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Genetic and Phenotypic Intra-Species Variation in *Candida albicans*

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ABSTRACT

Candida albicans is a commensal fungus of the human gastrointestinal tract and a prevalent opportunistic pathogen. To examine diversity within this species, extensive genomic and phenotypic analyses were performed on 21 clinical *C. albicans* isolates. Genomic variation was evident in the form of polymorphisms, copy number variations, chromosomal inversions, subtelomeric hypervariation, loss of heterozygosity (LOH) and whole or partial chromosome aneuploidies. All 21 strains were diploid, although karyotypic changes were present in 8 of the 21 isolates, with multiple strains being trisomic for chromosome 4 or chromosome 7. Aneuploid strains exhibited a general fitness defect relative to euploid strains when grown under replete conditions. All strains were also heterozygous, yet multiple, distinct LOH tracts were present in each isolate. Higher overall levels of genome heterozygosity correlated with faster growth rates, consistent with increased overall fitness. Genes with the highest rates of amino acid substitutions included many cell wall proteins, implicating fast evolving changes in cell adhesion and host interactions. One clinical isolate, P94015, presented several striking properties including a novel cellular phenotype, an inability to filament, drug resistance, and decreased virulence. Several of these properties were shown to be due to a homozygous nonsense mutation in the *EFG1* gene. Furthermore, loss of *EFG1* function resulted in increased fitness of P94015 in a commensal model of infection. Our analysis therefore reveals intra-species genetic and phenotypic differences in *C. albicans* and delineates a natural mutation that alters the balance between commensalism and pathogenicity.

INTRODUCTION

C. albicans is an important human fungal pathogen, responsible for both debilitating mucosal infections and life-threatening systemic infections (Wey et al. 1988; Gudlaugsson et al. 2003; Pfaller and Diekema 2007). In addition to being an opportunistic pathogen, *C. albicans* is a frequent and benign component of the human gastrointestinal microbiota (Kleinegger et al. 1996). Many attributes have been linked to the ability of *C. albicans* to successfully colonize and infect the human host, including its ability to rapidly switch between different cell states in response to environmental cues (Slutsky et al. 1987; Lo et al. 1997; Kumamoto and Vences 2005; Phan et al. 2007; Zhu and Filler 2009).

The majority (97%) of *C. albicans* isolates are diploid strains assigned to one of 17 clades, as defined by multilocus sequence typing (MLST). These clades are enriched for different geographical areas, with the five largest clades accounting for three-quarters of clinical isolates (Bougnoux et al. 2004; Tavanti et al. 2005; Odds et al. 2007). The population structure is largely clonal although recombination events have led to isolates with mixed evolutionary histories, consistent with a sexually or parasexually reproducing species (Tibayrenc 1997; Odds et al. 2007). *C. albicans* mating has been shown to occur between *MTLa* and *MTL α* diploids, as well as within unisexual populations (Hull and Johnson 1999; Hull et al. 2000; Magee and Magee 2000; Alby et al. 2009). Mating is regulated by a phenotypic switch, in which cells must transition from the default 'white' state to the mating-competent 'opaque' state to undergo efficient conjugation (Miller and Johnson 2002). Despite efficient mating, a conventional meiosis has not been observed in *C. albicans*, and instead cells shift from the tetraploid state to the diploid state via a program of random chromosome loss (Bennett and Johnson 2003; Forche et al. 2008;

Berman and Hadany 2012). A viable haploid state was also recently uncovered, although *C. albicans* haploids are unstable, rapidly reverting to diploid, and also exhibit decreased fitness relative to diploid forms (Hickman et al. 2013).

Natural isolates of *C. albicans* exhibit a broad spectrum of phenotypic properties. For example, clinical isolates display striking differences in virulence when compared in the murine model of systemic infection (Wu et al. 2007; MacCallum et al. 2009). Clinical isolates also show differences in their ability to form biofilms (Li et al. 2003); these are cellular communities that promote infection arising from implanted medical devices (Nobile and Mitchell 2006; Finkel and Mitchell 2011). Phenotypic variation is further evident in the form of morphological plasticity, such as the white-opaque switch and the yeast to hyphal transition, which involve developmental shifts between distinct cellular states (Slutsky et al. 1985; Slutsky et al. 1987; Soll 1993; Lohse and Johnson 2009; Sudbery 2011).

Genomic variation is also well established for *C. albicans* and includes large-scale chromosomal rearrangements such as translocations, chromosome truncations and aneuploidy (Rustchenko 2007; Selmecki et al. 2010; Bennett et al. 2014). A number of studies have demonstrated that karyotypic differences exist between clinical isolates, including evidence for both monosomic and trisomic chromosomes (Rustchenko-Bulgac et al. 1990; Rustchenko-Bulgac 1991; Barton and Gull 1992; Perepnikhatka et al. 1999; Chibana et al. 2000; Chen et al. 2004). In one example, the presence of an extra isochromosome composed of the two left arms of chromosome 5 mediates fluconazole resistance in a subset of clinical strains (Selmecki et al. 2006; Selmecki et al. 2008). *C. albicans* strains also exhibit genetic diversity due to variable tracts of loss of heterozygosity

(LOH). Most *C. albicans* strains are considered to be heterozygous diploids, and yet different strains often contain different LOH tracts, some of which can provide phenotypic advantages. For example, homozygosis of hyperactive alleles of *ERG11*, *TAC1*, and *MRR1* (encoding the target of azole drugs or transcription factors regulating drug efflux pumps) can result in strains with increased azole resistance (White 1997; Coste et al. 2006; Dunkel et al. 2008). Both LOH and karyotypic changes are induced in response to environmental stimuli including drug treatment, heat shock, nutrient utilization, and oxidative stress (Rustchenko et al. 1997; Janbon et al. 1998; Forche et al. 2011; Harrison et al. 2014).

Sequencing of *C. albicans* isolates has provided additional insights into the genome structure of *C. albicans*. Two isolates have been sequenced to date; SC5314, the standard laboratory strain of *C. albicans*, and WO-1, in which white-opaque switching was first observed (Jones et al. 2004; van het Hoog et al. 2007; Butler et al. 2009). The genomes of these strains consist of eight diploid chromosomes, although WO-1 had lost one >300 kb region on chromosome 3. Both SC5314 and WO-1 contained ~6,100 genes and were generally heterozygous, although extended LOH regions were observed in both isolates (Butler et al. 2009). Recent phasing of the single nucleotide polymorphisms (SNPs) in SC5314 included nearly all of the 69,668 SNPs identified in this strain (Muzzey et al. 2013).

Here, we sequenced the genomes of 21 clinical isolates of *C. albicans* selected to represent different clades, different sites of anatomical origin, and differences in virulence (Wu et al. 2007). We find that genomic variation in *C. albicans* occurs at the level of SNPs, inversions, copy number variation, loss of heterozygosity (LOH), and aneuploidy. Extensive phenotypic analysis was also performed on the 21 isolates to characterize properties associated with pathogenesis. We discuss our findings with respect to intra-

species variation in *C. albicans*, and reveal how a natural isolate has evolved a mutation that promotes the commensal lifestyle at the expense of a more pathogenic one.

RESULTS

Sequencing of 21 Clinical Isolates of *C. albicans*

We selected 21 *C. albicans* strains for phenotypic and genotypic characterization (**Table S1**). This is a geographically diverse set of strains that originated from bloodstream infections (14 isolates) or superficial infections of oral or vaginal tissues (7 isolates), and includes the previously sequenced laboratory strain SC5314 for comparison (Jones et al. 2004). With the exception of SC5314, the virulence of each clinical isolate was previously compared using a murine model of disseminated candidiasis ((Wu et al. 2007), and **Table S1**).

The set of 21 strains was typed by fingerprint analysis to clades I, II, III, SA, and E of *C. albicans* (Lockhart et al. 1996; Blignaut et al. 2002; Pujol et al. 2002). Using variants identified from whole genome sequence data (**Table S2**, Methods), we evaluated the phylogenetic relationship of these 21 strains (**Fig. 1**). The major groups corresponded to the previously described fingerprint-based clades, with the exception of P94015, which is distantly positioned relative to other clade I strains. MLST analysis was carried out and compared to a previously typed population (Tavanti et al. 2005). The sequenced isolates represent 7 of the 17 previously identified clades, and include representatives of the five largest clades (1, 2, 3, 4, and 11), as well as two less common clades (6 and 8) (**Table S3** and **Fig. S1**) (Odds et al. 2007).

Analysis of the genome-wide variation for these *C. albicans* isolates revealed they contain, on average, a heterozygous SNP every 267 bases. Furthermore, when compared to SC5314, other clade I strains displayed a homozygous variant every 1,404 bases, while non-clade 1 isolates contained a homozygous variant every 235 bases. Using these

variants, the average genome-wide nucleotide diversity between any two *C. albicans* isolates is estimated at 0.37%. By contrast, the average diversity at neutrally evolving fourfold degenerate codon positions is 1.78%; the 4.8-fold higher rate at fourfold degenerate sites suggests that many sites in the genome are under selective constraint. Overall, the gene content of *C. albicans* isolates was highly conserved across the different isolates and clades. An average of 6,069 (98.1%) of the 6,189 genes in SC5314 were present in each of the assemblies of the other isolates (**Table S4**).

Phenotypic Analysis of *C. albicans* Isolates

Phenotypic analyses were performed on the 21 clinical isolates revealing marked differences in virulence, growth rates, filamentation, biofilm formation, stress resistance and resistance to antifungal drugs. To determine the virulence of these strains, isolates were tested in the *Galleria mellonella* insect model of infection (Fuchs et al. 2010b; Jacobsen 2014), and compared to that in the murine model of systemic infection (Wu et al. 2007) . Isolates showed widely differing virulence levels, with some strains causing death of the larvae within 48 hours, while others caused only limited mortality even after 7 days infection (**Fig. S2**). Virulence data in insects showed a significant correlation with that in the murine infection model ($p < 0.04$, Spearman's $r_s = 0.46$, **Table S5**).

To compare *in vitro* fitness, doubling times were measured in different culture media. Doubling times of clinical isolates ranged from ~70 to ~170 minutes (**Fig. 2A** and **Table S6**). Relative growth rates were similar across the conditions tested, with P34048 being the fastest growing and P76067 and P37037 amongst the slowest growing.

Clinical isolates varied widely in their ability to undergo filamentous growth. Filamentation on solid media was scored using a modified morphology score (M score, (Noble et al. 2010)). On Spider medium, the standard laboratory strain SC5314 showed the highest filamentation score (100), whereas P94015 had the lowest score (0) (**Fig. 2B**). Filamentation in liquid RPMI at 37°C also revealed variable filamentous phenotypes, with SC5314 being one of the most filamentous (**Fig. S3**). Despite being associated with pathogenesis, we detected no significant correlation between filamentation scores and virulence under any of the tested conditions (**Table S5**).

Biofilm formation was evaluated by measuring the total biomass accumulated on silicone elastomer, a common component of medical devices (Nobile et al. 2012). SC5314 scored as one of the most efficient biofilm producers (7.5 mg), while P94015 formed the weakest biofilms (0.61 mg) (**Fig. 2C**). There were no correlations between biofilm formation and clade designation, or between biofilm formation and virulence. However, significant positive correlations were observed between biofilm efficiency and filamentation in both liquid RPMI medium ($p < 0.011$, Spearman's $r_s = 0.54$) and on solid Spider medium at 37°C ($p < 0.05$, Spearman's $r_s = 0.43$, **Table S5**). This is consistent with filamentation being essential for efficient biofilm formation in *C. albicans* (Baillie and Douglas 1999; Nobile and Mitchell 2006).

We also compared resistance to different *in vitro* stressors, including calcofluor white (CFW), which interferes with chitin in the cell wall (Ram and Klis 2006), as well as oxidative stress (**Fig. 2D**). We observed several clade-specific trends, including that strains from clades II and III were the most sensitive to CFW (with the exception of P78042), while strains from clade SA were all resistant to CFW. Many of the strains that were sensitive to

CFW also were sensitive to oxidative stress. Thus, clade III isolates were the most sensitive to hydrogen peroxide, while clade SA isolates again were the most resistant to this stress (**Fig. 2D**). However, there was no overall correlation between a strain's susceptibility to CFW stress, oxidative stress or heat shock stress and its virulence in the host (**Table S5**).

Copy Number and Structural Variation in *C. albicans* Isolates

Comparing sequencing read depth across chromosomes identified genomic regions showing copy number variation. We identified regions showing statistically significant coverage variation and focused on genes present in amplified regions of at least 2 strains (**Table S11**). The genes with the most variable copy number were ORFs encoding retroelements. Zorro elements are retrotransposons that lack long terminal repeats (Goodwin et al. 2001), and Zorro2 and Zorro3 ORFs were amplified at least 2-fold relative to SC5314. Tca2 elements, representing Ty1/*copia*-type retrotransposons (Holton et al. 2001), were present at 6-fold higher copy number in several strains from each clade except clade II. We also detected a highly elevated copy number (35X above diploid) of the *TAR1* gene present in the rDNA array. The genome assembly of SC5314 only contains one copy of the ribosomal DNA locus (5S-18S-5.8-25S) and our data therefore suggests this locus is, on average, an array of 35 copies. Aside from these regions, the most commonly encountered gene of variable copy number was *CYP5*, encoding a cytoplasmic cyclophilin; this gene was duplicated in 15 strains, providing an example of a widely occurring amplification not found in the reference strain.

Targeted Sanger sequencing of the subtelomeric regions showed that these regions exhibited hypervariation between isolates. The telomere-associated (*TLO*) gene family

resides at subtelomeric regions, and this family is greatly expanded in *C. albicans* relative to other *Candida* species (Butler et al. 2009). Analysis of *TLO* genes revealed that both the number and position of *TLO* genes varied widely, with the total number of *TLOs* varying between 10 and 15 between isolates. This indicates significant plasticity in this gene family with potential consequences for fitness of the organism (see Supplemental Information and **Fig. S4, Table S12**).

We also assessed the genomes of the 21 isolates for inversions and translocations. Each assembly was aligned to SC5314 to identify candidate inversions and read support for the breakpoints examined (see Methods). We found that 18 of the 21 isolates have local sequence inversions. Each isolate contained between one and eight total inversions ranging in size from 705 bases to 144 kb; many of the inverted regions were identified across multiple isolates (**Table S13**). We did not find evidence for chromosomal translocations supported by both the assemblies and read mapping analyses.

Substitution Rates and Evolutionary Selection in Clinical Isolates

To examine the regional variation in evolutionary rates, we plotted the rates of nucleotide substitution in coding regions across the *C. albicans* genome. One region in particular, the subtelomeric region on the right arm of chromosome R, exhibited a uniformly high omega value, though also a notably lower d_s value (**Fig. S5**). Notably, this chromosomal region is also the only region in the genome that is homozygous in all 21 strains; genome-wide, d_s positively correlated with heterozygosity ($p < 1e-7$, $R=0.19$, Pearson's correlation). Across the genome, we observed high variability in d_s (**Fig. S5**), and while d_s significantly correlated with omega values ($p < 2e-16$, $R=-0.34$), d_N did not show a

clear correlation ($R = 0.03$). This suggests that regional variation in d_S , but not d_N , is a major driver of differences in omega values.

To identify the most rapidly changing proteins, we focused on the top 5% of d_N values, as the local variation in d_S is likely to skew omega values. Estimates of d_N provide a view of protein divergence, particularly in cases of extremely low or high d_S (Luo and Hughes 2012), and can correlate well with omega values even when d_S measurements are accurate (Drosophila 12 Genomes et al. 2007). This set of 299 genes ($d_N > 0.087$) included a number of genes encoding cell wall proteins, such as *HWP1*, *IFF5*, *IHD1*, *MP65*, *PGA41*, *PGA44*, *PGA46*, *PGA49*, *PGA60*, and *PGA61* (**Table S14**). Several of these encode predicted GPI (glucosylphosphatidylinositol)-anchored proteins implicated in cell wall function, adhesion or host interactions (Richard and Plaine 2007). Genes producing cell wall modifying activities also displayed high d_N values, such as the chitinase *CHT1* gene as well as the mannosyltransferase *MNT1* gene. This presumably underscores the importance of the cell wall in interactions with the mammalian host. Other genes with high d_N values include those implicated in filamentous growth (*FGR18* and *FGR43*), a gene encoding an oligopeptide transporter (*OPT9*) and the peptidase-encoding genes *SAP5* and *PEP3*. Genes with the highest d_N included many with unknown function (173 with no GO function), which prevented the detection of GO term enrichment overall.

To characterize variants resulting in loss-of-function mutations, we identified homozygous nonsense mutations as well as insertions and deletions (indels) predicted to prematurely terminate protein-coding genes. Across the 21 genomes, nonsense mutations truncated 175 genes and indels resulted in frameshift mutations that disrupted 391 genes, with many of these mutations shared by multiple strains (**Tables S15, S16**). Interestingly,

nonsense mutations and indels targeted the same genes in many cases (**Fig. S6**; $p < 2 \times 10^{-16}$, χ^2), suggesting strong evolutionary pressure on these loci. For isolate P94015, we scanned the loss-of-function mutations to identify candidates that might be responsible for the extreme phenotypes exhibited by this strain and explored in more detail below.

Multiple Aneuploidies are Present in *C. albicans* Isolates

By examining sequence read depth across the genome, large-scale copy number variations were identified in more than one-third of isolates (8 of 21 strains) (**Fig. 3** and **Fig. S7, S8**). Whole chromosome gains were commonly observed, with five strains containing one trisomic chromosome and one strain, P60002, containing two trisomic chromosomes. Whole chromosome gains were observed for each of the four smallest chromosomes, 4-7, with extra copies of Chr 4 and 7 present in multiple strains (**Fig. 3** and **Fig. S8**). In addition, segmental regions of two chromosomes were found at trisomic and tetrasomic levels. In P60002, an 805 kb region on Chr R spanning from 263 kb to the left of CENR up to 70 kb to the right of the rDNA repeat was trisomic for a 487 kb region and the terminal 318 kb region distal to the rDNA was tetrasomic (**Fig. 3** and **Fig. S8**). For P94015, a 340 kb internal region of Chr 1 was tetrasomic, with an adjacent 74 kb region being trisomic. One strain, P76067, was also hemizygous (only one copy of one allele present) for the final 200 kb on the right arm of Chr 3; interestingly, a larger hemizygous region encompassing 433 kb of this chromosome was previously noted in strain WO-1 (Butler et al. 2009). As P76067 is a clade II strain whereas WO-1 maps to a different clade (Odds et al. 2007), this loss is best explained by independent losses of these subtelomeric regions.

As a group, aneuploid strains (with partial, whole, or multiple aneuploidy regions) exhibited significantly slower growth than euploid strains in several growth media (SCD, Spider, and YPD media at 30°C ($p < 0.05$, Student's t-test, **Table S5**)). These results are consistent with aneuploidy being associated with a general fitness defect in yeast species when grown in replete media (Torres et al. 2007; Forche et al. 2008; Pavelka et al. 2010; Siegel and Amon 2012). In contrast, aneuploidy did not correlate with any other strain attributes including virulence (**Table S5**).

Whole Genome Heterozygosity and LOH events

Previous sequencing of isolates SC5314 and WO-1 revealed high levels of heterozygosity, with 86% and 70% of the genome, respectively, containing SNPs (Jones et al. 2004; Butler et al. 2009). Genome heterozygosity in the 21 isolates analyzed here ranged from 48% in P94015 to 89% in P34048, with LOH of whole chromosomes observed for Chr 3, 5, 6, 7 and R (**Fig. 4**). The transition points between homozygous and heterozygous regions along each chromosome differed between strains; however the centromeres were excluded from having undergone LOH except for cases of whole chromosome LOH. As discussed earlier, only one region, extending from the rDNA locus to the end of the right arm of Chr R, was homozygous in all strains. Three regions, encompassing the centromeres of Chr 1, 2, and 4, were heterozygous across all strains (**Fig. 4**). Overall genome heterozygosity correlated with faster growth rates in YPD medium at 30°C ($p < 0.027$, Spearman's $r_s = 0.48$, **Table S5**). Genome heterozygosity did not, however, correlate with increased virulence in either the murine or insect model of infection (**Table S5**).

We more closely examined heterozygosity on Chr 5 that contains the mating type-like (*MTL*) locus. More than 90% of clinical isolates have been shown to be heterozygous at the *MTL* locus, containing both *MTL* α and *MTL* β idiomorphs (Lockhart et al. 2002; Legrand et al. 2004; Odds et al. 2007). We found that all α/β isolates were heterozygous surrounding the *MTL* locus as expected, but were often homozygous for other regions of Chr 5. For example, 5 strains showed LOH on the right arm of Chr 5 that extended to the end of the chromosome (**Fig. 5A**). Similarly, 4 α/β strains showed LOH on the left arm of Chr 5 that extended to the telomere. It is likely that these LOH events are due to break-induced replication or single crossover recombination events. Overall, α/β strains were heterozygous for 37-100% of SNPs on chromosome 5 (**Fig. 5B**).

Strains that were *MTL* homozygous also exhibited a wide range of Chr 5 configurations. In 3 isolates the entire Chr 5 was homozygous, while in 9 isolates partial LOH events had occurred (**Fig. 5A**). For example, the entire left arm of Chr 5 was homozygous in 4 isolates. In contrast, the regions flanking the *MTL* locus were heterozygous in 5 isolates with only a small tract at the *MTL* locus itself being homozygous. In these strains, the length of the LOH tract varied from 11 kb to 20 kb (**Fig. 5C**). Given that the *MTL* locus is ~9 kb, these results demonstrate how recombination events close to the *MTL* can drive homozygosity of this locus.

We also examined whether overall heterozygosity of Chr 5 correlated with virulence, as previously proposed (Wu et al. 2007). Chromosome 5 heterozygosity varied from 0% (19F) to 100% (GC75), but these levels did not show a significant correlation with either growth rates or virulence (**Table S5**). Thus, unlike genome-wide heterozygosity, heterozygosity on Chr5 does not appear to affect fitness either *in vitro* or *in vivo*.

Characterization of Avirulent Isolate P94015

Isolate P94015 was chosen for further investigation, as this strain was non-filamentous (**Fig. 2** and **Fig. S3**), exhibited the lowest virulence of the clinical isolates (Wu et al. 2007), and exhibited a phenotypic state distinct from conventional ‘white’ or ‘opaque’ cells. *C. albicans* white cells are round and smooth, and form dome-shaped colonies, while cells in the opaque state are elongated and pimpled, and form flatter, darker colonies (Slutsky et al. 1987). The cellular phenotype of P94015 included a mixture of round white-like cells and elongated opaque-like cells, as well as cells intermediate in shape to both states (**Fig. S9A**). Fluorescent microscopy and cell cytometric analysis of cells expressing *pOP4*-GFP or *pWH11*-GFP reporters established that P94015 cells exhibited differential expression of these reporters to those in control white or opaque cells (**Fig. S9B and C**).

Genome-wide transcriptional profiling of P94015 was also performed, and compared to the profiles of white and opaque cells, as well as those of ‘GUT’ and ‘grey’ cells. The latter two phenotypes have been shown to be related to, but distinct from, those of white and opaque cells (Pande et al. 2013; Tao et al. 2014). Comparative analysis revealed that gene expression in P94015 showed a significant correlation with that in opaque cells, GUT cells, and grey cells relative to white cells, but that the P94015 profile was also distinct from each of these three cell types (see Supplemental Information and **Fig. S10**).

Genome analysis of P94015 revealed that the genes regulating white-opaque switching were intact with the exception of *EFG1*, which contained a homozygous nonsense mutation at amino acid 80 (full-length Efg1 is 525 amino acids). None of the other clinical isolates contained a premature stop mutation in *EFG1*. In addition to controlling white-

opaque switching (Sonneborn et al. 1999; Srikantha et al. 2000; Zordan et al. 2007), *EFG1* is a key regulator of filamentous growth and virulence (Lo et al. 1997; Stoldt et al. 1997; Braun and Johnson 2000; Korting et al. 2003; Noffz et al. 2008). To test whether the truncated *EFG1* gene product in P94015 is functional, we introduced the nonsense mutation into the full-length SC5314 *EFG1* allele, generating *efg1_{STOP}*. The *efg1_{STOP}* allele did not restore filamentation when introduced into an SC5314 *efg1Δ/efg1Δ* strain, whereas reintegration of intact *EFG1* successfully restored filamentation (**Fig. S11**). Furthermore, introduction of one copy of *efg1_{STOP}* into wild-type SC5314 did not inhibit filamentation (**Fig. S11**). This establishes that the truncated *EFG1* gene in P94015 represents a recessive, loss-of-function allele. Complementation of P94015 with full-length *EFG1* partially restored filamentous growth (**Fig. 6A, B**) and also yielded colonies that resembled the conventional white state (data not shown). These results indicate that complementation of P94015 with full-length *EFG1* promotes formation of the white phenotypic state, as well as the ability of this strain to undergo filamentous growth.

To determine if loss of *EFG1* function contributes to the low virulence of P94015, native and *EFG1*-complemented strains were compared in an invertebrate model of virulence. Over the course of 10 days, wild-type P94015 killed 30% of *Galleria* larvae, whereas P94015 isolates expressing full-length *EFG1* exhibited significantly increased killing ($p < 0.01$, log-rank test; **Fig. 6C**). We also directly competed native P94015 against *EFG1*-complemented P94015 strains in the murine model of systemic infection. P94015 strains containing full-length *EFG1* showed significantly higher fungal burdens than the native P94015 strain after 7 days co-infection ($p < 0.005$, Student's t-test, **Fig. 6D**). We

conclude that reintroduction of full-length *EFG1* increases the virulence of P94015 in systemic infection.

Previous studies demonstrated that commensal fitness in SC5314 was enhanced by deletion of *EFG1* (Pierce and Kumamoto 2012; Pande et al. 2013; Pierce et al. 2013). We therefore hypothesized that loss of *EFG1* function in P94015 could promote commensal growth. To test this, we performed a 1:1 competition between P94015 and P94015+*EFG1* isolates in a murine model of gastrointestinal colonization. By 3 days post infection, P94015 had significantly outcompeted P94015+*EFG1* in two independent competition experiments, and this competitive advantage was maintained for 14 days post infection ($P<0.05$, day 3; $P<0.001$, day 14, Tukey's Pairwise Test) (**Fig. 6E** and **Fig. S12**). Similar fitness differences were observed when *C. albicans* cells were recovered from GI organs 14 days post infection; P94015 had outcompeted P94015+*EFG1* in the stomach, small intestine, cecum and colon ($P<0.0005$, Tukey's Test, **Fig. 6F** and **Fig. S12**). These results demonstrate that native P94015 has a significant fitness advantage in commensal growth over isolates in which *EFG1* function has been restored. This raises the possibility that P94015 evolved a mutated form of this gene to promote commensal colonization within the mammalian host at the expense of decreased virulence.

DISCUSSION

Here, intra-species analyses of *C. albicans* isolates revealed extensive variation between strains, both at the genotypic and phenotypic level. Substantial genomic differences were observed between the set of 21 clinical strains, and included single nucleotide polymorphisms, inversions, copy number changes, LOH events, and whole or partial chromosomal aneuploidies. It is expected that each of these genomic differences contribute to the range of phenotypes exhibited by clinical isolates of *C. albicans*. This work provides the first step towards the identification of novel variants associated with phenotypes important for virulence in *C. albicans*.

Frequent LOH in *C. albicans* isolates

Overall genome heterozygosity varied from 48% to 89%, establishing LOH as an important mechanism contributing to *C. albicans* diversity. LOH events included segmental LOH on one or more of each of the eight chromosomes, as well as whole chromosome LOH events for Chr 3, 5, 6, 7, and R. This indicates that many chromosome homologs do not harbor recessive lethal alleles, as these homologs would be unable to exist as homozygous chromosomes in viable cells. Only one region of the genome was homozygous in all 21 strains; this region showed high omega (d_N/d_S) values, although an extremely low d_S value was found to be the driver of this signal. While d_N is relatively constant across the genome, d_S exhibits strong local variation that will need to be taken into account in future studies of *C. albicans* genome evolution.

In general, we observed few correlations between genotypic and phenotypic characteristics, although overall genome heterozygosity showed a significant correlation

with faster growth rates. This is consistent with heterozygosity contributing to strain fitness, and with recent studies showing that completely homozygous strains of SC5314 exhibit slower growth, presumably reflecting the loss of alleles that influence fitness (Hickman et al. 2013).

LOH is also the primary mechanism by which homozygosity of the *MTL* locus is achieved, a necessary prelude to mating (Wu et al. 2005; Wu et al. 2007). Analysis of homozygous *MTL* alleles showed that LOH could include small regions of homozygosity at the *MTL* locus itself, or LOH of the entire Chr 5 harboring the *MTL* locus. The frequent observation of LOH tracts that encompass only the *MTL* locus suggests that there may be recombination hotspot(s) close to the *MTL*. This is similar to what has been observed in *Cryptococcus neoformans*, where recombination hotspots flank the mating-type locus (Hsueh et al. 2006). However, in contrast to a previous analysis (Wu et al. 2007), we did not find a correlation between Chr 5 heterozygosity and virulence, or indeed between overall genome heterozygosity and virulence.

Aneuploidy in *C. albicans*

Variation between *C. albicans* genomes included extensive karyotypic differences, with more than one-third of the clinical isolates being aneuploid. This is consistent with earlier studies that revealed karyotypic variation in multiple *C. albicans* isolates (Suzuki et al. 1982; Rustchenko-Bulgac 1991; Perepnikhatka et al. 1999; Selmecki et al. 2006; Rustchenko 2007; Bouchonville et al. 2009; Yang et al. 2011). In the current study, 7 of the 21 strains contained trisomic chromosomes, with Chr 4 and Chr 7 being trisomic in multiple isolates. This suggests that extra copies of these chromosomes may be

particularly well tolerated by *C. albicans*, or may confer a fitness benefit under some growth conditions. Interestingly, whole chromosome aneuploidies involved only the 4 smallest chromosomes (Chr 4, 5, 6, and 7); aneuploidies of the larger chromosomes may be less prevalent due to the higher number of genes impacted, particularly if changes in ploidy cause corresponding changes in transcript levels. Previous studies found that fluconazole-treated *C. albicans* strains were also biased towards aneuploidies of the smallest chromosomes (Selmecki et al. 2006; Bouchonville et al. 2009).

Consistent with experiments in both *C. albicans* and *S. cerevisiae* (Chen et al. 2004; Torres et al. 2007; Forche et al. 2008; Pavelka et al. 2010; Abbey et al. 2011; Siegel and Amon 2012), a significant correlation was observed between aneuploidy and longer doubling times, although not all aneuploid strains exhibited slow growth. A previous study noted a correlation between faster growth rates and increased virulence (MacCallum et al. 2009), but this was not observed in the analysis of the 21 strains described here.

Copy number variation and positive selection

Analysis of the 21 *C. albicans* genomes revealed gene copy number variation, including transposons that have previously been shown to be active and to have differential representation between strains (Goodwin et al. 2001; Holton et al. 2001; Yang et al. 2011). Variable copy number was also observed for the *CYP5* gene that encodes a cytoplasmic cyclophilin. Cyclophilins are implicated in a variety of processes including the response to stress, and have been shown to be necessary for pathogenesis in some fungal species (Wang et al. 2001; Viaud et al. 2002). This suggests that duplication of *CYP5* could similarly contribute to increased fitness or virulence in *C. albicans*. Overall, the protein-

coding gene duplications identified here did not overlap with the 5 duplications detected in a study of multiple *C. parapsilosis* isolates (Pryszcz et al. 2013).

Genes with high rates of nonsynonymous substitution (d_N) included many structural cell wall proteins, including GPI-anchored proteins, as well as cell wall modifying enzymes. A previous study by Butler *et al.* showed that rapidly evolving gene families in the most pathogenic *Candida* species were also highly enriched for cell wall proteins (Butler et al. 2009). This is consistent with cell surface proteins being important for host-pathogen interactions, and that there is perhaps ongoing selection for faster evolution of these factors. For example, our study found high variability in the *FGR38*-like Leucine-rich repeat family, previously noted to be fast evolving and to be unique to *C. albicans* and *C. tropicalis* (Butler et al. 2009).

Further evidence of genomic diversity was apparent in hypervariation of the subtelomeric *TLO* gene family in *C. albicans*. This gene family displays the largest increase in gene copy number between *C. albicans* and related, less pathogenic *Candida* species (Butler et al. 2009). We found that the *C. albicans* *TLO* gene family is continuing to rapidly evolve, including changes both in the number and relative positions of *TLO* genes within the genome. *TLO* genes encode proteins with similarity to Med2, a component of Mediator that regulates transcription by RNA polymerase II, and some Tlo proteins have been confirmed as Med2-like Mediator components (Zhang et al. 2012). *TLO* gene expression is also highly variable, due primarily to Sir2-dependent telomere associated silencing (Anderson et al. 2014). The challenge now is to determine if expansion and diversification of *TLO* genes represents a mechanism to alter global transcription without extensive rewiring of transcriptional networks.

Mutations affecting commensalism and pathogenesis

One clinical isolate, P94015, was chosen for more detailed analysis as it exhibits an altered phenotypic state, an inability to filament, and limited systemic virulence. Each of these attributes was shown to be the result, at least in part, of a premature stop codon in the *EFG1* transcription factor gene. It is striking that a clinical strain lacks this key transcriptional regulator given its established role in *C. albicans* filamentation and virulence (Lo et al. 1997; Stoldt et al. 1997; Braun and Johnson 2000; Korting et al. 2003; Noffz et al. 2008). However, loss of *EFG1* in P94015 provides a fitness advantage in commensal growth. Thus, we identify a natural mutation that alters the balance between commensal and pathogenic lifestyles.

Our results raise additional questions about *C. albicans* commensalism. If loss of *EFG1* can improve commensal fitness, the predominant state of *C. albicans*, why don't more natural isolates show a similar loss of function? One intriguing possibility is that loss of *EFG1* was selected for in an isolate infecting an immunocompromised host. Pierce and Kumamoto showed that *efg1* mutants in SC5314 initially show increased fitness in gut colonization, but that in mice with an intact immune system these mutants then decline in fitness relative to a wildtype strain (Pierce and Kumamoto 2012). In contrast, in nude mice lacking T cells, the *efg1* mutants do not show the same decline in fitness. It is therefore possible that loss of *EFG1* function has a greater benefit in an immunocompromised host than in a healthy host. It should be noted, however, that in both our experiments and in another study using SC5314 (Pande et al. 2013), that loss of *EFG1* function consistently improved commensal fitness in immunocompetent mice. It is therefore unclear under

which conditions, or by what mechanisms, the immune system impacts commensal colonization by *C. albicans*.

It is also striking that the P94015 isolate was recovered from a bloodstream infection despite lacking functional *EFG1*. This is surprising considering that loss of *EFG1* compromises virulence. However, if the host was immunocompromised this could explain the ability of an isolate to mount a successful bloodstream infection despite its reduced virulence. In fact, Sampaio *et al.* recently showed that successive isolates from a recurring bloodstream infection in a chemotherapy patient exhibited a progressive reduction in virulence (Sampaio *et al.* 2010). The authors speculated that *C. albicans* underwent microevolution and this favored adaptation towards increased commensal fitness and attenuated virulence (Sampaio *et al.* 2010). Our current study further supports this hypothesis, and demonstrates that mutation of *EFG1* is one mechanism by which *C. albicans* may limit killing of the host while enhancing its commensal lifestyle.

Conclusions

The analysis described here provides the most detailed examination of genomic and phenotypic intra-species variation in *C. albicans* to date. The phenotypic plasticity of this species has long been recognized, and our studies reveal the genetic differences underlying phenotypic differences are due to a variety of mechanisms, of which LOH and aneuploidy are major contributors. Furthermore, we uncover a genetic polymorphism responsible for altered phenotypic behavior, including a change in the balance between commensalism and pathogenesis. Uncovering these links will undoubtedly provide greater insights into the

commensal-pathogen dichotomy and will present novel opportunities for clinical interventions against this opportunistic pathogen.

METHODS

C. albicans strains, plasmids and media

The set of 20 clinical isolates of *C. albicans* (**Table S1**) were a gift of Dr. David Soll (U. Iowa) and have previously been tested in a murine model of systemic virulence (Wu et al. 2007). This set of strains is available at <http://www.beiresources.org/>. Additional strains used in this study are listed in **Table S17**. Standard culture media were prepared as previously described (Guthrie and Fink 1991). For detailed strain construction and microscopy see supporting material.

Whole genome sequencing, variant identification, and *de novo* assembly

To extract genomic DNA, $\sim 10^9$ cells grown overnight in YPD at 30°C were processed using the Qiagen Genomic Buffer Set and the Qiagen Genomic-tip 100/G. Lyticase (Sigma, L2524) was used to enzymatically digest the fungal cell wall. Two libraries were constructed with average insert sizes of 197 bases and 2.5 kilobases (**Table S2**) as previously described (Fisher et al. 2011; Grad et al. 2012) and were sequenced on an Illumina HiSeq to generate 101 base paired-end reads.

For SNP calling, reads were aligned to the SC5314 genome (version A21-s02-m01-r01, www.candidagenome.org) using BWA 0.5.9 (Li and Durbin 2010) and variants identified with GATK (McKenna et al. 2010). Genomic regions exhibiting copy number variation were identified from GC normalized read depth. Potential inversions and translocations identified from alignments of the *de novo* assemblies were validated by evaluating read support using BreakDancer (Chen et al. 2009).

The Illumina reads for each strain were assembled using ALLPATHS-LG (Gnerre et al. 2011), and genes predicted for each assembly. Both the assemblies and SNPs were used to evaluate variation in gene content and sequence. For detailed DNA sequence analysis methods see supporting material.

RNA extraction, sequencing, and differential expression analysis

C. albicans cells for selected strains were grown overnight in YPD at 30°C and RNA was isolated. Differentially expressed genes were identified using EdgeR (version 3.4.2) (Wolf et al. 2009) by comparing P94015 or P60002 transcript levels to those of SC5314, P87 and GC75 (**Table S18**). For detailed methods see supporting material.

***Galleria mellonella* infections**

C. albicans cells were grown overnight in YPD medium at 30°C, washed, and injected into the terminal pro-leg of *G. mellonella* larvae, as previously described (Fuchs et al. 2010a). Inocula were $\sim 2.5 \times 10^5$ CFUs for analysis of the full set of clinical isolates and $\sim 5 \times 10^5$ CFUs for analysis of P94015 vs. P94015+*EFG1*. *G. mellonella* larvae were kept at 37°C for 10 days and scored daily for signs of death (see supporting material).

Murine competition experiments

For systemic infection, cells were grown overnight in liquid YPD medium at 30°C, washed, and mice infected via the tail-vein with a total inoculum of $\sim 6.0 \times 10^5$ colony forming units (CFUs). Competition experiments involved a 1:1 mixture of wildtype P94015 (NAT-

sensitive) and P94015+*EFG1* (NAT-resistant) isolates. Mice were euthanized 7 days post-infection, and the number of CFUs from the kidney determined.

For *in vivo* commensal experiments, an antibiotic treated murine model of commensalism was used (Pande et al. 2013). To prepare *C. albicans* cells for infection, strains were grown overnight in 3 ml YPD at 30°, washed, and $\sim 1 \times 10^8$ CFUs of *C. albicans* introduced by oral gavage. For each competition mixture, one strain was NAT sensitive and one strain was NAT resistant. Fecal pellets were collected daily and fungal CFUs determined. For complete details see supplemental material.

DATA ACCESS

The sequence data from this study have been submitted to the NCBI Short Read Archive under BioProject PRJNA193498 (<http://www.ncbi.nlm.nih.gov/bioproject>). SNP data have been submitted to dbSNP (<http://www.ncbi.nlm.nih.gov/projects/SNP/>) under ss# 1456786277 to 1457237021 (noninclusive, release pending for build 143). Differential expression analysis of RNA-seq data was submitted to the NCBI Gene Expression Omnibus (GEO; <http://www.ncbi.nlm.nih.gov/geo/>) under accession number GSE55158.

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AUTHOR CONTRIBUTIONS

R.J.B. and C.A.C. designed experiments and wrote the manuscript with editorial input from D.A.M., M.P.H., M.Z.A. and J.B. M.P.H. performed genetic and phenotypic analysis on the set of 21 isolates, and tested strains in murine models of commensalism and virulence. D.A.M. performed transcriptional analysis. D.A.M. and J.W. performed selection analysis. S.S. identified DNA variants and performed phylogenetic and heterozygosity analysis. J.G. mapped nonsense mutations and indels. M.Z.A. and J.B. designed and M.Z.A. performed the telomere analysis. M.Z.A. performed the *G. mellonella* infection experiments. E.Z. performed phenotypic analysis. A.B. assembled the genomes. S.G. and Q.Z. annotated the assemblies.

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FIGURE LEGENDS

Figure 1. Phylogenetic relationship and clade assignment of studied isolates. The phylogenetic relationship of the strains was inferred based 112,223 informative SNP positions using maximum parsimony in PAUP*; node labels indicate the support frequency of 1,000 bootstrap replicates. Clades were assigned based on multilocus sequence type (MLST) analysis of seven loci or prior work reporting fingerprint clades (FP).

Figure 2. Phenotypic profiling of *C. albicans* isolates. (A) Doubling times and biofilm formation. Doubling times were measured in liquid YPD medium at 30°C. Circles represent euploid isolates and triangles represent aneuploid isolates. Biofilm dryweight biomasses $\pm$ standard error. Colors represent clade designation as follows: SA - gray; I - red; II - yellow; III - blue; E - green. (B) Filamentation of clinical isolates grown on Spider medium for 7 days at 30°C or 37°C. Bar graphs represent filamentation scores $\pm$ standard error for strains at 30°C (red) or 37°C (blue). (C) Assays of clinical isolates grown in the presence of stressors. Ten-fold dilutions of cells were plated under regular growth conditions (SCD, 30°C), cell wall stress (SCD+100 μ g/ml calcofluor white, 30°C), oxidative stress (SCD+2 mM hydrogen peroxide, 30°C), and thermal stress (SCD medium, 42°C).

Figure 3. Aneuploid regions of clinical isolates. For each sequenced isolate, normalized read depth in 1 kb windows is shown along each chromosome relative to the SC5314 reference. Chromosome position is shown for every 100kb along the x-axis; average normalized depth on the y-axis is relative to diploid levels. Regions exhibiting partial or

full chromosome aneuploidies are noted with the corresponding isolate name. See also Figure S8 for chromosome copy number in each strain.

Figure 4. Loss of heterozygosity in sequenced isolates. For each isolate, the frequency of SNPs, including both heterozygous and homozygous variants relative to SC5314, is plotted for 5 kb windows across the genome (grey bars). Homozygous regions are shaded blue; this includes regions that are homozygous and shared with the reference SC5314 and regions containing a different homozygous haplotype. Additional features shown on the chromosome plot (bottom profile) include centromeres (green circles), major repeat sequences (blue rectangles), and the *MTL* locus on Chromosome 5 (orange rectangle).

Figure 5. Pattern of heterozygosity on chromosome 5 containing the *MTL* locus. (A) Isolates were typed as either *MTL* heterozygous (left column) or *MTL* homozygous (right column). Homozygous regions (shaded blue) of chromosome 5 were identified from SNP data. The lower axis indicates the position of the *MTL* locus (orange rectangle) and centromere (green circle) on chromosome 5. (B) Percentage of chromosome 5 that is heterozygous in each isolate (fraction of 5 kb windows typed as heterozygous, see Methods). (C) Pattern of SNP heterozygosity immediately flanking the *MTL* locus. Note that SNPs within the *MTL* loci were not mapped due to the high sequence divergence of the two loci. *MTL α* loci are marked by orange triangles and *MTL* loci are marked by white triangles. Axis indicates position on chromosome 5.

Figure 6. Analysis of native and *EFG1*-complemented P94015 strains in commensal and pathogenic models of infection. (A and B) Induction of filamentation in SC5314 and P94015 strains in YPD medium supplemented with 10% serum at 37°C. Two independent *EFG1*-complemented strains are shown, P94015+*EFG1*(1) and P94015+*EFG1*(2). (C) Survival curves for *Galleria mellonella* infected with strain P94015 and *EFG1*-complemented P94015. n = 30 worm per strain, p<0.01, log-rank Mantel-Cox test. (D) Competition between native P94015 and *EFG1*-complemented P94015 in a murine model of systemic infection. *C. albicans* cells were recovered from kidneys after 7 days infection and compared to the inoculum. Data are two independent competition experiments with 4 mice each. (E) Testing of P94015 or derived strains in a murine model of commensal fitness. *C. albicans* cells were recovered from fecal pellets each day and the relative levels of native P94015 or *EFG1*-complemented P94015 determined. Error bars = +/- s.d. n=3 mice for each competition. (F) Relative levels of P94015 or *EFG1*-complemented P94015 in gastrointestinal tissues after 2 weeks of commensal growth. Error bars = +/- s.d.

FP

MLST











