



## Extending the spectrum of DNA sequences retrieved from ancient bones and teeth

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1 **Extending the spectrum of DNA sequences retrieved from ancient bones and teeth**

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11

12 **ABSTRACT**

13 The number of DNA fragments surviving in ancient bones and teeth is known to decrease  
14 with fragment length. Recent genetic analyses of Middle Pleistocene remains have shown  
15 that the recovery of extremely short fragments can prove critical for successful retrieval of  
16 sequence information from particularly degraded ancient biological material. Current  
17 sample preparation techniques, however, are not optimized to recover DNA sequences from  
18 fragments shorter than approximately 35 base pairs (bp). Here we show that much shorter  
19 DNA fragments are present in ancient skeletal remains but lost during DNA extraction. We  
20 present a refined silica-based DNA extraction method that not only enables efficient  
21 recovery of molecules as short as 25 bp, but also doubles the yield of sequences from longer  
22 fragments due to improved recovery of molecules with single-strand breaks. Furthermore,  
23 we present strategies for monitoring inefficiencies in library preparation that may result  
24 from co-extraction of inhibitory substances during DNA extraction. The combination of DNA  
25 extraction and library preparation techniques described here substantially increases the  
26 yield of DNA sequences from ancient remains and provides access to a yet unexploited  
27 source of highly degraded DNA fragments. Our work may thus open the door for genetic  
28 analyses on even older material.

29

## 30 INTRODUCTION

31 Recent methodological advances in ancient DNA research have enabled the generation of  
32 genome-wide sequence data from hundreds of Holocene and Late Pleistocene biological  
33 remains at various levels of quality, including those of ancient humans (Rasmussen et al.  
34 2010; Fu et al. 2013; Allentoft et al. 2015; Haak et al. 2015) and their extinct archaic relatives  
35 (Meyer et al. 2012; Prüfer et al. 2014). Nevertheless, successful retrieval of DNA sequences  
36 from the Middle Pleistocene, i.e. sequences older than ~125,000 years, has been reported in  
37 only a few studies. These include most prominently the genome sequence of a ~700,000  
38 year-old horse from permafrost (Orlando et al. 2013), as well as sequences from cave bear  
39 and hominin remains from the ~430,000 year-old site of Sima de los Huesos in Northern  
40 Spain (Dabney et al. 2013; Meyer et al. 2014; Meyer et al. 2016).

41 The difficulty of retrieving DNA sequences from very old material is not surprising, as DNA is  
42 known to degrade over time, resulting in fragmentation and chemical modifications of bases.  
43 The best characterized base damage in ancient DNA arises from hydrolytic deamination of  
44 cytosines to uracils, which occur predominantly in single-stranded overhangs at the ends of  
45 DNA fragments and manifest as C to T substitutions in sequence alignments (Briggs et al.  
46 2007; Brotherton et al. 2007). Fragmentation is thought to be driven mainly by depurination,  
47 i.e. the loss of guanines and adenines, which leaves chemically instable abasic sites that lead  
48 to hydrolysis of the DNA backbone via  $\beta$ -elimination (Lindahl 1993; Briggs et al. 2007). DNA  
49 fragmentation causes an excess of short molecules (Pääbo 1989; Glenn et al. 1999; Poinar et  
50 al. 2003), which can be described in many samples as an inverse exponential relationship  
51 between fragment length and abundance (Handt et al. 1994; Schwarz et al. 2009; Adler et al.  
52 2011; Allentoft et al. 2012; Orlando et al. 2013). In extremely poorly preserved material,  
53 such as the Sima de los Huesos remains, almost no authentic ancient DNA fragments longer  
54 than 45 bp can be detected (Meyer et al. 2014), underlining the importance of recovering  
55 short DNA fragments from highly degraded material.

56 Techniques have been developed that minimize the loss of short DNA fragments during  
57 sample preparation for high-throughput sequencing. The first step in this process is DNA  
58 extraction. Lysis of bone or tooth powder is usually performed using an EDTA/proteinase K  
59 buffer (Krings et al. 1997; Rohland and Hofreiter 2007b), which degrades hydroxyapatite and  
60 collagen (the two major components of the bone or tooth matrix), releasing DNA from the  
61 sample. The DNA then needs to be purified from the lysis buffer reagents and substances  
62 that can inhibit down-stream enzymatic reactions, for example humic and fulvic acids,

63 tannins, porphyrin products, phenolic compounds, collagen type I and Maillard products  
64 (Tuross 1994; Scholz and Pusch 1997; Poinar 1998; Kalmar et al. 2000). Several purification  
65 methods exist, including phenol/chloroform extraction followed by alcohol precipitation  
66 (Kurosaki et al. 1993; Hänni et al. 1995), concentration and desalting of DNA using centrifuge  
67 filtration columns with defined pore sizes (Hagelberg and Clegg 1991; Leonard et al. 2000),  
68 and the most commonly used method of binding DNA to silica. Silica-based DNA extraction  
69 has seen many different implementations: some based on silica suspensions (Rohland and  
70 Hofreiter 2007a; Allentoft et al. 2015), others on silica spin columns (Yang et al. 1998;  
71 Rohland et al. 2010; Dabney et al. 2013; Gamba et al. 2016), and yet others coupling it with  
72 additional DNA purification methods (Yang et al. 1998; Rasmussen et al. 2010). Only recently,  
73 however, have implementations been developed that allow efficient recovery of DNA  
74 fragments as short as 35 bp (Dabney et al. 2013; Allentoft et al. 2015). The second step of  
75 sample preparation, the preparation of DNA libraries, is also prone to losses of short  
76 molecules. However, it has been shown that their recovery is improved when using a single-  
77 stranded library preparation method, which unlike double-stranded methods omits size-  
78 selective purification steps (Meyer et al. 2012; Gansauge and Meyer 2013).

79 Despite these advances, sequence length distributions obtained with current sample  
80 preparation techniques deviate from the negative exponential relationship predicted by  
81 simple models of DNA decay (Allentoft et al. 2012) when examining molecules shorter than  
82 approximately 35 bp. It is possible that many such molecules are lost in the sample  
83 preparation process; moreover, extremely short DNA fragments may not preserve well in  
84 ancient biological material. We thus set out to explore the lower size limits of DNA  
85 preservation in ancient bones and teeth. We describe the effects of DNA extraction on the  
86 size distribution of sequences obtained from high-throughput sequencing, patterns of DNA  
87 degradation, library yields, as well as the co-extraction of inhibitory substances. The results  
88 of this work have important implications for future attempts of recovering DNA sequences  
89 from extremely poorly preserved specimens.

90

## 91 **RESULTS**

### 92 **Recovering the shortest DNA fragments from ancient bones and teeth**

93 Determining the true fragment size distribution in ancient biological material is a profound  
94 technical challenge as usually only small amounts of DNA can be isolated from such material.

95 Furthermore, the reagents present in the lysate as well as macromolecules co-released with  
96 the DNA preclude attempts of separating and visualizing ancient DNA fragments without  
97 prior purification, i.e. without introducing biases. Fragment size distributions inferred from  
98 high-throughput data (see Figure 1 for an example) are similarly skewed by biases in both  
99 DNA extraction and library preparation. In the following we explored these biases in detail,  
100 starting out with library preparation.

101 To obtain an equimolar mixture of short DNA fragments as substrate for library preparation,  
102 we digested pUC 19 plasmid DNA with DpnI, a restriction enzyme that acts on a 4 bp  
103 recognition sequence. We then prepared single-stranded DNA libraries from 0.01, 0.1 and  
104 1 pmol of digested plasmid. After amplification and sequencing of the libraries we counted  
105 the number of full-length sequences representing each of the expected pUC 19 fragments.  
106 Sequence coverage of the DNA fragments was relatively homogenous down to 17 bp  
107 irrespective of the input amount used, demonstrating that single-stranded library  
108 preparation in principle allows for the recovery of extremely short DNA fragments (Figure  
109 2 A).

110 To determine whether the underrepresentation of extremely short fragments in ancient  
111 DNA sequence data is due to losses in DNA extraction, we next devised a minimal DNA  
112 extraction and desalting procedure (hereafter referred to as 'buffer exchange'), in which we  
113 first digested the bone or tooth matrix with an EDTA/proteinase K lysis buffer and then  
114 concentrated the lysate and removed the EDTA using spin columns with 3 kDa molecular  
115 size filters. We then inactivated the proteinase K (or greatly reduced its activity) through  
116 incubation at 95°C, exploiting the fact that denatured DNA is a suitable substrate for single-  
117 stranded library preparation. Initial experiments with a DNA size marker showed that  
118 molecules as short as 10 bp are effectively retained by buffer exchange (Figure 2 B). We thus  
119 applied this procedure to six Holocene and Pleistocene bones and one tooth preserved  
120 under different environmental conditions. We then produced libraries using very small  
121 volumes of extract to minimize potential inhibitory effects from impurities retained during  
122 buffer exchange. The fragment size distributions obtained from sequencing show an inverse  
123 exponential correlation between fragment size and abundance down to approximately  
124 18 bp (Figure 2 C), matching closely the lower size limit of DNA recovery in single-stranded  
125 library preparation. We thus conclude that much shorter DNA fragments are preserved in  
126 ancient bones and teeth than were recovered with previous methods.

127

## 128 **Optimizing the recovery of short DNA molecules in silica-based DNA extraction**

129 Buffer exchange contains no DNA purification step and thus retains molecules with high  
130 molecular weight, e.g. humic acids (Tuross 1994), that can inhibit enzymes used in library  
131 preparation. The most commonly used purification method for ancient DNA is based on the  
132 binding of DNA to silica at low pH in the presence of high concentrations of salt. Even  
133 though many salts promote DNA binding to silica, guanidine salts are usually chosen for this  
134 purpose as they denature proteins and reduce the carry-over of inhibitors into DNA extracts  
135 compared to non-chaotropic salts (Rohland and Hofreiter 2007b). In the recent  
136 implementation of Dabney et al. (2013) (hereafter referred to as 'method A'), efficient  
137 recovery of molecules as short as approximately 35 bp could be achieved using a binding  
138 buffer containing 5 M guanidine hydrochloride and 40% isopropanol.

139 In an attempt to further reduce the size cut-off of silica-based DNA extraction, we carried  
140 out a series of experiments in which we tested the influence of various parameters on the  
141 recovery of short DNA fragments in DNA extraction using a size marker as a proxy (Figure S1,  
142 Table S1). We found that short DNA fragments are more efficiently recovered when  
143 increasing the alcohol concentration in the binding buffer. Adversely, EDTA, one of the main  
144 components of the lysis buffer, interferes with the recovery of short DNA fragments. Based  
145 on these experiments we devised a new extraction procedure ('method B'), which uses a  
146 binding buffer composed of 2 M guanidine hydrochloride, 70% isopropanol for DNA binding  
147 and a higher ratio of binding to lysis buffers to reduce the EDTA concentration in the binding  
148 step. This method recovers DNA fragments as short as 20 bp (Figure 2 B). However,  
149 increasing the alcohol concentration to 70% required decreasing the guanidine  
150 concentration to 2 M, which could make method B more prone to co-purification of  
151 inhibitory substances during DNA extraction. We therefore investigated a second approach  
152 ('method C') that was identical to method B in DNA binding, but used the high-salt binding  
153 buffer of method A as an additional wash step. Even though this wash step shifted the  
154 recovery of short DNA fragments to  $\geq 25$  bp, recovery of short molecules was substantially  
155 better than the  $\geq 35$  bp achieved with method A (Figure 2 B).

156

## 157 **Comparisons of DNA extraction methods using ancient DNA**

158 Using the three silica-based methods described above, we generated further DNA extracts  
159 and libraries from the seven ancient specimens (Table S2). Extraction was performed using

160 aliquots of the same lysate of each specimen to allow direct comparison of the results (see  
161 Figure S2 for an overview of the experiment design). In addition, we implemented three  
162 quality control strategies to monitor potential inefficiencies in DNA extraction and library  
163 preparation (Figure 3). First, to quantify the loss of DNA during extraction, small amounts of  
164 a 65 bp double-stranded DNA fragment were spiked into each lysate prior to DNA extraction  
165 and quantified by digital PCR before and after DNA extraction. Second, we converted four  
166 aliquots of each extract (using 1, 3, 9 and 27  $\mu$ l of 100  $\mu$ l total volume) into DNA libraries to  
167 assess whether input volumes and yield of library molecules are linearly correlated.  
168 Deviations from the expected linear input-output relationship indicate the presence of  
169 inhibitory substances, which are expected to more strongly affect libraries prepared from  
170 larger volumes of extract. Because this approach requires the generation of a large number  
171 of libraries, which is not feasible in routine work, we further devised a third quality control  
172 strategy where we spiked a 40-nucleotide control oligonucleotide into the extract at low  
173 concentration and quantified its conversion into library molecules. In addition to these  
174 controls, we determined the overall yield of library molecules by qPCR and characterized the  
175 libraries by sequencing on Illumina's HiSeq platform.

176 The average recovery rates of the extraction spike-in were 81% for method A, 85% for  
177 method B and 89% for method C (Figure S3, Table S3). Interestingly, the recovery rate for  
178 buffer exchange was significantly lower (49% on average) compared to silica-based  
179 extraction (Mann-Whitney  $U$  Test:  $p = 1.9 \times 10^{-7}$ ), indicating that simple DNA concentration  
180 and desalting does not prevent losses of DNA. When using small volumes of extract (up to  
181 3  $\mu$ l), linear input-output relationships between extract volumes and library molecules were  
182 observed, indicating fully efficient conversion of extract into library (Figure S4). However, for  
183 larger input volumes, most notably 27  $\mu$ l, we observed a substantial reduction in library  
184 preparation efficiency with all methods except method A. Similar results were obtained  
185 when calculating library preparation efficiency based on the conversion rate of the control  
186 oligonucleotide (Figure S5). This suggests that more inhibitory substances are carried over  
187 into the extract under the low salt and high alcohol binding conditions of methods B and C,  
188 and inhibition is not noticeably reduced by the additional wash step in method C. It remains  
189 unclear whether methods B and C reduce inhibition compared to buffer exchange, as  
190 smaller volumes of lysate were used as inputs for the latter method. While both measures of  
191 library preparation efficiency consistently detect inhibition in severe cases, i.e. where the  
192 yield of library molecules is reduced to less than half (Figure S6), smaller signals of inhibition

193 are obscured by experimental noise (as indicated by efficiency estimates greater than 1).  
194 Despite these limitations, the fact that both measures are highly correlated, suggests that  
195 the spike-in control represents an effective strategy for detecting inefficiencies in library  
196 preparation caused by inhibition.

197

### 198 **The effect of DNA extraction on sequence characteristics**

199 Based on library molecule counts and the distribution of full-length molecule sequences  
200 obtained from sequencing, we binned the number of DNA fragments recovered in the  
201 libraries by size (Figure 4; see Figure S7 for a plot on logarithmic scale). Even though  
202 inhibition does not alter fragment size distributions in the libraries (Figure S8), it reduces the  
203 total yield of molecules, especially those carrying a base damage at their 3' ends (Figure S9).  
204 We therefore focused this and subsequent analyses primarily on the sequences of libraries  
205 prepared from 3  $\mu$ l DNA extract. Consistent with the initial experiments using a size marker,  
206 the recovery of very short DNA fragments from the ancient samples is most efficient with  
207 buffer exchange and least efficient with method A. Surprisingly, however, the loss of short  
208 molecules with method A extends well above 35 bp. According to a simple model of DNA  
209 fragmentation, the slope of the negative linear relationship between size and log-  
210 transformed molecule numbers provides a direct estimate of  $\lambda$ , the frequency of strand  
211 breaks in DNA (Deagle et al. 2006). We find that  $\lambda$  is substantially lower in the sequences  
212 obtained with method A than with the other methods; this also holds true when limiting the  
213 analysis to putatively endogenous sequences, i.e. those that align to the respective  
214 reference genome (Figure S10, Table S3), implying that the DNA extracted with buffer  
215 exchange and methods B and C is more damaged.

216 Among the non-inhibited libraries, the sum of all nucleotides present in the library ('total  
217 sequence content'; Figure 5) is highest with buffer exchange. However, as sequences shorter  
218 than 35 bp cannot always be reliably identified as endogenous to the organism under study  
219 with current analytical approaches (Meyer et al. 2016), we computed a second measure,  
220 'informative sequence content', which represents the sum of all nucleotides present in DNA  
221 fragments  $\geq 35$  bp whose sequences can be aligned to a respective reference genome  
222 (Figure 5). By this measure the performances of buffer exchange, method B and method C  
223 are very similar, while yields are only about half with method A.

224 We next investigated whether the extraction method influences sequence characteristics  
225 other than size. We first found that aligned sequences from method A exhibit an increase in  
226 GC content towards shorter fragments, whereas the average GC content of sequences  
227 produced with the other methods is stable across a wide range of fragment sizes (Figure  
228 S11). Unexpectedly, DNA extraction also affected the frequency of C to T substitutions in the  
229 sequence alignments, which are nearly identical for all methods at the ends of the  
230 sequences but substantially higher (by a factor of 1.6, on average) in the interior of  
231 sequences from methods B, C and buffer exchange (Figure S12). This observation hints to a  
232 better recovery of DNA fragments with single-strand breaks with these methods, as DNA  
233 strands opposing a nick or gap are expected to be more strongly affected by deamination  
234 due to the presence of single-stranded regions. DNA fragments carrying single-strand breaks  
235 may be prone to guanidine-induced DNA denaturation (Prevorovský and Puta 2003) and  
236 subsequent loss in the DNA purification step of method A, a hypothesis that is compatible  
237 with the lower recovery of DNA strands longer than 35 bases and the smaller  $\lambda$  observed  
238 with method A.

239 Lastly, to determine whether the improved recovery of short and nicked molecules with  
240 methods B and C is limited to single-stranded library preparation we prepared libraries from  
241 two of the samples using a double-stranded library preparation protocol (Meyer and Kircher  
242 2010) and two input volumes of DNA extract (3 and 9  $\mu$ l) (Table S4). In agreement with  
243 previous observations (Bennett et al. 2014; Wales et al. 2015; Gansauge et al. 2017), the  
244 informative sequence content is substantially lower in the double-stranded than the single-  
245 stranded libraries (Table S4; Figure S13). Moreover, we observed no substantial difference in  
246 informative sequence content among the double-stranded libraries prepared from the  
247 extracts of method A and methods B and C (Figure S14), indicating that short DNA fragments  
248 or molecules with nicks and gaps are not good substrates for double-stranded library  
249 preparation.

250

## 251 **DISCUSSION**

252 In light of the current progress made in ancient DNA research, it is a tantalizing question  
253 whether DNA sequences from even older and more degraded material can be recovered in  
254 the future. As DNA inevitably degrades into shorter and shorter molecules over time, the  
255 possible recovery of sequences from such material relies on two conditions: first, more

256 highly degraded DNA must be preserved in ancient skeletal remains than previously known,  
257 and second, this DNA must be made accessible by novel molecular techniques. By combining  
258 single-stranded library preparation with refined DNA extraction procedures we have  
259 successfully demonstrated that a highly abundant and yet unexploited source of extremely  
260 short DNA fragments exists in ancient bones and teeth, and that the previously described  
261 inverse exponential relationship between fragment size and abundance extends to  
262 fragments shorter than 20 bp in all ancient samples analyzed here. While many of these  
263 fragments may be directly preserved as double-stranded DNA, patterns of deamination  
264 suggest that at least some of them were part of longer double-stranded DNA fragments that  
265 carried single-strand breaks. Importantly, the methods described here do not only provide  
266 access to extremely degraded DNA fragments, they also increase by a factor of 2.5 on  
267 average the yield of sequences from molecules longer than 35 bp, i.e. sequences that are  
268 sufficiently long to allow secure identification of endogenous DNA with current analytical  
269 approaches. This improvement immediately benefits work on precious samples or  
270 specimens that contain only small amounts of DNA such as the Sima de los Huesos remains  
271 from which only a few million base pairs of sequence could be recovered to date (Meyer et  
272 al. 2016).

273 In line with previous studies (Kalmar et al. 2000; Rohland and Hofreiter 2007b), we found  
274 that inhibitors are not easily separated from DNA molecules during DNA extraction,  
275 especially when targeting extremely short DNA fragments. The introduction of an additional  
276 wash step in silica-based DNA extraction (method C) did not noticeably reduce the level of  
277 inhibition in the extracts. It remains unclear, in fact, whether inhibitory substances can be  
278 separated from the most highly degraded ancient DNA fragments by silica-based DNA  
279 extraction. Among the extraction methods presented, method B is the best choice for  
280 extracting DNA from highly degraded or precious samples as it improves the overall yield of  
281 molecules compared to previous methods and allows for evaluating sequences as short as  
282 25 bp for patterns of damage-induced substitutions that are indicative for the presence of  
283 endogenous ancient DNA. The large fraction of molecules < 25 bp obtained with buffer  
284 exchange consumes additional sequencing capacity and is unlikely to be informative in  
285 down-stream analyses. The method of Dabney et al. (2013) (method A), which is more  
286 robust against inhibition, remains a viable option for material with moderate or good DNA  
287 preservation if larger samples can be taken to compensate for losses of molecules during  
288 DNA extraction.

289 We also show that inhibition can be monitored by spiking control DNA into the DNA extracts  
290 prior to library preparation. If it occurs, the problem can be alleviated by producing several  
291 libraries from smaller input volumes of DNA extract in subsequent experiments. Because  
292 inhibition and other sources of sporadic inefficiency (e.g. pipetting errors or saturation of  
293 reactions with excessive amounts of DNA) are sample-dependent, we recommend the spike-  
294 in strategy as a general means of quality control in library preparation. When applying a  
295 similar control strategy to silica-based DNA extraction, we found that recovery rates are  
296 much less variable compared to library preparation; in fact, at between 80 and 90%, they  
297 are consistently higher than reported in a previous study (Barta et al. 2014). Unlike in library  
298 preparation, we therefore consider spike-in controls unnecessary in DNA extraction.

299 As the molecular methods presented here greatly enhance the spectrum of DNA sequences  
300 that can be recovered from ancient biological remains, analytical strategies will have to be  
301 refined to harvest the full informational content residing in highly degraded DNA. Analyses  
302 of sequence data from the Sima de los Huesos remains have shown that for material that is  
303 highly contaminated with microbial DNA, a confident distinction between endogenous and  
304 microbial sequences is difficult for sequences shorter than 35 bp using alignment  
305 parameters optimized for longer sequences (Meyer et al. 2016). As short sequences can now  
306 be generated in large numbers, more stringent alignment strategies should be developed,  
307 for example by taking ancient DNA base damage into account, similar to the approach used  
308 in Figure 1. Furthermore, additional filtering strategies could be explored to suppress  
309 spurious alignments, for example based on differences in GC content between endogenous  
310 sequences and microbial contamination. The work on the Sima de los Huesos remains have  
311 set an example for how the ability to isolate and sequence short DNA fragments can extend  
312 access to genetic data from non-permafrost remains by hundreds of thousand years. The  
313 methods described here, likewise, may provide the foundation for further expanding the  
314 temporal limits of ancient DNA research.

315

## 316 **MATERIAL AND METHODS**

### 317 **Preparation of bone/tooth powder lysate for DNA extraction**

318 Lysate of bone/tooth powder was prepared from a set of six ancient bones and one tooth  
319 preserved under different environmental conditions (cave, permafrost and underwater sites)  
320 (Table S2). After removing a thin layer of surface, approximately 200 mg of fine powder was

321 obtained from each specimen using a dentistry drill set to the lowest speed. The powder  
322 was then dissolved by adding 5 ml lysis buffer (0.45 M EDTA, pH 8.0, 0.25 mg/ml proteinase  
323 K) and rotating the tubes for 16 h at 37°C. Residual powder was pelleted by centrifugation at  
324 16,000 g for 1 min and the supernatant (the lysate) transferred to a new tube. Aliquots of  
325 the lysate were then subjected to DNA extraction using the four different methods below.

### 326 **DNA extraction**

327 To monitor losses of DNA during extraction, a double-stranded 65 bp control DNA fragment  
328 was prepared by combining two oligonucleotides (CL200 and CL204, Table S5) in a 50 µl  
329 reaction containing 50 mM NaCl, 10 mM Tris-HCl (pH 8.0), 1 mM EDTA (pH 8.0) and 20 µM  
330 of each oligonucleotide. Hybridization was performed by incubation at 95°C for 10 seconds,  
331 followed by a ramp to 14°C at a rate of 0.1°C/s. The DNA fragment was then diluted to a  
332 concentration of 10 pM (corresponding to  $\sim 6 \times 10^6$  molecules/µl) using TET buffer (10 mM  
333 Tris-HCl, pH 8.0, 1 mM EDTA, 0.05% Tween 20).

334 For 'buffer exchange', Amicon Ultra-4 Centrifugal Filter Units with Ultracel-3 membranes  
335 (Millipore) were used to desalt and concentrate DNA. For this purpose 200 µl of lysis buffer  
336 was supplemented with 1 µl control DNA fragment and 4 ml Tris buffer (10 mM Tris-HCl, pH  
337 8.0) and transferred to the spin column. After centrifugation at 4,000 g for 90 min, the flow-  
338 through was discarded and the residual liquid above the membrane (45 – 59 µl)  
339 supplemented with 4 ml Tris buffer. After centrifugation for another 90 min, the retained  
340 liquid (39 – 49 µl) was collected and adjusted to a volume of 100 µl by adding Tris buffer and  
341 Tween 20 (final concentration 0.05%).

342 In addition, DNA extracts were prepared from 500 µl aliquots of the bone/tooth powder  
343 lysates using three silica-based methods. First, to match the previously published protocol of  
344 Dabney et al. (2013) ('method A'), we combined the lysate with 500 µl 0.45 M EDTA (pH 8.0)  
345 to adjust the volume of lysate to 1 ml. The lysate was then mixed with 1 µl control DNA  
346 fragment and 10.4 ml of binding buffer A (5 M guanidine hydrochloride, 40% isopropanol,  
347 115 mM sodium acetate, 0.05% Tween 20), and loaded onto a silica spin column pre-  
348 assembled with a volume extender (High Pure Viral Nucleic Acid Large Volume Kit, Roche).  
349 These pre-assembled silica columns are more stable and convenient to use than the  
350 MinElute (Qiagen)/extender constructs described in the original implementation of the  
351 method and only marginally less efficient in recovering short molecules (Figure S1 and Table  
352 S1). After centrifugation for 4 min at 500 g (1,500 rpm in a centrifuge with a swing-bucket

353 rotor), tubes were turned by 90° and centrifuged for an additional 2 min at the same speed.  
354 The flow-through was discarded and the extender removed. The silica membrane was then  
355 dry-spun for 1 min at 3,400 g (6,000 rpm in a table top centrifuge) and washed twice with  
356 750 µl PE buffer (Qiagen), which was spun through the column by centrifugation at 3,400 g  
357 for 30 seconds, followed by a dry spin at 16,400 g (13,200 rpm in a table top centrifuge) for  
358 1 min. DNA was eluted by adding 100 µl TET buffer to the membrane, a 5 min incubation  
359 and then spinning for 1 min at 16,400 g. To maximize DNA recovery, elution was repeated by  
360 loading the eluate onto the membrane and repeating incubation and centrifugation. For the  
361 second method, we developed an extraction procedure ('method B') that differs from  
362 method A in that we combined 500 µl lysate and 1 µl control DNA fragment with 10 ml of  
363 binding buffer B (2 M guanidine hydrochloride, 70% isopropanol, 0.05% Tween 20). No pH  
364 adjustment with sodium acetate is required for this buffer. The third method tested  
365 ('method C') is identical to method B except that after DNA binding, silica columns were  
366 washed twice with 750 µl binding buffer A and spun at 3,400 g for 1 min before proceeding  
367 to the PE washes.

368 In addition to DNA extractions from bone/tooth powder lysates, 1 µg of a DNA size marker  
369 (GeneRuler Ultra Low Range DNA Ladder, Thermo Fisher Scientific) was purified using the  
370 four methods above as well as other binding buffers (Figure S1, Table S1). DNA losses during  
371 extraction were determined by measuring the concentration of the control fragment  
372 CL200/204 before and after DNA extraction by digital PCR (QX200 system, Bio-Rad).  
373 Amplification was carried out according to the manufacturer's instructions using the QX200  
374 ddPCR EvaGreen Supermix (Bio-Rad), primers CL201 and CL202 (200 nM each) (Table S5)  
375 and 1 µl template.

#### 376 **Library preparation, quantification and amplification**

377 DNA libraries were prepared from 1, 3, 9 and 27 µl aliquots of each DNA extract using a  
378 recently published single-stranded library preparation method (Gansauge et al. 2017)  
379 automated on a liquid handling system (Bravo NGS workstation B, Agilent Technologies).  
380 One microliter of a 10 pM dilution of oligonucleotide CL304 in TET buffer (Table S5) was  
381 added to each sample to measure the efficiency of library preparation. In addition, each  
382 library preparation experiment included negative controls (using TT buffer (10 mM Tris-HCl,  
383 pH 8.0, 0.05% Tween 20) instead of DNA extract) and positive controls (using 0.1 pmol of  
384 oligonucleotide CL104) (Gansauge and Meyer 2013). Total yields of library molecules were  
385 determined by qPCR (in single or replicate measurements, Table S3) using primers specific to

386 the adapter sequences as described elsewhere (Gansauge and Meyer 2013). In addition, the  
387 yield of control library molecules was determined using the Maxima Probe qPCR Master Mix  
388 (Thermo Fisher Scientific) with 200 nM primer IS7 (Meyer and Kircher 2010), 200 nM primer  
389 CL107 (Gansauge and Meyer 2013) and 200 nM probe CL118 (Table S5) using an annealing  
390 temperature of 60°C and otherwise following the supplier's instructions. In addition,  
391 aliquots of DNA extracts from 2 samples (Table S2) were converted into double-stranded  
392 libraries using the protocol of Meyer and Kircher (2010). Quantification was performed as  
393 described above. Single-stranded libraries were amplified for 35 cycles using AccuPrime *Pfx*  
394 DNA polymerase (Thermo Fisher Scientific) under reaction conditions described elsewhere  
395 (Dabney and Meyer 2012) except that indices were introduced into both adapters (Kircher  
396 et al. 2012) and index primer concentration was increased to 1  $\mu$ M. Double-stranded  
397 libraries were amplified using both AmpliTaq Gold DNA polymerase (Thermo Fisher Scientific)  
398 and AccuPrime *Pfx* DNA polymerase as described in Gansauge et al. (2017). Amplified  
399 libraries were purified using the MinElute PCR purification kit (Qiagen). Library pools for  
400 sequencing were created by combining equal volumes of the purified libraries.  
401 Heteroduplicates that had formed in PCR plateau were removed in a single-cycle-PCR using  
402 500 ng of each library pool, primers IS5 and IS6 (Meyer and Kircher 2010) and otherwise the  
403 conditions above. Following purification, PCR products were quantified using a DNA-1000  
404 chip on the Bioanalyzer 2100 (Agilent Technologies).

405 Further, to test the recovery of DNA fragments of different sizes in library preparation,  
406 pUC19 plasmid DNA (NEB) was digested with 40 units of DpnI (NEB) in a 100  $\mu$ l reaction  
407 containing 1x CutSmart Buffer (NEB) buffer and 0.5  $\mu$ g plasmid for 15 min at 37°C to create  
408 an equimolar mixture of DNA fragments. The restriction enzyme was then inactivated by  
409 incubation at 80°C for 20 min. Fragmented DNA corresponding to 0.01 pmol, 0.1 pmol and  
410 1 pmol was then used as a substrate for single-stranded library preparation. Amplification  
411 and sequencing was performed as described above and below.

#### 412 **Sequencing and sequence analysis**

413 Libraries were sequenced on Illumina's HiSeq2500 and MiSeq platforms using recipes for 2 x  
414 76 bp paired-end sequencing with two index reads (Kircher et al. 2012). Base calls for the  
415 HiSeq data were generated with FreeBis (Renaud et al. 2013). Overlapping paired-end reads  
416 were merged into single sequences to reconstruct full-length molecules (Renaud et al. 2014).  
417 Perfect matches to one of the expected index combinations were required to assign  
418 sequences to the library of origin. Overlap-merged sequences  $\geq$  35 bp were aligned to an

419 appropriate reference genome (ursMar0, bosTau6, turTru1.75 and hg19) using BWA (Li and  
420 Durbin 2009) with parameters adjusted to ancient DNA (Meyer et al. 2012). PCR duplicates  
421 were removed using bam-rmdup (<https://github.com/udo-stenzel/biohazard>). Summary  
422 statistics were computed using custom perl scripts. Due to the small number of aligned  
423 sequences obtained from sample 2 (between 179 and 243 sequences), this sample was  
424 omitted from all analyses involving aligned sequences.

425 The total sequence content of a library was calculated as follows: [number of overlap-  
426 merged sequences]/[number of raw sequences] x [qPCR molecule count] x [average length  
427 of all sequences]. The informative sequence content was calculated as follows: [number of  
428 aligned sequences  $\geq$  35 bp]/[number of raw sequences] x [qPCR molecule count] x [average  
429 length of aligned sequences  $\geq$  35 bp]. The frequency of DNA damage ( $\lambda$ ) was computed from  
430 the slope of the linear regression between log-transformed molecule counts and molecule  
431 size (Deagle et al. 2006), taking into account only molecules between 51 and 70 bp to ensure  
432 linearity of the relationship. Overlap-merged sequences from the pUC19 libraries were  
433 assigned to the DNA fragments they originated from by requiring a perfect match in their  
434 first and last 9 bp to the sequences obtained from an *in silico* digestion of the circular pUC19  
435 sequence.

436

#### 437 **DATA ACCESS**

438 The sequencing data from this study have been submitted to the European Nucleotide  
439 Archive (ENA; <http://www.ebi.ac.uk/ena>) under accession number PRJEB19470.

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448

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568

569 **FIGURE LEGENDS**

570 **Figure 1:** DNA fragment size distribution reconstructed from a hominin femur fragment from  
571 Sima de los Huesos. Sequences  $\geq 25$  bp from putatively endogenous ancient DNA fragments  
572 were isolated from published sequence alignments to the human reference genome (Meyer  
573 et al. 2016) by requiring a signal of deamination to be present, i.e. a terminal C to T  
574 substitution, and removing alignments with substitutions other than C to T. The first filter  
575 depletes human contamination whereas the second reduces the impact of spurious  
576 alignments of microbial sequences. **(A)** Log-transformed fragment size distribution.  
577 Fragment sizes between 40 and 60 bp provide the best fit to an exponential model of DNA  
578 decay (dashed line). **(B)** The same fragment size distribution plotted on a linear scale to  
579 visualize more clearly the under-representation of short DNA fragments.

580

581 **Figure 2:** Recovery of short DNA fragments in DNA extraction and library preparation. **(A)**  
 582 Sequence representation of DNA fragments obtained after preparing single-stranded  
 583 libraries from a restriction digestion of plasmid DNA. Restriction digestion is expected to  
 584 create a pool of DNA fragments in equimolar concentration. **(B)** Recovery of double-  
 585 stranded DNA from equal quantities of a size marker (L) with four different extraction  
 586 methods (buffer exchange (BE) as well as silica-based methods A, B and C) as visualized on a  
 587 4% agarose gel. **(C)** Log-transformed size distribution of DNA fragments reconstructed by  
 588 sequencing DNA isolated from six ancient bones and one tooth using buffer exchange. S1:  
 589 cave bear bone (Gamsulzen cave), S2: cave bear bone (Sima de los Huesos), S3: cave bear  
 590 bone (Vindija cave), S4: brown bear tooth (Denisova cave), S5: yak bone (Denisova cave), S6:  
 591 bison bone (Yukon permafrost), S7: beluga bone (North Sea). Small quantities of a 40  
 592 nucleotide control oligonucleotide were spiked into the DNA extracts prior to library  
 593 preparation.

594

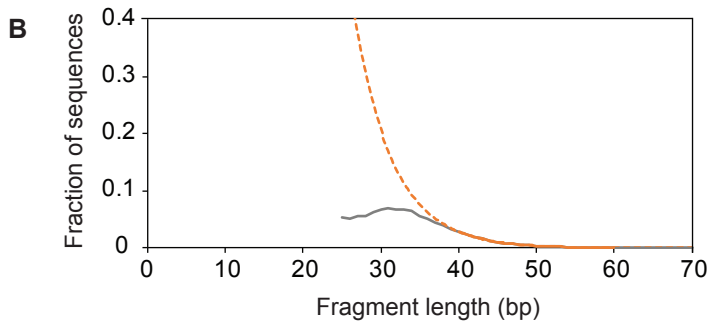
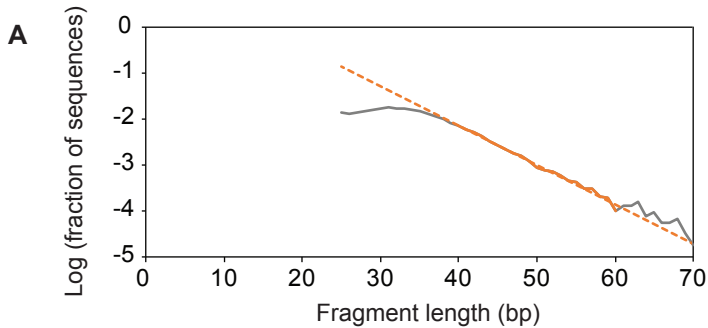
595 **Figure 3:** Quality control strategies. **(A)** To determine the overall efficiency at which  
 596 medium-sized DNA fragments are recovered in DNA extraction, a 65 bp double-stranded  
 597 DNA fragment was added to each lysate prior to DNA extraction. The concentration of the  
 598 fragment was then measured before and after DNA extraction using digital PCR. **(B)** The  
 599 conversion rate of library preparation was determined by comparing library yields measured  
 600 with qPCR obtained from 3, 9 or 27  $\mu$ l extract to those obtained from 1  $\mu$ l extract. **(C)** In an  
 601 alternative approach, a 40 nucleotide library control oligonucleotide was added to each  
 602 aliquot of DNA extract used for library preparation and to the TT buffer used as input in  
 603 library negative controls. The number of library molecules generated from the control  
 604 oligonucleotide was determined using a probe-based qPCR assay specific to successfully  
 605 converted oligonucleotides. Note that no selection is necessary on the P7 adapter sequence,  
 606 as molecules without P7 adapters lack the biotin required for bead binding in library  
 607 preparation. The efficiency of library preparation is determined by comparing the number of  
 608 oligonucleotide library molecules generated in the sample libraries to those in extraction  
 609 and library blanks.

610

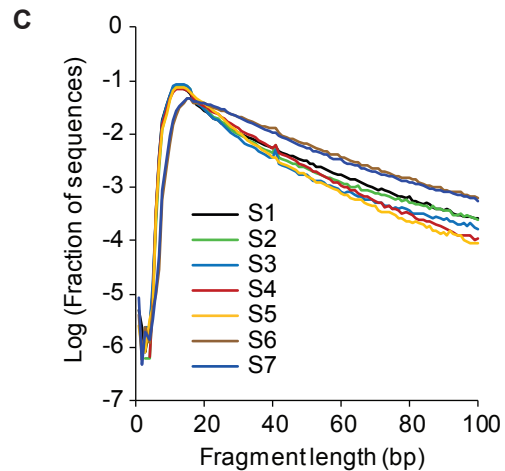
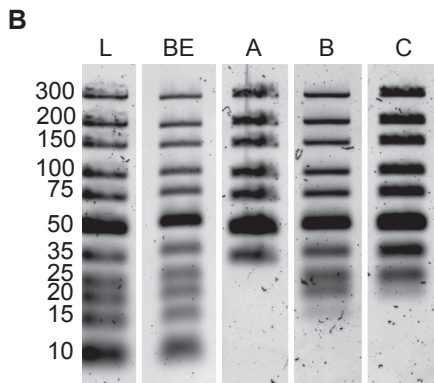
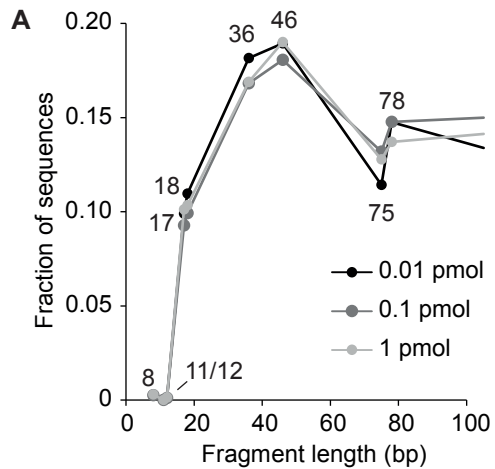
611 **Figure 4:** Estimates of the number of molecules in each library prepared from 3  $\mu$ l DNA  
 612 extract binned by size. **(A)** Total number of molecules (note that peaks below 20 bp are due  
 613 to artifacts from library preparation). **(B)** Number of 'informative' molecules, i.e. molecules  
 614 producing sequences that can be aligned to the genome of a close relative. Numbers for the  
 615 libraries prepared from buffer exchange extracts were multiplied by 2.5 to compensate for  
 616 the smaller volume of lysate used.

617

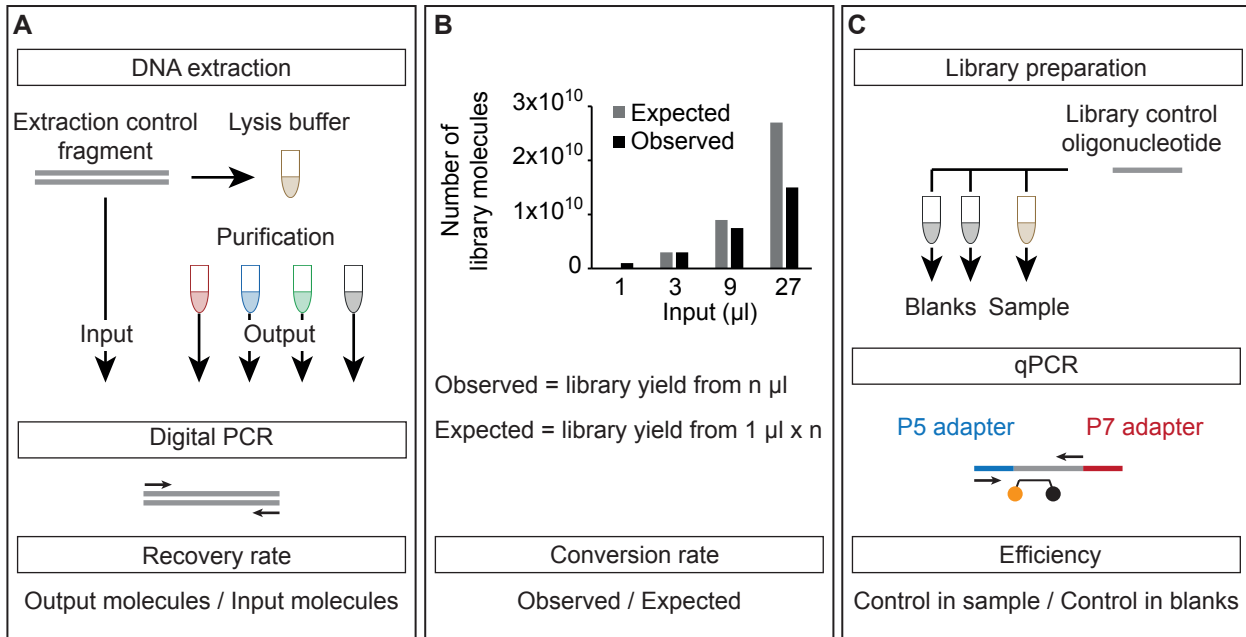
618 **Figure 5:** Sequence content of libraries obtained from extracts prepared with the four  
 619 methods as inferred by qPCR and shotgun sequencing. **(A)** The sum of nucleotides in all  
 620 library molecules relative to that of the best method. **(B)** The sum of 'informative'  
 621 nucleotides in the libraries, i.e. the sum of nucleotides subsumed in library molecules  
 622  $\geq$  35 bp that produce sequence alignments, relative to that of the best method. Numbers for  
 623 the libraries prepared from buffer exchange extracts were multiplied by 2.5 to compensate  
 624 for the smaller volume of lysate used.



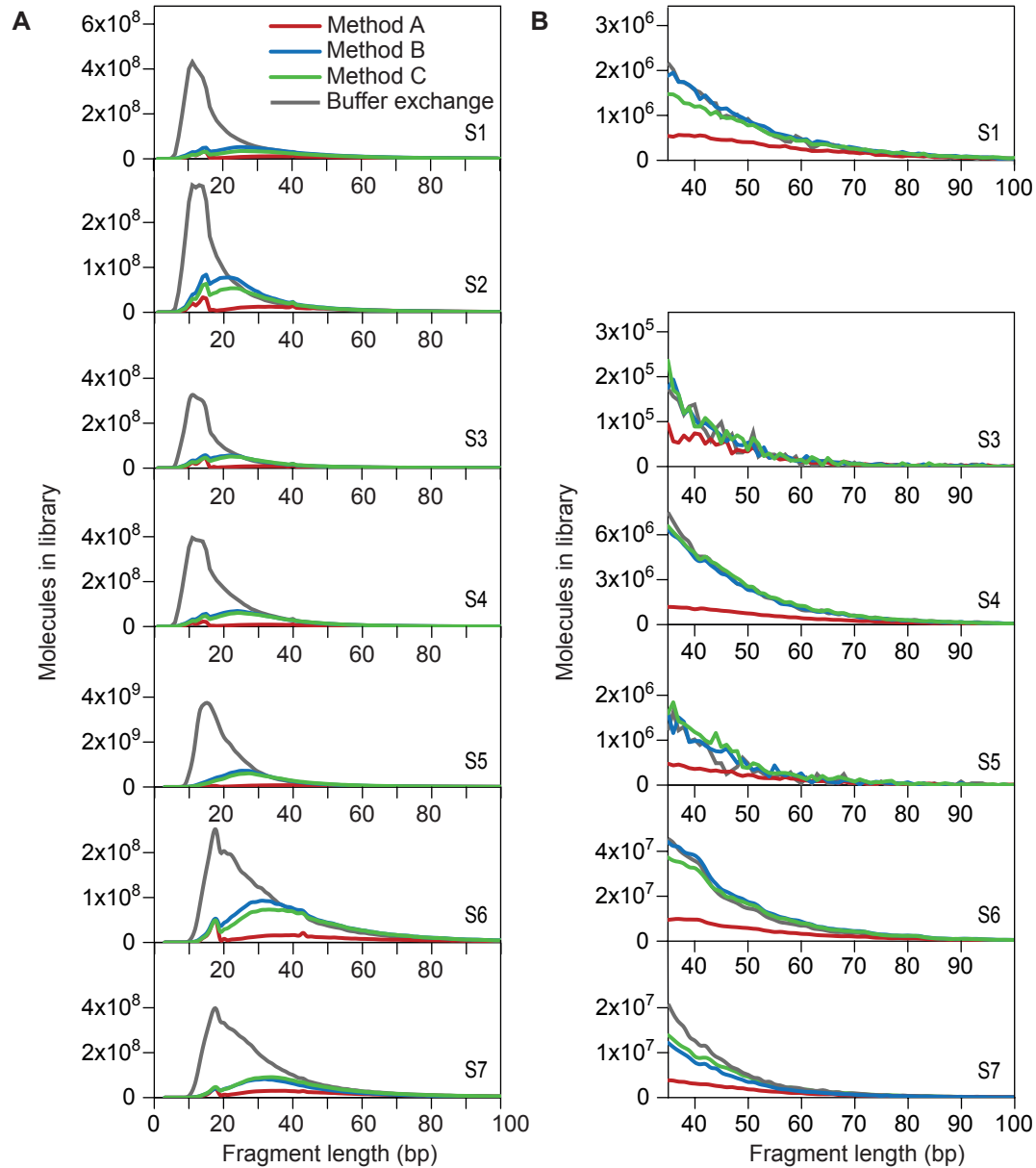
**Figure 1**



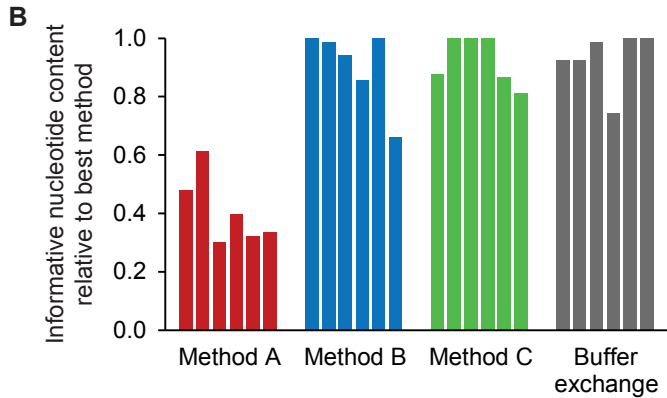
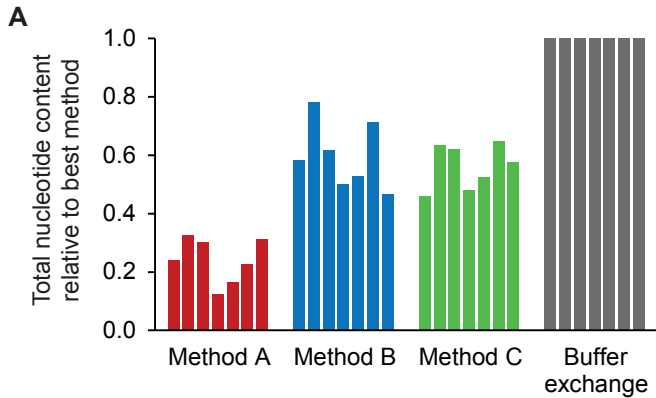
**Figure 2**



**Figure 3**



**Figure 4**



**Figure 5**