell types and developmental stages would accelerate ASD and epilepsy gene discovery and  
330 enable a more comprehensive understanding of their pathophysiology. Development of precise therapies  
331 targeting convergent mechanisms could benefit groups of individuals across NDDs with similar  
332 symptoms (Sztainberg and Zoghbi 2016; Ernst 2016; Sestan and State 2018; Pang et al. 2014).

333

#### 334 **Robustness of co-expression enrichment analysis**

335 We have demonstrated that our co-expression enrichment analysis is not affected by co-  
336 expression threshold, correlation-based measures of association, gene set size, gene expression level, or  
337 severity of missense mutations. Sample size did correlate with co-expression enrichment score, however,  
338 and previous work has also shown that larger cell numbers facilitate the reconstruction of more robust and  
339 coherent networks (Skinnider et al. 2019). We suggest that controlling for sample size difference be  
340 established as a standard for co-expression comparison analysis across different conditions. Previous  
341 studies based on co-expression comparison analyses across different conditions that did not control for  
342 sample size difference (Willsey et al. 2013; Lin et al. 2015; Gulsuner et al. 2013) probably need to be  
343 evaluated for sample size effect. Sample size effect could also emerge when combining different  
344 conditions to construct a global co-expression network, because the signal would be dominated by  
345 conditions with larger sample sizes. Although we used percentile-based cutoff for co-expression

346 enrichment analysis to mitigate the effect of global co-expression differences across cell types, our  
347 findings were consistent with results from the absolute correlation analysis. The high co-expression  
348 enrichment score also reflects the absolute elevation of co-expression level, especially for dnLoF-ASD  
349 genes in NPCs (**Supplemental Fig. S13A**), dnMis-Epi genes in interneurons (**Supplemental Fig. S13B**),  
350 dnLoF-ASD and dnMis-Epi genes in NPCs at GW10 and GW16 (**Supplemental Fig. S17A**), and dnLoF-  
351 ASD and dnMis-Epi genes in the vRG-to-IPC transition at GW10 (**Supplemental Fig. S19A**).

352 Lastly, it is worth noting that the relatively small sample size limited our analysis to a few cell  
353 types and developmental stages. Because we used the scRNA-seq dataset from the mid-fetal stage of the  
354 developing human brain, our analysis focused on transcriptional programs and cell-autonomous effects  
355 that take place early in brain development. In the future, it could be fruitful to expand our analysis to  
356 more cell types and developmental stages at both cell-autonomous and cell-cell interaction levels, as  
357 larger scRNA-seq datasets covering later developmental stages become available.

358

## 359 **Methods**

### 360 **High-confidence NDD gene sets**

361 We downloaded *de novo* mutation data for four NDDs: ASD, epilepsy, ID, and DD from the  
362 denovo-db v.1.5 database release (Turner et al. 2017) (<http://denovo-db.gs.washington.edu>). For epilepsy,  
363 we also added *de novo* mutation data from two studies (EuroEPINOMICS-RES Consortium et al. 2017;  
364 Heyne et al. 2018) not included in the denovo-db v.1.5 database. We extracted genes with dnLoF  
365 (nonsense, frameshift, and canonical splice site) and dnMis mutations from whole-exome or -genome  
366 sequencing data for these four NDDs. The number of dnLoF (dnMis) mutations for a gene in a disorder  
367 was defined as the number of distinct individuals with the disorder harboring dnLoF (dnMis) mutations in  
368 the gene. High-confidence dnLoF (dnMis) genes for ASD, epilepsy, ID, and DD were defined as genes  
369 with at least three dnLoF (dnMis) mutations in each disorder. For high-confidence gene sets with fewer  
370 than 20 genes (dnLoF-Epi, dnLoF-ID, dnMis-Epi, and dnMis-ID), we used genes with at least two *de*  
371 *nov* mutations. For comparison, we used several control sets: (1) genes with at least one dnLoF mutation

372 in unaffected ASD siblings in the denovo-db database as sibling controls; (2) genes with at least one LoF  
373 mutation in the ExAC database (Lek et al. 2016) with known neuropsychiatric cohorts removed as general  
374 controls; (3) Brain-GRF, a literature-curated list of gene regulatory factors that function in the human  
375 brain (Berto et al. 2016); (4) synapse genes from the SynGO knowledge base (Koopmans et al. 2019).  
376 SFARI ASD genes from the SFARI Gene database (Basu et al. 2009) were grouped into syndromic genes  
377 (category S) and genes with different evidence levels (categories 1-6; high confidence-low evidence). In  
378 addition, we assessed whether pathogenicity metrics such as CADD score (Kircher et al. 2014) could  
379 improve NDD gene sets with dnMis mutations. We focused on ASD and DD genes with a large number  
380 of dnMis mutations and obtained two high-confidence gene sets: ASD gene sets harboring at least two  
381 dnMis mutations with CADD score>25, and DD gene sets harboring at least three dnMis mutations with  
382 CADD score>25.

383

#### 384 **Processing scRNA-seq data according to cell type and stage**

385 Human fetal prefrontal cortical scRNA-seq data (Zhong et al. 2018) were downloaded from the  
386 Gene Expression Omnibus under the accession number GSE104276. The transcript counts of each cell  
387 were normalized to transcripts per million (TPM), where TPM is the transcript count of each gene divided  
388 by the total transcript count of the cell and multiplied by one million. Gene-level TPM expression values  
389 were further transformed to  $\log_2(TPM + 1)$  values.

390 Based on the sample annotation file, cells were first divided into six major types: NPCs,  
391 excitatory neurons, interneurons, astrocytes, OPCs, and microglia. For each type, genes were considered  
392 to be expressed in that type if the expression level >0 in at least 10% of cells for that type. Samples in  
393 each major cell type were further divided into cell stages based on developmental time points, and only  
394 the cell stages containing at least 50 samples were used for analysis. Only the time-matched cell stages  
395 containing at least 50 samples in both NPCs and excitatory neurons (astrocytes or OPCs) were used to  
396 study the differentiation from NPCs to excitatory neurons (astrocytes or OPCs). Samples in NPCs were  
397 further divided into three cell subtypes: vRG cells, oRG cells, and IPCs according to the clustering result

398 of NPCs (Zhong et al. 2018), where vRG cells correspond to clusters 1, 2 and 6, oRG cells correspond to  
399 clusters 7, 8 and 9, and IPCs correspond to clusters 3, 4 and 5. Samples in excitatory neurons at GW16  
400 were also divided into three cell subclusters: Ex\_C3, Ex\_C4, and Ex\_C5 according to the clustering result  
401 of excitatory neurons (Zhong et al. 2018). P values for the expression difference of layer marker genes  
402 between GW16 excitatory neuron subclusters and GW10 excitatory neurons were computed using  
403 DESeq2 on un-normalized counts (Love et al. 2014). P values for the overlap between eight NDD gene  
404 sets were calculated by the one-sided Fisher's exact test using genes expressed in at least one major cell  
405 type as background genes.

406

#### 407 **Construction of co-expression networks**

408 To construct a co-expression network for each of six major cell types, we used genes expressed in  
409 the cell type as background genes. We first computed the pairwise Spearman's rank correlation  
410 coefficients between background genes and sorted all the pairwise Spearman's correlation coefficients in  
411 descending order. Then, we determined the correlation threshold for the top 0.5% highest pairwise  
412 Spearman's correlation coefficients (commonly used to construct co-expression networks (Lee et al. 2004;  
413 Crow et al. 2016)) and the value 0.5% was defined as co-expression network density for the background  
414 genes. Next, we used the same correlation threshold to construct a co-expression network for a given gene  
415 set.

416 For cell stages divided based on developmental time points in each major cell type, we used  
417 genes expressed in the major cell type as background genes. For three cell subtypes of NPCs: vRG cells,  
418 oRG cells, and IPCs as well as their transitions, we used genes expressed in NPCs as background genes.  
419 Genes expressed in either NPCs or excitatory neurons were defined as genes expressed in the NPC-to-  
420 excitatory neuron differentiation and used as background genes for the differentiation. The co-expression  
421 degree of a gene in the co-expression network is the number of genes co-expressed with the gene. All the  
422 co-expression networks were visualized using Cytoscape (Shannon et al. 2003).

423

## 424 **Co-expression enrichment analysis**

425           When constructing a co-expression network for the background genes in one cell type, the value  
 426 0.5% used for selection of correlation threshold was defined as co-expression network density for the  
 427 background genes. Similarly, the co-expression network density for a gene set was defined as the number  
 428 of significant co-expressed pairs divided by the number of all pairs between genes in the gene set. Then,  
 429 the co-expression fold enrichment score for the gene set was defined as the ratio of the co-expression  
 430 network density for the gene set to the co-expression network density for the background genes. The  
 431 statistical significance of the co-expression fold enrichment score of the gene set was assessed in two  
 432 ways. First, we compared the co-expression network density for the gene set against the co-expression  
 433 network density for the background genes by the one-sided Fisher's exact test with R function:

$$fisher.test\left(\begin{pmatrix} A & B - A \\ C & D - C \end{pmatrix}, alternative = "greater"\right)$$

434 where A is the number of significant co-expressed pairs between genes in the gene set, B is the number of  
 435 all pairs between genes in the gene set, C is the number of significant co-expressed pairs between the  
 436 background genes, and D is the number of all pairs between the background genes. Second, we also  
 437 assessed the statistical significance of the co-expression fold enrichment score of the gene set by  
 438 comparing whether the gene set has a higher co-expression fold enrichment score than the other NDD  
 439 gene sets. Similarly, the one-sided Fisher's exact test was used to compute the statistical significance of  
 440 the comparison of the co-expression network density for the gene set against the co-expression network  
 441 density for another NDD gene set.

442

## 443 **Downsampling to control for sample size difference**

444           The six major cell types had different sample sizes, and microglia had a minimum sample size  
 445 (68 cells). For fair comparison across the major cell types, we downsampled the same number of cells (68  
 446 cells) 1000 times for NPCs, excitatory neurons, interneurons, astrocytes, and OPCs to calculate co-  
 447 expression fold enrichment score. For fair comparison across the cell stages of the major cell types, we

448 downsampled the same number of cells (50 cells) 1000 times for each cell stage to calculate a co-  
449 expression fold enrichment score. For cell-type transition or differentiation between one cell type with a  
450 small population and another more abundant cell type: (1) we downsampled the cell type with the larger  
451 population 1000 times to calculate co-expression fold enrichment score; (2) we downsampled the  
452 combined population through sampling equal number of cells from each individual cell type and repeated  
453 1000 times to calculate the co-expression fold enrichment score. To calculate the distribution of average  
454 Spearman's correlation coefficients of an NDD gene set for each condition by downsampling, the  
455 pairwise Spearman's rank correlation coefficients within an NDD gene set were averaged and repeated  
456 1000 times.

457

#### 458 **Correlation with dnLoF-ASD and dnMis-Epi genes**

459 For the calculation of correlation with dnLoF-ASD genes in NPCs, we used genes expressed in  
460 NPCs as background genes. For any non-dnLoF-ASD gene expressed in NPCs, the correlation with  
461 dnLoF-ASD genes for the gene was defined as the average Spearman's correlation coefficients between  
462 the gene and dnLoF-ASD genes. For any dnLoF-ASD gene expressed in NPCs, the correlation with  
463 dnLoF-ASD genes for the gene was defined as the average Spearman's correlation coefficients between  
464 the gene and the other dnLoF-ASD genes. Based on the correlation with dnLoF-ASD genes for any gene  
465 expressed in NPCs, we then obtained the distribution of correlations with dnLoF-ASD genes for different  
466 types of ASD genes. Differences in correlations between different ASD gene sets were estimated using  
467 the one-sided Wilcoxon rank-sum test. A similar analysis was performed to compute the correlation with  
468 dnLoF-ASD genes during the transition from vRG cells to IPCs at GW10 using genes expressed in NPCs  
469 as background genes. A similar analysis was performed to compute the correlation with dnMis-Epi genes  
470 in interneurons and the transition from vRG cells to IPCs at GW10 using genes expressed in interneurons  
471 and NPCs as background genes, respectively.

472

#### 473 **GO enrichment analysis of dnLoF-ASD and dnMis-Epi genes**

474 To perform GO enrichment analysis, the ontology and human annotation files were downloaded  
475 from the GO database (<http://www.geneontology.org>). To compute the overlap between dnLoF-ASD  
476 genes and GO biological process terms during the transition from vRG cells to IPCs at GW10, we used  
477 genes expressed in NPCs as background genes. Genes that are annotated under the GO terms but not  
478 expressed in NPCs were removed. Only GO terms with the remaining gene number between 10 and 1000  
479 after filtering were used for GO enrichment analysis. P values of the overlap between dnLoF-ASD genes  
480 and GO terms were computed using the one-sided Fisher's exact test and corrected for multiple  
481 hypothesis testing using false discovery rate (FDR) (Benjamini and Hochberg 1995). For GO enrichment  
482 analysis of dnMis-Epi genes, the same process was repeated.

483

#### 484 **GO correlation analysis of dnLoF-ASD and dnMis-Epi genes during the cell-type transition**

485 Based on the correlation with dnLoF-ASD genes during the vRG-to-IPC transition at GW10 for  
486 any background gene expressed in NPCs, we then obtained the distribution of correlations with dnLoF-  
487 ASD genes during the transition for genes annotated under a GO biological process term. Only GO terms  
488 with the remaining gene number between 10 and 1000 after filtering by the background genes were used.  
489 Then, we computed, by the one-sided Wilcoxon rank-sum test, the P value for whether genes annotated  
490 under the GO term have higher correlations than the background genes. We used this P value to measure  
491 how strongly the GO term positively correlates with dnLoF-ASD genes during the transition. We used the  
492 one-sided Wilcoxon rank-sum test to compute P value for whether genes annotated under the GO term  
493 have lower correlations than the background genes. We used this P value to measure how strongly the GO  
494 term negatively correlates with dnLoF-ASD genes during the transition. The P values for all GO terms  
495 from GO positive or negative correlation analysis of dnLoF-ASD genes during the transition were  
496 adjusted using the Benjamini and Hochberg method. For GO correlation analysis of dnMis-Epi genes  
497 during the vRG-to-IPC transition, the same process was repeated.

498

#### 499 **Expression change of dnLoF-ASD and dnMis-Epi genes during cell-type transitions**

500 To compute the  $\log_2(\text{fold change})$  value for a gene during the transition from vRG cells to IPCs at  
501 GW10, gene expression TPM values of the gene in the vRG and IPC samples at GW10 were added by 1.  
502 Then, the average expression of the gene across samples in IPCs at GW10 was divided by the average  
503 expression of the gene across samples in vRG cells at GW10 and then  $\log_2$  transformed. Based on the  
504  $\log_2(\text{fold change})$  value for any gene, we then obtained the distribution of  $\log_2(\text{fold change})$  values for  
505 dnLoF-ASD or dnMis-Epi genes. Next, we used the one-sided Wilcoxon rank-sum test to compute the P  
506 value to determine whether dnLoF-ASD or dnMis-Epi genes have higher  $\log_2(\text{fold change})$  values than  
507 the background genes (genes expressed in NPCs) during the transition. A similar analysis was performed  
508 to compute the statistical significance of expression change for dnLoF-ASD and dnMis-Epi genes during  
509 the differentiation at GW10 from NPCs, vRG, and IPCs to excitatory neurons, and during the  
510 differentiation at GW16 from NPCs, vRG, oRG, and IPCs to excitatory neurons.

511

### 512 **GO expression change analysis during the cell-type transition**

513 Based on the  $\log_2(\text{fold change})$  value for any gene during the transition from vRG cells to IPCs at  
514 GW10, we obtained the distribution of  $\log_2(\text{fold change})$  values for genes annotated under a GO  
515 biological process term. We used only GO terms with between 10 and 1000 genes remaining after  
516 filtering by genes expressed in NPCs. We used the one-sided Wilcoxon rank-sum test to compute P  
517 values for whether genes annotated under the GO term have higher (expression increase) or lower  
518 (expression decrease)  $\log_2(\text{fold change})$  values than the background (NPC) genes. The P values for all GO  
519 terms from GO expression change analysis during the transition were adjusted using the Benjamini and  
520 Hochberg method.

521

### 522 **Software availability**

523 Code used in this study is available at GitHub (<https://github.com/kpang/CEA>) and as

### 524 **Supplemental Code.**

525

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536

537 **Author contributions**

538 K.P., L.W., H.Y.Z., and Z.L. conceived and designed the study. K.P. performed analyses. All the authors  
 539 interpreted the results. K.P., L.W., H.Y.Z., and Z.L. wrote the manuscript with input from W.W., J.Z.,  
 540 C.C., and K.H.

541

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## 792 **Figure legends**

793 **Figure 1.** Co-expression enrichment analysis of high-confidence NDD genes in six major cell types. (A)

794 Co-expression fold enrichment of eight NDD gene sets (four with dnLoF mutations and four with dnMis

795 mutations) in six cortical cell types, along with the sample size of each. Gene set size is shown in

796 parentheses. Circle size is proportional to enrichment score. (B,C) Co-expression fold enrichment of

797 dnLoF-ASD (B) and dnMis-Epi genes (C) in six major cell types by downsampling the same number of

798 cells for each cell type. Violin plot shows the mean value (point). P value indicates whether the mean co-

799 expression fold enrichment score of the corresponding gene set is higher than that of the background

800 genes (one-sided Fisher's exact test). (D,E) Co-expression networks of dnLoF-ASD (D) and dnMis-Epi

801 genes (E) in the six cell types using the original sample size. Node size is proportional to co-expression

802 degree.

803

804 **Figure 2.** Co-expression enrichment analysis of dnLoF-ASD and dnMis-Epi genes during NPC and

805 neuron development. (A-C) Co-expression fold enrichment of dnLoF-ASD and dnMis-Epi genes at

806 specific stages of NPCs (A), excitatory neurons (B), and interneurons (C) by downsampling the same

807 number of cells for each cell stage. (D) Co-expression fold enrichment of dnLoF-ASD and dnMis-Epi

808 genes in vRG cells, IPCs, and the transition at GW10 by downsampling the same number of cells for each

809 condition. (E,F) Co-expression fold enrichment of dnLoF-ASD and dnMis-Epi genes in vRG cells, oRG

810 cells, IPCs, and their transitions at GW16 by downsampling 20 cells (E) and 37 cells (F) for each

811 condition. In (A-F), asterisks indicate  $-\log_{10}P$  values for differences in mean enrichment scores between

812 the gene sets and the background genes (one-sided Fisher's exact test): \*  $1 \leq -\log_{10}P < 2$ ; \*\*  $2 \leq -\log_{10}P < 5$ ;

813 \*\*\*  $5 \leq -\log_{10}P < 10$ ; \*\*\*\*  $10 \leq -\log_{10}P$ . (G) Expression of dnLoF-ASD and dnMis-Epi genes significantly

814 increased during the transition from vRG cells to IPCs at GW10. Dashed horizontal line indicates the

815 median  $\log_2(\text{fold change})$  value of the background genes. P values indicate differences between  $\log_2(\text{fold}$   
 816 change) values of dnLoF-ASD or dnMis-Epi genes and those of background genes during the transition  
 817 (one-sided Wilcoxon rank-sum test). (*H,I*) Co-expression networks of dnLoF-ASD (*H*) and dnMis-Epi  
 818 genes (*I*) in vRG cells, IPCs, and the transition at GW10 using original sample size. Node size is  
 819 proportional to co-expression degree.

820

821 **Figure 3.** Co-expression enrichment analysis of dnLoF-ASD and dnMis-Epi genes during differentiation  
 822 from NPCs to excitatory neurons (Ex). (*A,B*) Co-expression fold enrichment of dnLoF-ASD and dnMis-  
 823 Epi genes in NPCs, excitatory neurons, and the differentiation at GW10 (*A*) and GW16 (*B*) by  
 824 downsampling the same number of cells for each condition. Asterisks indicate  $-\log_{10}P$  values for  
 825 differences in mean enrichment scores between the gene sets and the background genes (one-sided  
 826 Fisher's exact test): \*  $1 \leq -\log_{10}P < 2$ ; \*\*  $2 \leq -\log_{10}P < 5$ ; \*\*\*  $5 \leq -\log_{10}P < 10$ ; \*\*\*\*  $10 \leq -\log_{10}P$ . (*C*)  
 827 Expression of dnMis-Epi but not dnLoF-ASD genes significantly increased during the differentiation  
 828 from NPCs to excitatory neurons at GW10. (*D*) Expression of dnLoF-ASD and dnMis-Epi genes  
 829 significantly increased during the differentiation from NPCs to excitatory neurons at GW16. In (*C,D*),  
 830 dashed horizontal line indicates the median  $\log_2(\text{fold change})$  value of the background genes.

831

832 **Figure 4.** GO correlation analysis of dnLoF-ASD and dnMis-Epi genes and GO expression change  
 833 analysis during the vRG-to-IPC transition at GW10. (*A,B*) Scatter plots show the significance values from  
 834 GO positive correlation analysis of dnLoF-ASD (*A*) and dnMis-Epi genes (*B*) on the horizontal axis  
 835 versus the significance values from GO expression increase analysis on the vertical axis during the  
 836 transition. Dots represent individual GO biological process terms. Each dot has  $-\log_{10}FDR$  values on both  
 837 the horizontal axis (how strongly genes annotated under a GO term positively correlate with dnLoF-ASD  
 838 (*A*) and dnMis-Epi genes (*B*) during the transition) and the vertical axis (how much higher the  $\log_2(\text{fold}$   
 839 change) values are for genes annotated under the GO term compared to the background genes during the  
 840 transition). Dashed vertical and horizontal lines indicate  $-\log_{10}FDR$  at 4 and 2 as significance thresholds.

841 Red denotes GO terms significant in both analyses, green denotes GO terms significant only in GO  
 842 positive correlation analysis, and blue denotes GO terms significant only in GO expression increase  
 843 analysis. Selected representative GO terms are labeled. (C,D) Similar to (A,B) with GO negative  
 844 correlation analysis of dnLoF-ASD (C) and dnMis-Epi genes (D) and GO expression decrease analysis  
 845 during the transition.

846  
 847 **Figure 5.** GO enrichment and correlation analyses of dnLoF-ASD and dnMis-Epi genes during the vRG-  
 848 to-IPC transition at GW10. (A,B) Scatter plots show the significance values from GO enrichment analysis  
 849 on the horizontal axis versus the significance values from GO positive correlation analysis on the vertical  
 850 axis of dnLoF-ASD (A) and dnMis-Epi genes (B) during the transition. Dots represent individual GO  
 851 biological process terms. Each dot has  $-\log_{10}\text{FDR}$  value on the horizontal axis that indicates the statistical  
 852 significance of the overlap between genes annotated under a GO term and dnLoF-ASD (A) or dnMis-Epi  
 853 genes (B), and  $-\log_{10}\text{FDR}$  value on the vertical axis that indicates how strongly genes annotated under the  
 854 GO term positively correlate with dnLoF-ASD (A) and dnMis-Epi genes (B) during the transition.  
 855 Dashed vertical and horizontal lines indicate  $-\log_{10}\text{FDR}$  at 2 and 4 as significance thresholds. Red denotes  
 856 GO terms significant in both analyses, green denotes GO terms significant only in GO enrichment  
 857 analysis, and blue denotes GO terms significant only in GO positive correlation analysis. Selected  
 858 representative GO terms are labeled. (C,D) Similar to (A,B) with GO enrichment and negative correlation  
 859 analyses of dnLoF-ASD (C) and dnMis-Epi genes (D) during the transition.

860  
 861 **Figure 6.** *CHD8* target gene analysis. (A) Expression changes of *CHD8*-activated and -repressed genes  
 862 during the transition from vRG cells to IPCs at GW10. Dashed horizontal line indicates the median  
 863  $\log_2(\text{fold change})$  value of the background genes. P values calculated by the one-sided Wilcoxon rank-  
 864 sum test indicate whether *CHD8*-activated (-repressed) genes have higher (lower)  $\log_2(\text{fold change})$   
 865 values than the background genes during the transition. (B) Spearman's correlation between *CHD8*-  
 866 activated/-repressed genes and *CHD8* during the transition. Dashed horizontal line indicates the median

867 Spearman's correlation with *CHD8* for the background genes. P values calculated by the one-sided  
868 Wilcoxon rank-sum test indicate whether *CHD8*-activated (-repressed) genes have higher (lower)  
869 correlation with *CHD8* than the background genes during the transition. (C,D) Top GO terms enriched  
870 with *CHD8*-activated (C) and -repressed genes (D).

871  
872 **Figure 7.** Co-expression network organization of ASD genes with dnLoF mutations in NPCs, and  
873 epilepsy genes with dnMis mutations in interneurons. (A) Spearman's correlation with dnLoF-ASD genes  
874 in NPCs for ASD genes with  $\geq 3$ , 2, 1 and 0 dnLoF mutations. (B) Spearman's correlation with dnMis-Epi  
875 genes in interneurons for epilepsy genes with  $\geq 2$ , 1 and 0 dnMis mutations. (C) Co-expression network of  
876 ASD genes with at least one dnLoF mutation in NPCs. Red, green and blue nodes indicate ASD genes  
877 with  $\geq 3$ , 2 and 1 dnLoF mutations, respectively. Red, green and blue edges indicate co-expression within  
878 ASD genes with  $\geq 3$ , 2 and 1 dnLoF mutations, respectively, and orange edges indicate co-expression  
879 between ASD genes with  $\geq 3$  dnLoF mutations and ASD genes with 2 dnLoF mutations. (D) Co-  
880 expression network of epilepsy genes with at least one dnMis mutation in interneurons. Red and blue  
881 nodes indicate epilepsy genes with  $\geq 2$  and 1 dnMis mutations, respectively. Red and blue edges indicate  
882 co-expression within epilepsy genes with  $\geq 2$  and 1 dnMis mutations, respectively. In (C,D), node size is  
883 proportional to co-expression degree. (E) Co-expression degree in the NPC network of ASD genes with  
884  $\geq 3$ , 2 and 1 dnLoF mutations. (F) Co-expression degree in the interneuron network of epilepsy genes with  
885  $\geq 2$  and 1 dnMis mutations. In (A,B,E,F), P values were calculated using the one-sided Wilcoxon rank-sum  
886 test.

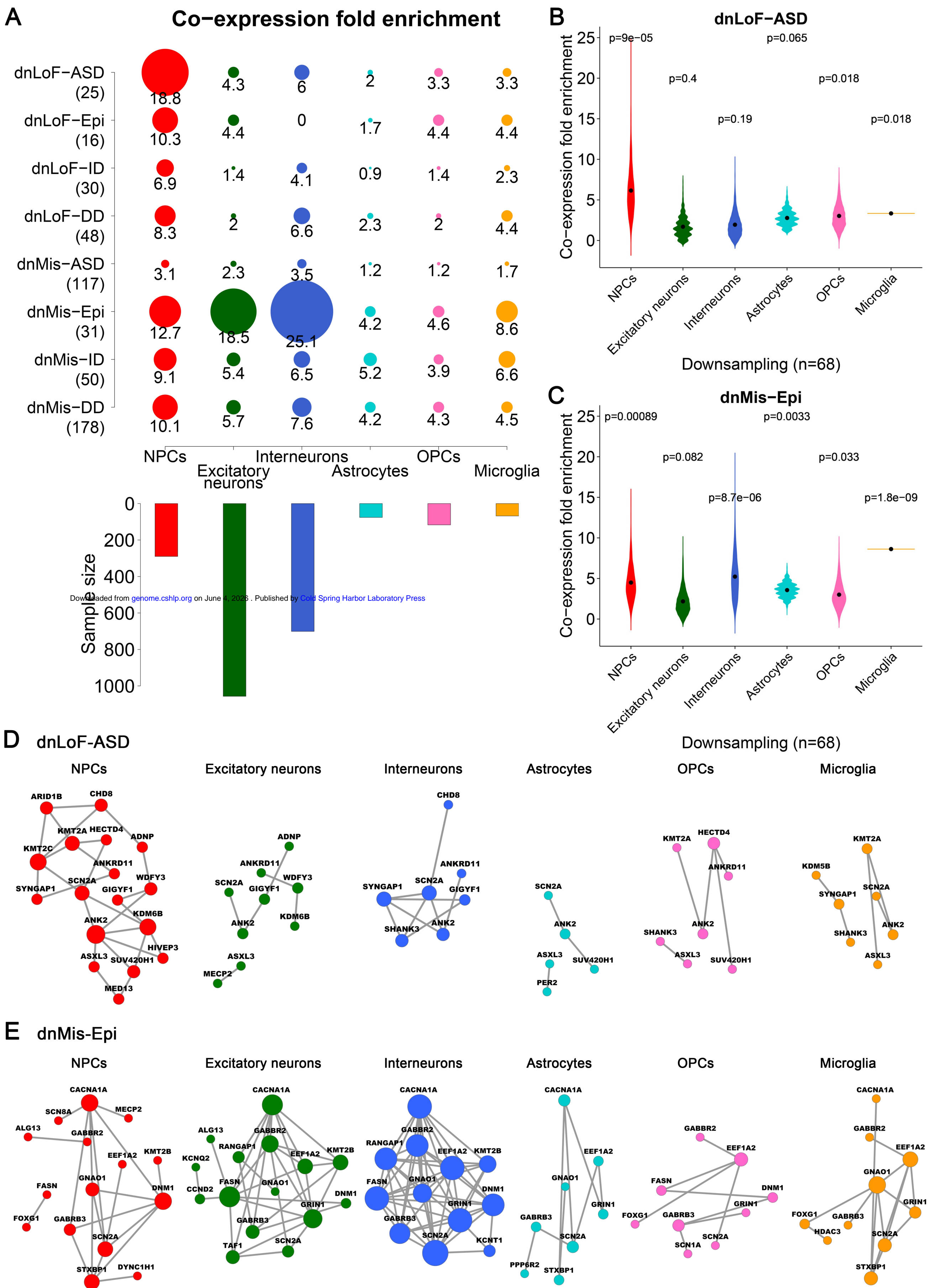


Fig. 1

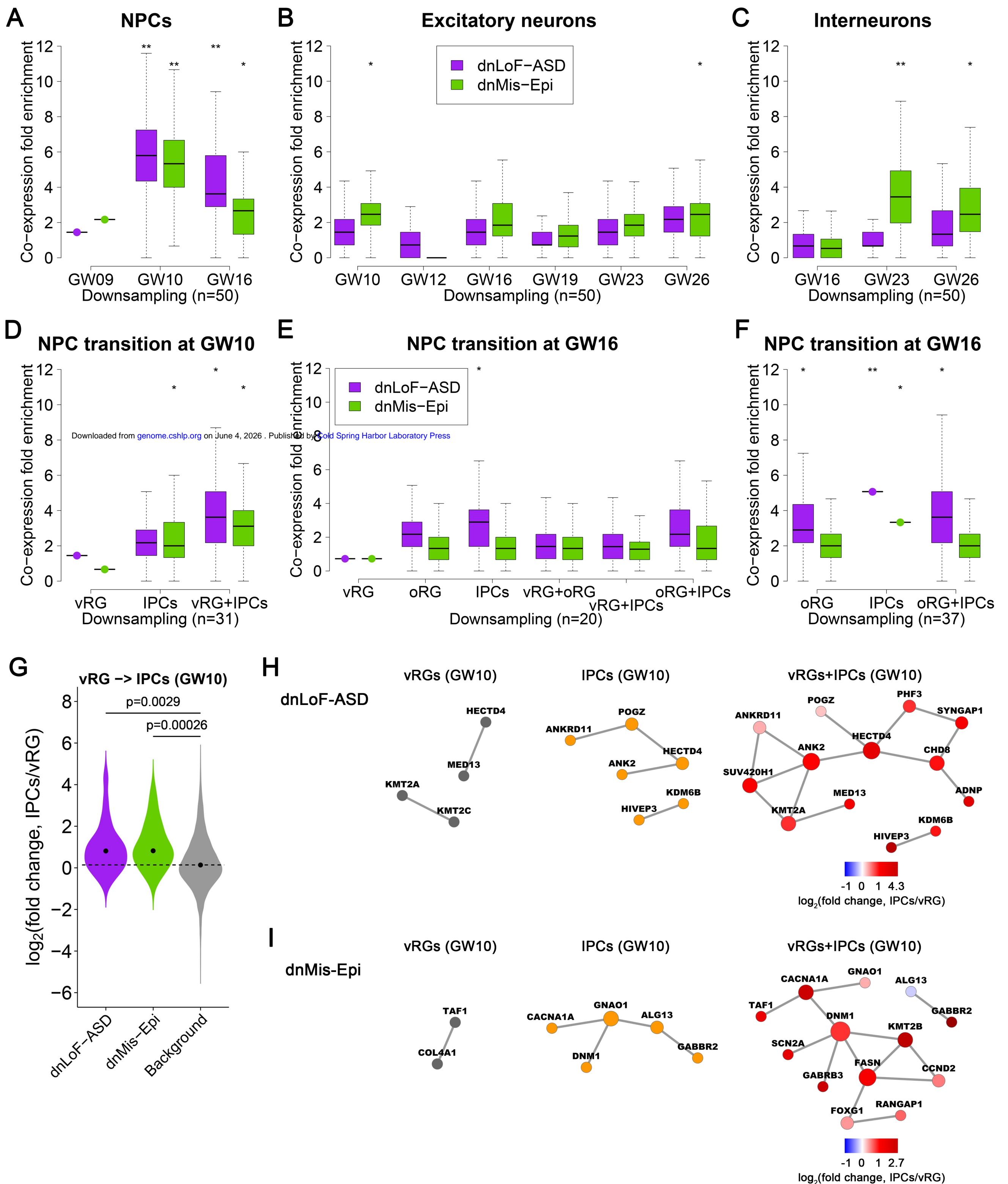
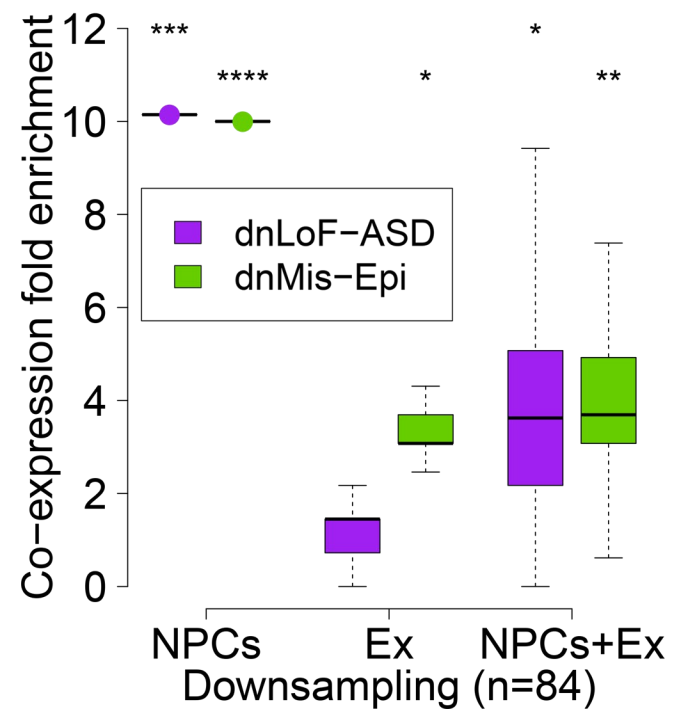
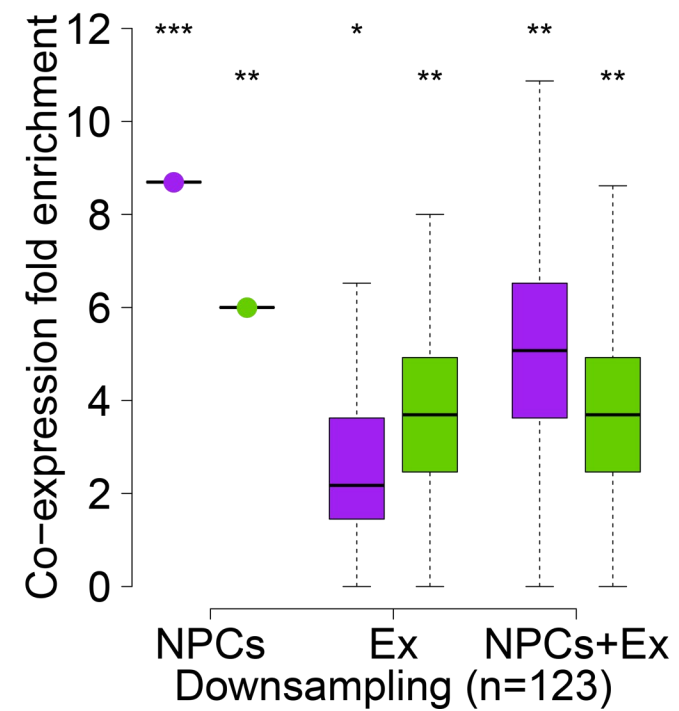


Fig. 2

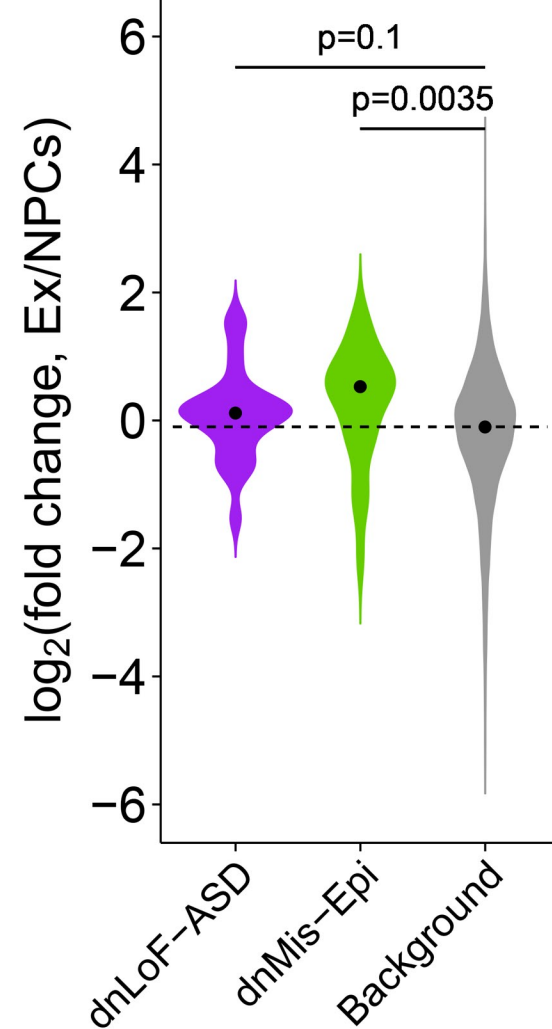
### A NPCs → Ex at GW10



### B NPCs → Ex at GW16



### C NPCs → Ex (GW10)



### D NPCs → Ex (GW16)

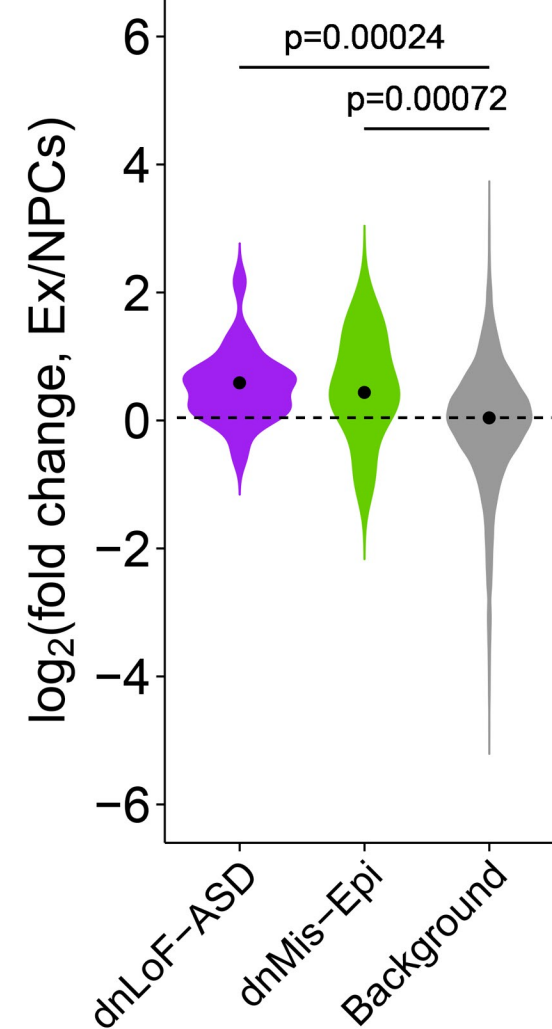


Fig. 3

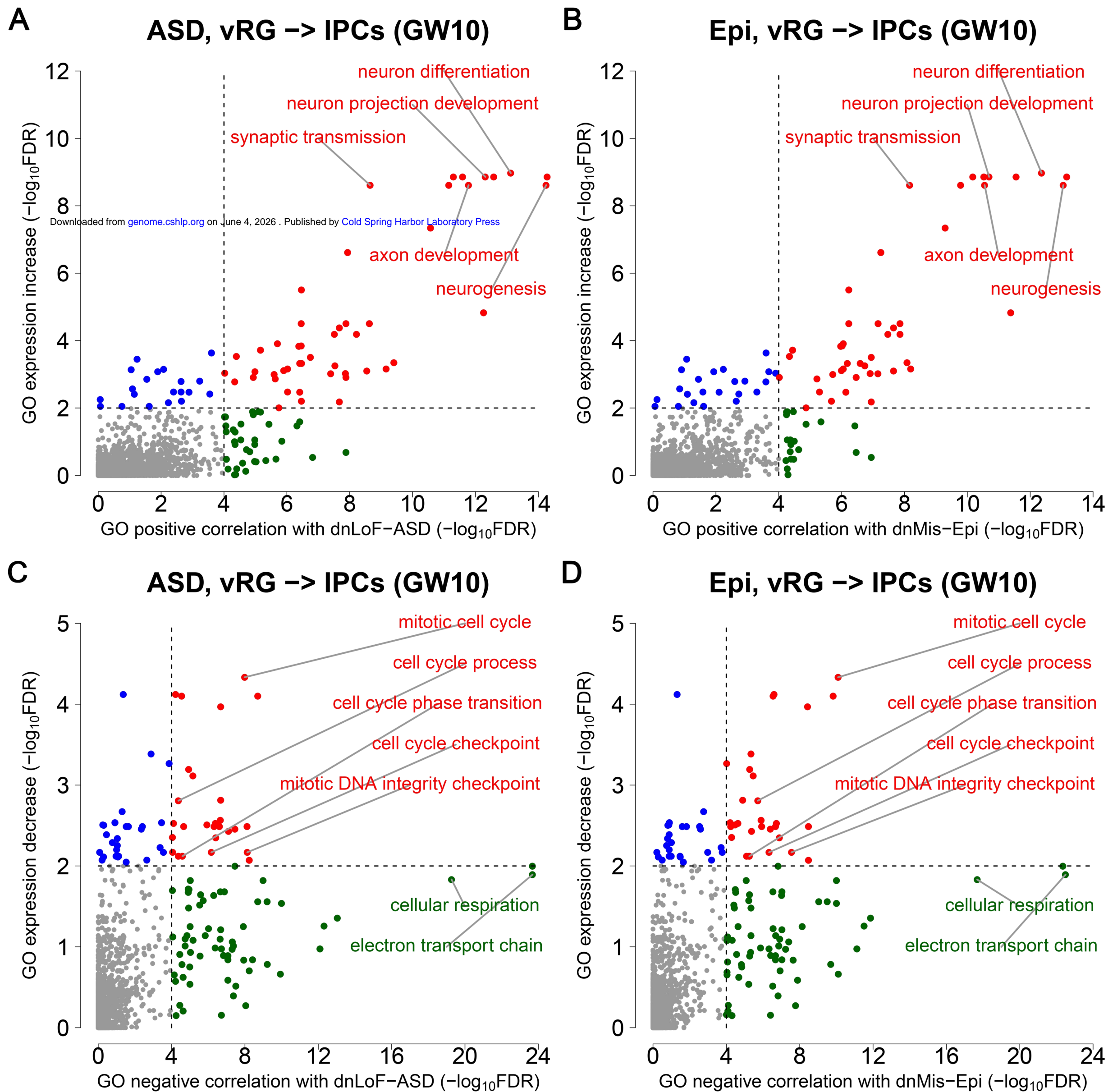


Fig. 4

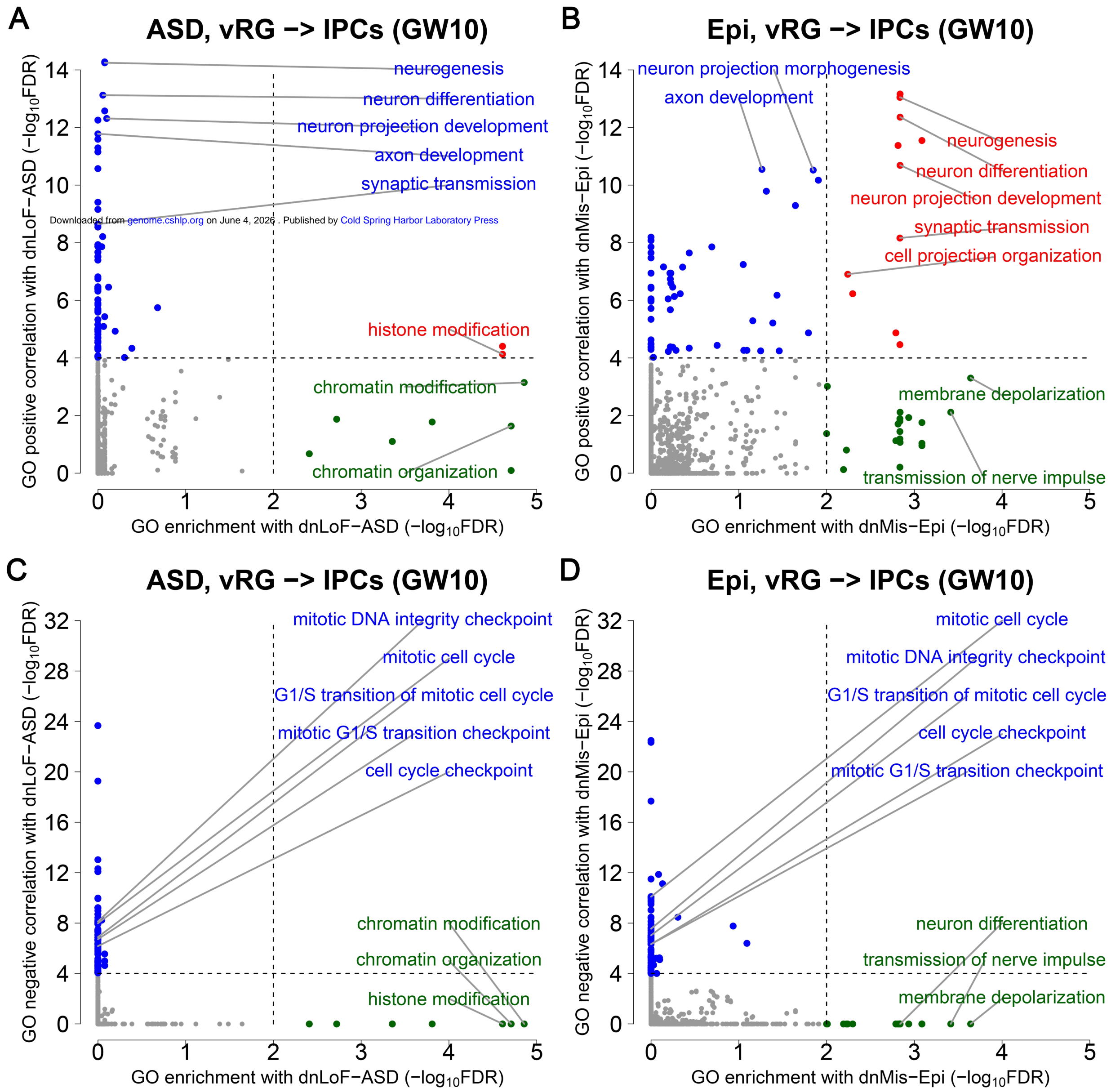
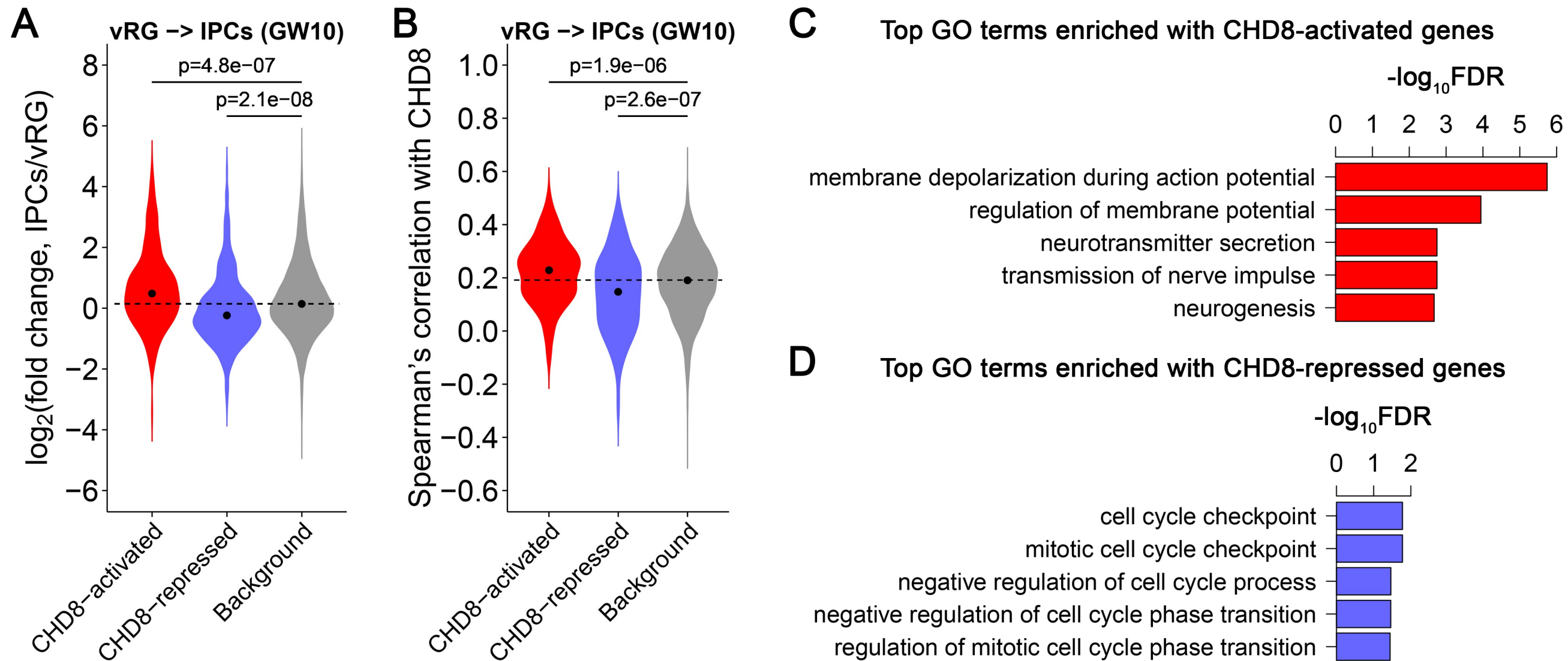


Fig. 5



**Fig. 6**

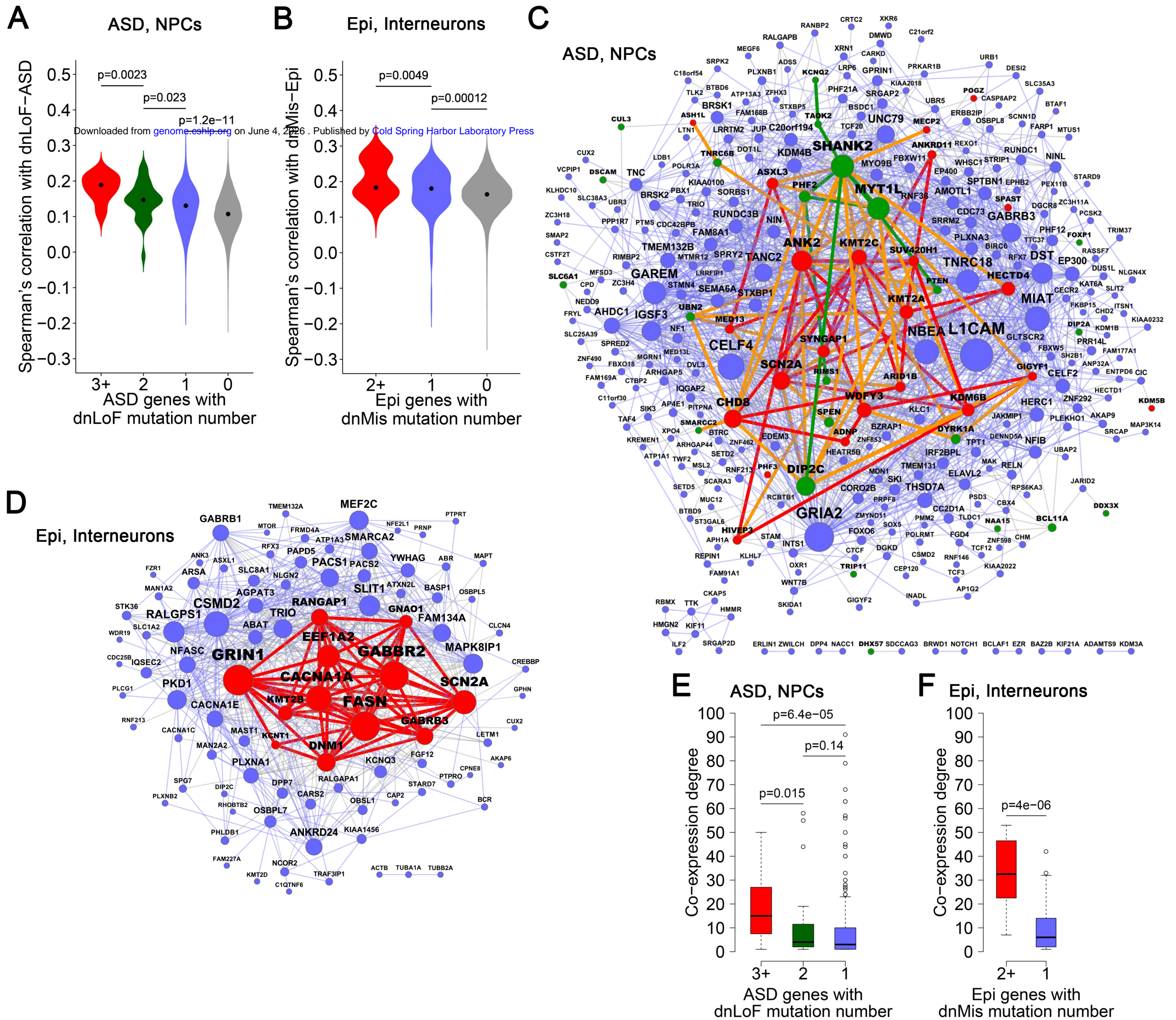


Fig. 7