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Heritable variation of mRNA decay rates in yeast

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Abstract

Gene expression levels are determined by the balance between rates of mRNA transcription and decay, and genetic variation in either of these processes can result in heritable differences in transcript abundance. Although the genetics of gene expression has been the subject of intense interest, the contribution of heritable variation in mRNA decay rates to gene expression variation has received far less attention. To this end, we developed a novel statistical framework and measured allele-specific differences in mRNA decay rates in a diploid yeast hybrid created by mating two genetically diverse parental strains. We estimate that 31% of genes exhibit allelic differences in mRNA decay rate, of which 350 can be identified at a false discovery rate of 10%. Genes with significant allele-specific differences in mRNA decay rate have higher levels of polymorphism compared to other genes, with all gene regions contributing to allelic differences in mRNA decay rate. Strikingly, we find widespread evidence for compensatory evolution, such that variants influencing transcriptional initiation and decay having opposite effects, suggesting steady-state gene expression levels are subject to pervasive stabilizing selection. Our results demonstrate that heritable differences in mRNA decay rates are widespread, and are an important target for natural selection to maintain or fine-tune steady-state gene expression levels.

Introduction

Variation in gene expression levels constitutes a significant source of phenotypic diversity among individuals within populations, and contributes to the evolutionary divergence between species (Jones *et al.* 2012; Skelly *et al.* 2009). In humans, regulatory variants affecting gene expression influence susceptibility to autoimmune, infectious, neoplastic, neurodegenerative, and psychiatric diseases (Skelly *et al.* 2009). In Darwin's finches, regulatory variation affecting beak morphology likely played a role in their speciation (Abzhanov *et al.* 2004). Likewise, gene expression variation underlies the skeletal morphology differences in stickleback fish that distinguish saltwater from freshwater species (Jones *et al.* 2012).

Heritable regulatory variation can broadly be classified as either acting in *cis* or *trans* (Skelly *et al.* 2009). While *trans*-regulatory effects on gene expression are undoubtedly important, studies in several eukaryotic organisms, including yeast, fruit flies, mice, rats, and humans, suggest that *cis*-regulatory effects constitute a substantially higher proportion of the genetic variance in gene expression within species than do *trans* effects (Emilsson *et al.* 2008; Hughes *et al.* 2006; Petretto *et al.* 2006; Pickrell *et al.* 2010; Schadt *et al.* 2003; Skelly *et al.* 2011). In the budding yeast *Saccharomyces cerevisiae*, for example, nearly 80% of the genes that have transcribed polymorphisms between two diverse strains exhibit allele-specific expression differences (Skelly *et al.* 2011). In humans, it has been estimated that ~90% of single nucleotide polymorphisms influencing gene expression levels are due to *cis*-regulatory mechanisms (Pickrell *et al.* 2010). Furthermore, *cis*-regulatory differences accumulate at a faster rate than *trans*-regulatory differences between closely related species (Romero *et al.* 2012; Tirosh *et al.* 2009; Wittkopp *et al.* 2008).

The balance between mRNA synthesis and decay determines steady-state levels of transcript abundance, and genetic variation affecting either of these processes can contribute to heritable differences in transcript abundance. However, to date, most research has concentrated on genetic variation affecting steady-state mRNA levels, failing to distinguish regulatory variation affecting transcription from that affecting decay (Skelly *et al.* 2009). Studies that have explored different classes of heritable variation underlying differences in steady-state gene expression focus primarily on transcription initiation, cataloging variation both within and between species in transcription-factor-binding sites, chromatin structure, and DNA methylation sites (Connelly *et al.* 2014; The ENCODE Project Consortium 2012; Gerstein *et al.* 2010; The modENCODE Consortium *et al.* 2010). In contrast, regulatory variants underlying differences in mRNA decay rate have received considerably less attention (Dori-Bachash *et al.* 2011, Pai *et al.* 2012).

To better delimit the contribution of *cis*-regulatory variation to heritable differences in mRNA decay rates, we developed a novel statistical framework and measured allele-specific differences in decay in a diploid hybrid created from two genetically diverse strains of the budding yeast, *S. cerevisiae*. We demonstrate that allelic differences in mRNA decay rates are widespread, affecting the expression levels of nearly 31% of measurable genes. Interestingly, we observe that a significant proportion of changes in decay rate are coupled to opposing changes in transcriptional initiation, suggesting pervasive compensatory evolution to stabilize or fine-tune steady-state gene expression levels. Our results also provide insights into the mechanisms that *cis*-regulatory variation acts through to influence mRNA decay rates, highlighting an important role for variants in the untranslated regions (UTRs) as well as variants that affect mRNA secondary structure.

Results

Overview of experimental design

We measured rates of allele-specific mRNA decay (ASD) in a diploid yeast produced by mating two genetically diverse haploid *Saccharomyces cerevisiae* strains: the laboratory strain BY4716 (BY), which is isogenic to the reference sequence strain S288C, and the wild Californian vineyard strain RM11-1a (RM) (Liti *et al.* 2009). A schematic of the experimental design is shown in Figure 1. Briefly, we introduced *rpb1-1*, a temperature sensitive mutation in an RNA polymerase II subunit encoded by the gene *RPO21*, to each of the haploid yeast strains, mated the strains, and grew the resulting hybrid diploid to mid-log phase at 24 °C, before rapidly shifting the culture to 37 °C to inhibit transcription (Figure 1A) (Nonet *et al.* 1987). RNA-seq was performed on culture samples taken at 0, 6, 12, 18, 24, and 42 minutes subsequent to the temperature shift (Figure 1A). To identify ASD, we used transcribed polymorphisms to distinguish between parental transcripts, and compared the relative levels of transcript abundance over the time course (Figure 1B). Note, this experimental design internally controls for *trans*-acting regulatory variation as well as environmental factors. Under the null hypothesis of no ASD, the proportion of reads from the BY transcript ($p_{BY} = \frac{N_{BY}}{N_{BY} + N_{RM}}$) observed over the time course remains unchanged (Figure 1B). However, genes with ASD will exhibit an increasing or decreasing proportion of BY reads as a function of time (Figure 1B). In total, we measured ASD from three independent biological replicates.

Statistical modeling of allele-specific mRNA decay

We developed a novel statistical framework to identify ASD. In brief, we use a linear logistic model to measure the change in the proportion, p_{BY} , of reads derived from the BY transcript as a function of time. To assess statistical significance, we use a Bayesian hierarchical Markov chain Monte Carlo model (see Methods). In this model, the prior probability of the alternative hypothesis (i.e. that a gene exhibits ASD) is determined from the totality of data. We also estimate the mean and variance of the decay rate differences under the alternative hypothesis from the data (see Methods). The primary motivation for developing this more sophisticated framework is that it accounts for genes that exhibit small departures from non-constancy due to high read counts in a more principled manner than alternative approaches (Dori-Bachash *et al.* 2011). We evaluated the power and operating characteristics of our statistical framework through extensive simulations, and find that it generally has higher power and more accurately estimates π_0 (the proportion of genes consistent with the null hypothesis of no allelic differences in mRNA decay) compared to alternative approaches under a wide variety of parameters (see Supplementary Methods and Results, Figure S1, and Table S1).

Pervasive influence of *cis*-regulatory variation on mRNA decay rates

Through a careful filtering pipeline, which included whole-genome sequencing of RM to mitigate read mapping bias (Degner *et al.* 2009; see Methods), we identified 27,569 transcribed single nucleotide variants (SNVs) in 4,381 genes that could be used to assign whether individual RNA-seq reads derived from the BY or the RM allele of each gene. Of the ~222 million RNA-seq reads we obtained across all replicates and all time points in our study, 13.57 million reads, averaging 2.26 ± 0.65 million reads per time point, were informative, such that they mapped to a variant site and could unambiguously be assigned as originating from BY or RM.

We applied the statistical inference framework described above to 3,544 genes that passed our filtering criteria (see Methods). From the Bayesian hierarchical MCMC model, we estimated $1 - \pi_0$, the proportion of genes that exhibit ASD to be 0.31. Thus, approximately 31% of all measured genes are inferred to be inconsistent with the null hypothesis and exhibit allelic differences in decay rates. Of these, we can identify 350 genes at a false discovery rate of 10% (Figure 2A). Note, this corresponds to a false non-discovery rate of 24%. The set of genes called significant agrees well with a simpler approach of correcting for multiple testing by the QVALUE software (Storey 2002; Storey and Tibshirani 2003; Storey *et al.* 2004) and imposing a threshold on the magnitude of effect sizes needed to be called as significant (see Supplementary Methods and Results and Figure S2). We note that, in order to inhibit transcription, we subjected the yeast to mild heat shock. The decay rates observed in our dataset are specific to the environmental condition that they were measured in and, thus, may be different in other states, such as log phase growth (Sun *et al.* 2012). Additionally, in theory, some of the differences in decay rate that we measured could be due to allele-specific transcriptional responses to heat shock, since there can be small amounts of leaky transcription in the first 5 – 15 minutes following the temperature shift (Nonet *et al.* 1987); however, such heat shock-induced differences in allele-specific transcription are unlikely to comprise most of the differences we observe.

The exponential of the slope of the linear logistic model fit to each gene is a direct estimate of the difference in mRNA decay rate between the BY and RM alleles of that gene (see Methods). Among the genes with significant ASD, the effect size of the decay rate difference ranges from a 1.81×10^{-3} to a 5.62×10^{-2} change in the odds of observing an mRNA allele of the BY strain given a one minute increase in time, with a median difference of 1.01×10^{-2} (Figure

2B). This median difference corresponds to an approximately 83% increase over one hour in the odds of observing an mRNA allele of the BY strain. The BY allele decays more quickly than the RM allele in 161 genes, while the RM allele decays more quickly than the BY allele in 189 genes. Genes with allelic differences in mRNA decay rates spanned a broad range of gene ontology terms, and we did not detect significant enrichment for particular functions or biological processes after correcting for multiple comparisons.

Allelic differences in mRNA decay reveal widespread compensatory evolution

To investigate the relationship between ASD and steady-state gene expression levels, we first inferred allele-specific expression (ASE) at the 0 minute time point in our time course, which is a reasonable proxy for steady-state levels of transcript abundance. Using the method developed by Connelly *et al.* (2014), we find that 1,137 genes exhibit ASE (posterior probability > 0.95; Figure 3). 595 of the 1,137 genes (52.3%) that exhibit steady-state ASE have higher levels of the RM transcript and 542 genes (47.7%) have higher levels of the BY transcript. The median log₂-fold change for all genes with allele-specific steady-state expression differences is 0.43.

Of the 350 genes with significant ASD, 182 (52.0%) also exhibit ASE (Figure 3). Strikingly, of the 182 genes with both ASD and ASE, 129 (70.9%) have increased decay rates in the allele with higher levels of steady-state expression, suggesting that there are variants influencing rates of transcriptional initiation with opposite effects to those influencing decay (Figure 3). Similarly, the 168 genes that exhibit ASD but not ASE (Figure 3) are also likely enriched for variants with opposing effects on transcriptional initiation and decay, since the difference in decay rate does not produce a corresponding difference in steady state levels. Thus,

these data suggest that changes in mRNA decay rates in yeast are often coupled to opposite changes in transcription, consistent with pervasive compensatory evolution to stabilize or fine-tune steady-state gene expression levels.

Patterns of genetic diversity across transcripts with allelic differences in mRNA decay

Previous studies in yeast have found that genes with ASE exhibited higher levels of genetic diversity compared to those without such differences (Ronald *et al.* 2005). To explore patterns of diversity in our data set, we first compared overall levels of variation among four classes of genes: those with only ASD, those with only ASE in steady-state levels, those with both ASD and ASE, and those with no allele-specific differences. Limiting our analysis to the 2,954 genes with reliable UTR annotations (Nagalakshmi *et al.* 2008), we found that genes with any type of allele-specific difference have 1.4-fold more variants than genes without ASD or ASE (4.62 and 3.32 variants/kb, respectively; Mann-Whitney *U* test, $p\text{-value} < 2.20 \times 10^{-16}$) (Figure 4). Moreover, genes with ASD have 1.3-fold higher levels of variation compared to genes with only ASE (5.48 variants/kb compared to 4.31 variants/kb, respectively; Mann-Whitney *U* test, $p\text{-value} = 2.26 \times 10^{-10}$; Figure 4). One complication in interpreting these findings is that genes with larger numbers of variants tend to have more informative reads, and, therefore, there is greater power to detect allelic differences in expression and decay. Indeed, variant density is significantly correlated with the number of informative reads ($r^2 = 0.158$, $p\text{-value} < 2.20 \times 10^{-16}$). To more formally explore whether differences in SNV density are simply related to power, we performed logistic regression where the predictor variables were coded as zero if a gene did not show ASD and one if it did. We found that a model that included both the number of variants/kb and the number of informative reads as covariates fit the data significantly better

than a model using just the number of informative reads alone (ANOVA p -value $< 2.20 \times 10^{-16}$), suggesting that the increased levels of variation in genes with ASD and ASE are not simply a consequence of discovery bias.

To identify regions that may be enriched for variants that influence decay rates, we compared levels of genetic variation in the 5' UTR, coding region, and 3' UTR among the four classes of genes described above. Levels of variation are significantly elevated across all genic regions for genes with ASD compared to genes with no allelic differences (Figure 4). Genes with both ASD and ASE have 1.46-fold, 1.68-fold, and 1.74-fold higher levels of variation than genes with no allelic differences in the 5' UTR, coding region, and 3' UTR, respectively (Mann-Whitney U test, p -value = 1.43×10^{-2} , $< 2.20 \times 10^{-16}$, and 1.47×10^{-4}). Genes with ASD only have 1.88-fold, 1.64-fold, and 2.12-fold higher levels of variation than genes with no allelic differences in the 5' UTR, coding region, and 3' UTR, respectively (Mann-Whitney U test, p -value = 1.58×10^{-7} , 1.12×10^{-15} , and 6.77×10^{-4}). Thus, allele-specific differences in mRNA decay rate are likely driven by variants positioned throughout the transcript. Consistent with this hypothesis, genes that only contain SNVs in either their coding region or UTR are less likely to exhibit ASD compared to genes with variants in both their coding region and UTR (Fisher's exact test, p -value = 1.35×10^{-10} and 1.55×10^{-4} , respectively).

Genes with ASD are enriched for variants that influence mRNA structure

To test the hypothesis that variation in mRNA secondary structure contributes to allelic differences in mRNA decay rates, we compared the minimum Gibbs free energy (ΔG) associated with the predicted secondary structures of the BY and RM alleles for each mRNA transcript. Specifically, following standard practices (Tuller *et al.* 2010), we computed the ΔG of

the predicted secondary structures for each of the 27,569 variants that we identified between BY and RM (Figure 5A). We then calculated the absolute value of the difference in free energy between alleles, $|\Delta\Delta G| = |\Delta G_{\text{BY}} - \Delta G_{\text{RM}}|$, and for each gene, we recorded the maximum $|\Delta\Delta G|$ of all its variants. Variants with larger values of $|\Delta\Delta G|$ are predicted to have more severe structural consequences (Figure 5A). Genes that exhibit ASD are enriched for variants with larger predicted effects on mRNA secondary structure as compared to genes without any allelic differences in decay or steady state expression (1.32-fold increase in the maximum $|\Delta\Delta G|$ observed in genes with ASD; Mann-Whitney U test, $p\text{-value} = 5.48 \times 10^{-15}$; Figure 5B). Although *in silico* predictions of differences in mRNA secondary structure are not perfect proxies for structures that occur *in vivo*, our observations are consistent with the hypothesis that allelic variation in mRNA secondary structure contributes to heritable variation in mRNA decay rates. Interestingly, the gene *HSP78*, which encodes a mitochondrial matrix chaperone, exhibits ASD and only contains a single variant (Table S1), which has a large predicted effect on mRNA secondary structure (Figure 5C), suggesting that allelic differences in decay of this gene are likely mediated by structural differences.

No widespread evidence for coupling between decay rates and translational efficiency

Previous reports measuring mRNA decay rate in one or a few genes have suggested that the translation efficiency of an mRNA might be directly coupled to mRNA decay rate (reviewed in Garneau *et al.* 2007 and Schoenberg and Maquat 2012). To evaluate whether such coupling is common, we compared the types of codon changes occurring between BY and RM in genes with and without decay rate differences. If mRNA decay rate is coupled to translation efficiency, we would expect that genes with ASD would have higher proportions of codon changes that impact

translation efficiency, as compared to genes without such differences. To this end, we compared the proportion of preferred to unpreferred synonymous codon changes in genes with and without ASD, and found that genes with ASD have a slightly lower proportion of codon preference changes, although this is not statistically significant (68.1% versus 68.7%; Mann-Whitney U test, $p\text{-value} = 8.60 \times 10^{-2}$). Thus, we do not find widespread evidence that mRNA translation efficiency is directly coupled to mRNA decay rate. A caveat of this analysis is that more sensitive measures of translational efficiency may be needed to detect coupling. Moreover, these findings do not preclude the possibility that coupling exists for a subset of genes, which we may not have power to detect.

Discussion

We developed a novel statistical framework to measure allele-specific differences in mRNA decay rate in a diploid yeast hybrid created by mating two genetically diverse parental strains. A particular strength of our statistical approach is its ability to deal with genes that exhibit small departures from non-constancy due to high read counts in a more principled manner than alternative approaches (Dori-Bachash *et al.* 2011). Using our statistical framework, we demonstrate the pervasive influence of *cis*-regulatory variation on mRNA decay rates, estimating that over 30% of measurable genes exhibit ASD. Our results suggest that variation in mRNA decay rate is widespread across the genome, as well as among individuals within a species. Overall, our study provides further evidence of the importance of post-transcriptional processes to determining heritable differences gene expression levels, which, in turn, impact phenotypic diversity among individuals within populations. Additionally, the novel statistical framework we developed has broad applications for future work in testing hypotheses of differential expression.

A striking feature of the data is that differences in mRNA decay rates are often coupled to opposite changes in transcription. It is difficult to precisely estimate the proportion of genes with significant ASD that is in the opposite direction of steady-state expression levels because of differences in statistical power of detecting ASE and ASD. However, a naïve estimate suggests that up to 85% of genes with significant ASD are coupled to opposing effects on transcription (Figure 3). These findings agree with previous studies, which observed that roughly 80% of differences between yeast species and 50% of differences among humans in mRNA decay rate are coupled to opposing differences in transcription (Dori-Bachash *et al.* 2011 and Pai *et al.* 2012). Interestingly, in the remaining 15% of genes with significant ASD, there is no significant correlation ($r^2 = 0.255$, $p\text{-value} = 6.53 \times 10^{-2}$) between the magnitude of the decay rate difference

and the magnitude of the gene expression difference between the alleles. Collectively, these results suggest that steady-state gene expression levels are subject to strong stabilizing selection, and that heritable differences in mRNA decay rates are an important target for natural selection to maintain or fine-tune steady-state gene expression levels.

To explore which regions of the mRNA transcript are most important in determining mRNA decay rate differences, we compared the levels of genetic variation in the 5' UTR, coding region, and 3' UTR in genes with and without ASD. We hypothesized that the 3' UTR would be the most important region governing ASD, and therefore, that genes exhibiting ASD would be especially enriched for polymorphisms between BY and RM in the 3' UTR compared to genes without ASD or ASE. Instead, we observed that all three regions exhibited significantly more variation in genes with ASD compared to genes without ASD or ASE (Figure 4). One explanation for these results is that the 3' UTR contains the lowest overall amount of variation, suggesting that it is under significant functional constraint. If the 3' UTR contains the highest density of *cis*-elements affecting mRNA decay rate, then changes to this region perhaps have a larger effect on mRNA decay rate, and therefore, are more likely to be removed by purifying selection. Conversely, changes in the 5' UTR or coding region may cause differences in mRNA decay rate of a smaller effect size, and therefore, be subject to less intense purifying selection. Thus, all three gene regions may be important determinants to within-species differences in mRNA decay rate. Our observation that genes with SNVs only in the coding region or, alternatively, only in the UTRs are less likely to exhibit ASD, also suggests that variation in all parts of the transcript can potentially impact decay rate.

Identifying allelic differences in mRNA decay rate is only the first step in the ultimate goal of identifying causal regulatory alleles and the mechanisms that they act through. To this

end, it is interesting to note that 13 genes with ASD possess a single variant between the BY and RM alleles of the transcript (11 in coding regions and 2 in UTRs; see Table S2). These variants are strong candidate causal alleles, and as shown for *HSP78* (Figure 5C) enable mechanistic hypotheses to be formulated and ultimately tested. Furthermore, it will be important to consider additional processes that could influence allele-specific decay. For example, nonsense-mediated decay (NMD) can be triggered by AUG codons in the 5' UTR, and if a SNV introduced or disrupted a 5' UTR AUG, it could influence mRNA decay rates between the two alleles. In the 2,954 genes that have reliable UTR annotations, 34 contain SNVs in the 5' UTR that introduce or disrupt an AUG codon. Of these 34 genes, nine exhibit significant ASD, which is significantly more than we would expect by chance (Fisher's exact test, $p\text{-value} = 5.16 \times 10^{-3}$); however, only three of the nine genes show decay rate differences in the direction expected if allelic differences in mRNA decay rate were mediated by nonsense-mediated decay. Thus, this process likely makes a minor contribution to patterns of ASD in our data. More generally, dissecting the mechanistic basis of allelic variation in mRNA decay rates will facilitate the robust prediction of causal regulatory alleles from sequence data.

Another critical area of research will be exploring the interactions of genetic variation with the environment. Our study was conducted in yeast undergoing exponential growth in a rich medium that underwent mild heat shock at the time of transcriptional shut-off; however, we would expect that patterns of ASD would differ markedly under differing growth conditions, such as nutrient-limited media, or the presence of high concentrations of chemicals like ethanol or the various heavy metals. Additionally, the effects of *cis*-regulatory variation on mRNA decay are also likely to vary between different stages of the yeast life cycle, including during meiosis and during vegetative growth. Another important limitation of our study is that it only examines

allele-specific differences in mRNA decay rate between two diverse yeast strains. Nonetheless, our results highlight the important contribution that heritable variation in mRNA decay rates make to buffer steady-state differences in gene expression, and suggest that additional post-transcriptional processes should be studied in greater detail for a more comprehensive understanding of mechanisms contributing to transcriptional diversity within and between species.

Materials and Methods

Yeast strains

For the purposes of this study, we replaced the wild-type copy of the *RPO21* (also known as *RPB1*) gene in the haploid *S. cerevisiae* strains BY4716 (BY) and RM11-1a (RM) (for detailed descriptions of these two strains, see Brem *et al.* 2002) with the *rpb1-1* mutant allele (Nonet *et al.* 1987). We began by identifying the mutations that make *rpb1-1* differ from wild-type *RPO21* via standard Sanger-sequencing of the *RPO21* locus in the strain Y262 (described in Herrick *et al.* 1990). We identified two mutations: a C to T substitution 206 bp after the translation start site, and a G to A substitution 4310 bp after the translation start site; both mutations are non-synonymous. To substitute *RBP1* with *rpb1-1* in RM, we used a “pop-in, pop-out” strategy (Duff and Huxley 1996; Rothstein 1995). Specifically, in the “pop-in” step, we linearized a plasmid containing the *URA3* selectable marker and the *rpb1-1* mutant allele with a restriction enzyme that cut in the *rpb1-1* sequence segment. We then transformed the linearized plasmid into RM cells and selected for cells in which the plasmid had recombined into the genome using uracil prototrophy. At the completion of the “pop-in” step, RM carried a duplication of the target genomic sequence segment, in which one duplicate contained the *RPO21* wild-type allele, and one duplicate contained the *rpb1-1* mutant allele; the plasmid sequences and *URA3* lay between the two duplicates. In the “pop-out” step, we added uracil back to the medium so that the *URA3* gene was no longer required for viability, allowing spontaneous recombination events to occur between the duplicated target sequences. To select for recombination events, we used 5-fluororotic acid (5-FOA), which is metabolized by *URA3* into a toxic compound. A recombination event will result in either retention of the mutant *rpb1-1* allele, or reversion to the wild-type *RPO21* allele. Using this strategy, we first replaced the C located

206 bp after the translation start site in *RPO21* with a T, and then, subsequently, we replaced the G located 4310 bp after the translation start site in *RPO21* with an A. To confirm successful substitution of the wild-type allele with the mutant allele at both sites, we used standard Sanger-sequencing.

To replace *RBP1* with *rpb1-1* in BY, we employed a backcrossing strategy. We could not use the “pop-in, pop-out” strategy because BY already contained the *URA3* selectable marker. More specifically, we crossed BY to Y262, sporulated the hybrid diploid, and screened the resultant offspring for inability to grow at 37°C (note: *rpb1-1* mutants do not replicate at this temperature). We then performed four more rounds of backcrossing between the hybrid offspring and BY, such that the resulting yeast strain carried the *rpb1-1* allele on an approximately 97% BY genetic background. We confirmed that the final product of our backcross carried the two single nucleotide variants that make *rpb1-1* mutants different from the wild-type *RPO21* by standard Sanger sequencing. We mated the BY and RM *rpb1-1* temperature-sensitive mutant strains and selected for the diploid hybrid by visually screening for BY and RM cells that had mated. We confirmed that our candidate diploid hybrids identified in our screen were, in fact, diploid using a standard Halo Mating Type Assay.

Measuring mRNA decay rates

mRNA decay rate time course sample collection

The BY×RM hybrid diploid we generated was grown at 24°C to mid-log phase (OD_{600} 0.8–1.0) in 60 mL of yeast extract peptone dextrose (YEPD). We abruptly shifted the culture to 37°C via addition of 60 mL of 50°C YEPD. Immediately following, and at 6, 12, 18, 24, and 42

min after addition of the 50°C medium, we collected 20 mL aliquots of the culture using vacuum filtration. To maintain the increased temperature of the culture, we housed it in a 37°C shaking incubator between collection time points. The collected yeast cells from each time point were flash frozen in liquid nitrogen and then stored at -80°C for no more than 2 days before we extracted total RNA from the cells using a standard phenol-chloroform preparation. In total, we collected three replicates of our time course.

RNA Sequencing

We used a TruSeq RNA Sample Prep v2 Kit (Illumina) to create a sequencing library from the total RNA collected for each decay rate time course time point from each replicate. Per the protocol for the kit, we isolated mRNA from the total RNA using two rounds of poly(A) selection, then fragmented the isolated mRNA into approximately 150 base pair fragments, and finally, used random hexamer primers to produce cDNA. Poly(A) selection, by definition, retains only mRNA with intact poly(A) tails in the resultant RNA pool. The most commonly-used pathway of mRNA decay, referred to as deadenylation-dependent decapping, begins with shortening of the poly(A) tail by deadenylases, followed by removal of the 5' cap structure by decapping enzymes, and, finally, 5' to 3' exonucleolytic degradation of the decapped intermediate (reviewed in Wilusz *et al.* 2001 and Garneau *et al.* 2007). Thus, our experimental design is unable to detect allelic differences that affect decapping rate or rate of exonucleolytic degradation. We chose to use poly(A) selection to isolate mRNA despite its inability to detect differences in the later stages of mRNA decay because previous studies of deadenylation-dependent decapping have demonstrated that mRNA decay proceeds very rapidly following deadenylation, and that deadenylation, as opposed to decapping or exonucleolytic degradation, is the rate-limiting step in the mRNA decay process (reviewed in Wilusz *et al.* 2001 and Garneau *et*

al. 2007). We created barcoded sequencing libraries from the cDNA from each sample and, in an effort to minimize technical variation between the data acquired from different decay rate time points, all samples from all replicates were sequenced in the same lane on an Illumina HiSeq 2000 (50 bp paired-end reads).

Whole-genome sequencing of RM

For whole genome sequencing of the *S. cerevisiae* strain RM, we inoculated the strain from -80°C freezer stock into 5 mL YEPD and grew the culture at 30°C to saturation. We pelleted the cells from the culture by centrifugation, decanted the supernatant, and froze the cells at -80°C . We extracted DNA using a Genomic-tip 100/G Kit (Qiagen), and then concentrated the sample using a standard ethanol precipitation. We prepared a DNA sequencing library using a TruSeq DNA Sample Prep v2 Kit (Illumina). Per the protocol for the kit, we used a Covaris sonicator to shear the DNA into $\sim 300\text{-}400$ bp fragments, and, after ligating adaptors onto the DNA fragments, we additionally size-selected for 300-400 bp fragments by running the ligation products out on an agarose gel and gel-extracting the appropriate band. We performed whole-genome sequencing using an Illumina MiSeq (151 bp paired-end reads).

Read mapping

We obtained complete genome sequences for BY from the Saccharomyces Genome Database (version R64-1-1, released February 3, 2011; <http://www.yeastgenome.org>; Engel *et al.* 2013) and for RM from the Broad Institute (<http://www.broadinstitute.org>). We used BWA version 0.5.9 (Li and Durbin 2009) to map both the DNA and RNA sequence reads to the BY genome and, separately, the RM genome. After mapping reads, we sorted BAM files and marked duplicate reads using Picard version 1.43 (<http://picard.sourceforge.net>).

Identification of variant sites for assigning the allele of individual RNA-seq reads

To obtain a set of variants for allele-specific read calling in the BY×RM diploid, we used LASTZ (http://www.bx.psu.edu/miller_lab) to infer alignment scoring parameters appropriate for aligning the BY and RM genomes and to generate pairwise alignments between all chromosomes of the two strains. We then used Threaded Blockset Aligner (TBA) (Blanchette *et al.* 2004) to compute a whole-genome alignment that is not biased in favor of a particular reference genome. We cataloged all SNVs, as well as all indels, identified in the alignment. As we were only interested in transcribed differences between the BY and RM genomes, we removed from our variant list all sites not within annotated BY open reading frames (obtained from the *Saccharomyces* Genome Database; <http://www.yeastgenome.org>) and their corresponding untranslated regions (UTRs) (UTR lengths were determined from Nagalakshmi *et al.* 2008). Manual review of the remaining variant sites using the program Integrated Genome Viewer (<http://www.broadinstitute.org/igv/>) revealed that many of the indels identified from the BY and RM alignment produced by TBA, as well as the SNVs closely flanking these indels, were miscalled. Therefore, we removed all indels and all SNVs within 10 basepairs of an indel from our variant list. Likewise, because we suspected that most or all of the SNVs we identified in genes with unusually high numbers of variants per kb were artifacts of alignment errors, we discarded all SNVs located in genes that exhibited greater than five-fold the average variant density of all genes across the genome. Due to the difficulty in distinguishing which gene an RNA-sequencing read that aligns to a location in which two yeast genes overlap derives from, we also threw out any variants that overlapped more than one annotated yeast gene. Finally, we removed any variants to which reads obtained from whole genome sequencing of RM were assigned more often to the BY allele of the variant than to the RM allele by the method

developed by Skelly *et al.* (2011) (briefly described below) to assign whether individual RNA-seq reads derived from the BY or the RM allele of each gene.

Assignment of the allele of individual RNA sequencing reads

We performed assignment of individual RNA-seq reads as originating from either the BY or the RM allele of each gene as described in Skelly *et al.* 2011, with the following two changes. First, any read with an alignment to one genome that scores higher had to overlap one of the SNVs between BY and RM that were identified as described above. Second, we did not perform a correction for GC content.

Measuring allele-specific differences in mRNA decay rate

To determine whether a gene exhibited allele-specific mRNA decay rate differences, we developed a novel linear logistic model that we applied in conjunction with a quasi-likelihood ratio test to measure the change across our time course in the calculated proportion of reads deriving from the BY allele as compared to the total number of informative reads at each gene. Specifically, in our model, we let $N_j(t)$ be the number of mRNA transcripts for strain j , $j = 1, 2$ (representing BY and RM) at time t . We then assumed that the rate of decay is $dN_j/dt = -\lambda_j t$, with $\lambda_j > 0$, so that $N_j(t) = N_{0j} \exp(-\lambda_j t)$, where N_{0j} is the count at time 0 for strain j . For each time point, t , the number of RNA-seq reads that we can assign to a strain, $n_j(t)$, is a fraction, f_t , of the total number of mRNA transcripts for that strain, such that $n_j(t) = f_t N_j(t)$.

We then assumed the model

$$n_j(t) \sim \text{Poisson}[f_t N_{0j} \exp(-\lambda_j t)].$$

Under this model, the distribution of the counts for strain 1 (BY) given the total is binomial (we could make a binomial approximation since $n(t) \ll N(t)$) with denominator $n_1(t) + n_2(t)$ and probability (of strain 1):

$$p(t) = \frac{f_t N_{01} \exp(-\lambda_1 t)}{f_t N_{01} \exp(-\lambda_1 t) + f_t N_{02} \exp(-\lambda_2 t)} = \frac{\frac{N_{01}}{N_{02}} \exp(-[\lambda_1 - \lambda_2]t)}{\frac{N_{01}}{N_{02}} \exp(-[\lambda_1 - \lambda_2]t) + 1}.$$

Taking the logit gives:

$$\log\left(\frac{p(t)}{1-p(t)}\right) = \log\left(\frac{N_{01}}{N_{02}}\right) - [\lambda_1 - \lambda_2]t = \alpha + \beta t.$$

Although different fractions of the total mRNA transcript pool are sampled at each time point, these fractions cancel in the above calculation, so that we compute the relative proportion of strain 1 (BY) alleles in the pool from which we have sampled at each time point. The $\exp(\alpha)$ is the odds that we observe an mRNA allele of strain 1 at time $t = 0$. In our linear logistic model, we estimate α ; however, from the above derivation we know that this odds is N_{01}/N_{02} , but this proportion is unobserved. The parameter $\exp(\beta)$ is the change in the odds of observing an mRNA allele of the strain 1 type given a one minute increase in time. Thus, $\exp(60 \times \beta)$ is the change in the odds of observing an mRNA allele of the strain 1 given a one hour increase in time. For example, if $\exp(60 \times \beta) = 2$, then the odds of observing an mRNA allele of strain 1, when compared to the odds of observing an mRNA allele of strain 2 (RM), doubles over one hour. If decay rates are the same in both strains, then $\lambda_1 = \lambda_2$, which is equivalent to $\beta = 0$ in the logistic model. The parameter estimate $\hat{\beta}_i$, along with the associated standard error, are subsequently used within a hierarchical model, as detailed shortly.

The null can be rejected with small departures from non-constancy due to high counts, if a frequentist test (such as a quasi-likelihood test) is used. This is a recognized problem with frequentist testing in which power is not accounted for in the setting of significance thresholds. Hence, to determine if β was significantly different from 0, and therefore, whether a gene exhibited allele specific differences in mRNA decay rate, we used a Bayesian hierarchical model. In our model, we let Y_i be the estimate of the slope $\widehat{\beta}_i$ for the i -th gene, and σ_i^2 be the variance of this estimate. We then assumed $Y_i | \mu_i \sim_{ind} N(\mu_i, \sigma_i^2)$, $i = 1, \dots, m$, where m is the number of genes. We specified a mixture model for the collection $[\mu_1, \dots, \mu_m]$, with

$$\mu_i = \begin{cases} \mathbf{0} & \text{with probability } \pi_0 \\ \sim N(\delta, \tau^2) & \text{with probability } \pi_1 = 1 - \pi_0 \end{cases}$$

The second mixture component contains the non-null genes. We integrated out over μ_i to obtain a three stage model and we use mixture component indicators $H_i = 0/1$ to denote the zero/normal membership model for transcript i . The model is:

Stage One:

$$Y_i | H_i, \delta, \tau, \pi_0 \sim_{ind} \begin{cases} N(\mathbf{0}, \sigma_i^2) & \text{if } H_i = 0 \\ N(\delta, \sigma_i^2 + \tau^2) & \text{if } H_i = 1 \end{cases}$$

Stage Two:

$$H_i | \pi_1 \sim_{iid} \text{Bernoulli}(\pi_1), i = 1, \dots, m.$$

Stage Three:

Independent priors on the common parameters:

$$p(\delta, \tau, \pi_0) = p(\delta)p(\tau)p(\pi_0).$$

with

$$p(\delta) \propto 1,$$

$$p(\tau) \propto 1/\tau,$$

$$p(\pi_0) = 1,$$

so that we had improper priors for δ and τ^2 . This model is appealing since we deal with overdispersion in the data using a reliable and distribution free frequentist method, and then take the information on the parameter of interest only (the differences), namely the estimate and its associated standard error, to model within the hierarchy. By only concentrating on the key parameters, we avoid having to make model assumptions concerning parameters of no interest.

We implemented this model via a Markov chain Monte Carlo algorithm in which we introduced indicator variable ω_i to denote the mixture component of gene i . For our analysis, we only evaluated the 3,544 genes that had at least 10 informative reads at each of the six time points, as well as less than a 50-fold difference in expression of the two alleles at the 0 minute time point. General background of this testing framework can be found in Wakefield (2013).

To formally determine whether gene i exhibited allele-specific mRNA decay rate differences, we placed a threshold of 0.67 on the posterior probability $r_i = \Pr(H_i = 1 | \text{data})$ of being non-null. At this threshold, the false discovery rate (FDR) is 0.099 and the false non-discovery rate (FNDR) is 0.244. The FDR and FNDR are model-based estimates and are calculated as follows. For the list of R (say) genes i that pass the threshold, we calculate the sum of $1 - r_i$ (i.e. the posterior probability of no difference in mRNA decay rate) and divide by the total number of “noteworthy” genes, R , to give the FDR. For all the remaining $(3544 - R)$ non-

noteworthy genes, we sum the r_i (i.e. the posterior probability of a difference in mRNA decay rate) and then divide by $(3544 - R)$ to give the FNDR.

Gene Ontology analysis

To assess whether there was any significant enrichment for genes involved in a particular molecular function, cellular component, or biological process in the set of genes we identified with allelic differences in mRNA decay, or in the two smaller subsets of genes in which one allele or the other decayed more quickly, we submitted each set of genes to AmiGO's GO Term Enrichment Tool (http://amigo1.geneontology.org/cgi-bin/amigo/term_enrichment). We co-submitted all 3544 genes we analyzed for allele-specific mRNA decay rate differences as the input background set, and selected SGD as the database filter. We chose 0.01 as our maximum p -value threshold and 2 as the minimum number of gene products.

Measuring allele-specific differences in mRNA steady-state levels

Using the numbers of mRNA transcripts from BY and RM for each gene at time point $t = 0$ min as a proxy for steady-state expression levels, we determined whether a gene exhibited allele-specific steady-state expression differences by performing the *cis* test exactly as described in Connelly *et al.* 2014, with the following modification: the test was performed with three, rather than two, replicates. Our primary motivation for choosing this method, as opposed to alternative approaches (Skelly *et al.* 2011), is that its statistical framework is most closely related to the framework we implemented for identifying allele-specific mRNA decay rate differences.

Classification of genes by type of allele-specific differences

For comparison between genes with allele-specific mRNA decay rate differences, allele-specific steady-state expression differences, and no allele-specific differences, we subset the genes into four classes: those with allele-specific differences in mRNA decay rate only, those with allele-specific differences in steady-state levels only, those with allele-specific differences in both mRNA decay rate and steady-state levels, and those with no allele-specific differences. Specifically, we categorized genes with a posterior probability greater than 0.67 in our Bayesian hierarchical Markov chain Monte Carlo model, but with a posterior probability less than 0.95 in our test for allele-specific steady-state expression differences as only having allele-specific differences in mRNA decay rate. We considered genes with a posterior probability greater than 0.67 in our Bayesian hierarchical Markov chain Monte Carlo model *and* a posterior probability greater than 0.95 in our test for allele-specific steady-state expression differences as exhibiting both allele-specific differences in mRNA decay rate and allele-specific differences in steady-state expression levels. Genes with a posterior probability greater than 0.95 in our test for allele-specific steady-state expression differences, but which did not have a posterior probability greater than 0.67 in our Bayesian hierarchical Markov chain Monte Carlo model, were classified as only having allele-specific differences in steady-state expression levels. For our final category of genes with no allelic differences, we grouped together genes that a posterior probability less than 0.30 in our Bayesian hierarchical Markov chain Monte Carlo model and a posterior probability less than 0.95 in our test for allele-specific steady-state expression differences. We choose 0.30 rather than 0.67 as the cut-off for the posterior probability in our Bayesian hierarchical Markov chain Monte Carlo model for this group of genes in an effort to minimize the number of false negatives (for allele-specific differences in mRNA decay rate) in this group.

Secondary structure analysis

To evaluate the differences in mRNA secondary structure between the BY and RM alleles of each gene, we began by determining the mRNA sequence for both the BY and RM alleles of each gene using the set of 27,569 variants we identified between BY and RM, the BY genome sequence (from the *Saccharomyces* Genome Database, version R64-1-1, released February 3, 2011; <http://www.yeastgenome.org>; Engel *et al.* 2013), annotations of the BY open reading frames (from the *Saccharomyces* Genome Database; <http://www.yeastgenome.org>), and the predicted untranslated region lengths (UTRs) for the BY open reading frames (Nagalakshmi *et al.* 2008). We then used the UNAFold software package's hybrid-ss-min tool to compute the predicted minimum Gibbs free energy (ΔG) associated with the mRNA secondary structures of each allele of each transcript at 30°C (we choose to use 30°C because this is the standard temperature at which yeast are grown in the laboratory) (Markham and Zuker 2008). More specifically, following standard practices (Tuller *et al.* 2010), we calculated the ΔG of a 41 bp mRNA region surrounding each of the 27,569 SNVs in our data set (Markham and Zuker 2008). The variant of interest was placed at the center of each 41 bp window; however, if the variant was less than 20 bp from the end of the mRNA transcript, the 41 bp window was shifted such that the beginning or the end coincided with the beginning or the end of the mRNA transcript, as appropriate. The difference in ΔG ($\Delta \Delta G$) between the BY and RM alleles for each 41 bp window was measured by simply subtracting the ΔG calculated for the RM allele from the ΔG calculated for the BY allele. We then calculated the absolute value of the difference in free energy between alleles, $|\Delta \Delta G| = |\Delta G_{\text{BY}} - \Delta G_{\text{RM}}|$, for each variant. For each gene, we recorded the maximum $|\Delta \Delta G|$ we observed among all its variants.

Data Access

Sequencing data from this study have been submitted to the NCBI Gene Expression Omnibus (GEO, <http://www.ncbi.nlm.nih.gov/geo/>) under accession number GSE60617.

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Figure Legends

Figure 1 Overview of experimental design. A) We replaced the wild-type allele of the *RPO21* (also known as *RPB1*) gene with the temperature sensitive *rpb1-1* allele in both the BY4716 [BY] and the RM11-1a [RM] strains of *S. cerevisiae* (Nonet *et. al* 1987). We mated these two haploid strains to produce a diploid hybrid, and grew the diploid to mid-log phase at the permissive temperature of 24°C. We rapidly shifted the temperature of the culture to 37°C, halting transcription. Immediately following the temperature shift, and at 6, 12, 18, 24, and 42 minutes after the shift, we isolated mRNA and performed RNA-seq. B) By quantifying the relative levels of the BY and RM alleles for each gene, we estimated p_{BY} , the proportion of transcripts derived from BY, at each time point. Under the null hypothesis (H_0 ; dashed line) of no allele-specific differences in mRNA decay rate, p_{BY} remains constant. Under the alternative hypothesis (H_A ; solid line) of allelic differences in mRNA decay, we expect p_{BY} to change as a function of time. For the gene represented by the solid line in the example pictured, p_{BY} decreases significantly over time, indicating that the BY allele is decaying more quickly than the RM allele of this gene.

Figure 2 Characteristics of genes that exhibit allele-specific mRNA decay. A) Posterior probability that a gene exhibits allele-specific mRNA decay rate, as calculated from our Bayesian hierarchical Markov chain Monte Carlo model. The dashed line at posterior probability = 0.67 corresponds to the threshold we used to call genes as exhibiting significant allele-specific mRNA decay rate. B) Histogram of the slope calculated from the linear logistic model for the 350 genes with significant (FDR = 10%) allele-specific mRNA decay rate. The exponential of the slope, which estimates $\lambda_{BY} - \lambda_{RM}$, is the change in the odds of observing a BY mRNA allele

given a 1 minute increase in time. C) Decay rate time courses of all genes in which the RM allele decays significantly faster than the BY allele (left) and the BY allele decays significantly faster than the RM allele (right). The gray lines represent the decay rate time courses of each of the individual genes. The black lines represent the mean decay rate time courses for all of the genes included in each plot. D) Correspondence of $\lambda_{BY} - \lambda_{RM}$ to half-life differences between the BY and RM alleles of a gene. The dashed black lines represent the positive and negative of the median effect size, where effect size is defined as $|\lambda_{BY} - \lambda_{RM}|$, observed among the genes we identified with significant allele-specific differences in decay rate.

Figure 3. mRNA decay rates in yeast are often coupled to opposite changes in transcription initiation. Left, Venn Diagram showing the overlap of genes with significant allelic differences in steady-state gene expression (ASE) and decay (ASD). Right, scatter plot showing estimates of differences in decay rates between BY and RM (x-axis) versus the proportion of transcripts from the BY (p_{BY}) inferred from the zero minute time point for genes with both ASE and ASD. The shaded grey rectangles represent quadrants where magnitudes of ASD and ASE are discordant, suggesting compensatory evolution.

Figure 4. Levels of genetic diversity in genes with and without allele-specific differences in mRNA decay rate and steady-state expression levels. Barplots show the mean number of single nucleotide variants (SNVs) between BY and RM per kilobase across the whole gene (left) or across each gene region separately (right). Error bars correspond to the 95% confidence interval of the mean. ASE and ASD denote allele-specific expression and allele-specific decay, respectively.

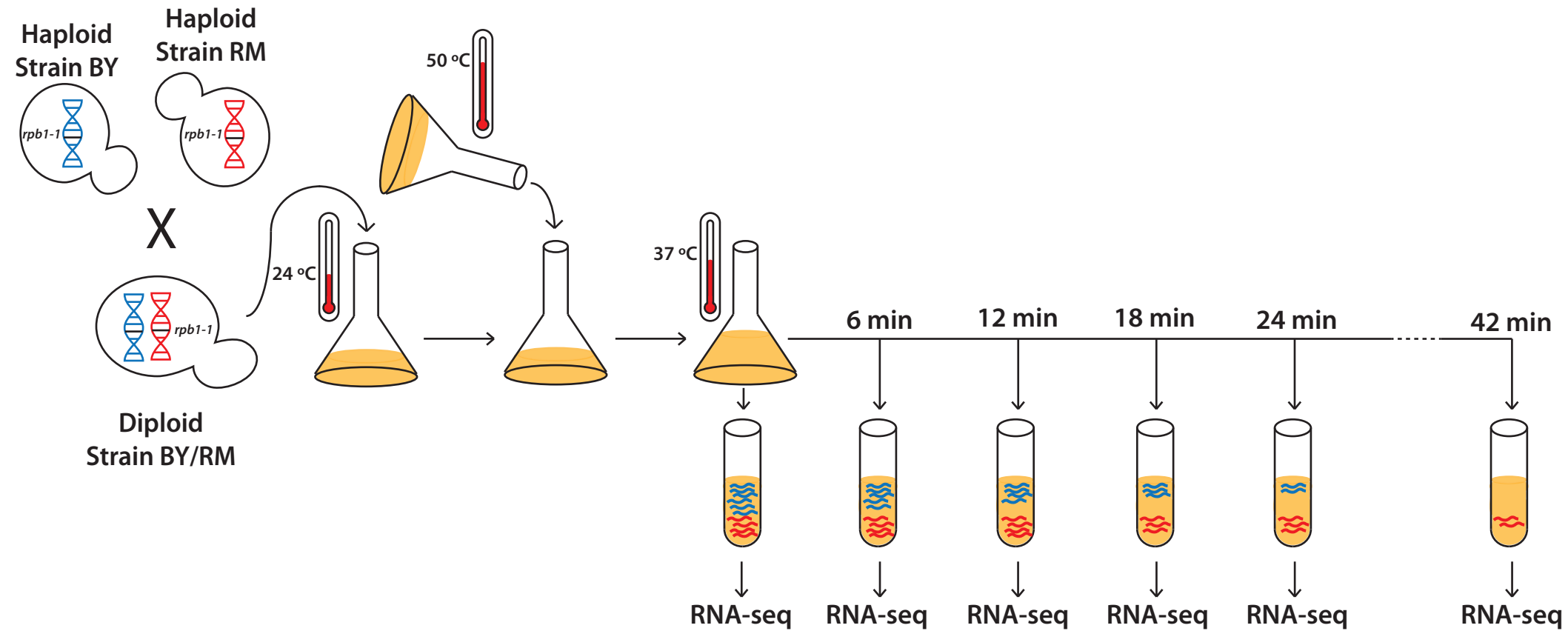
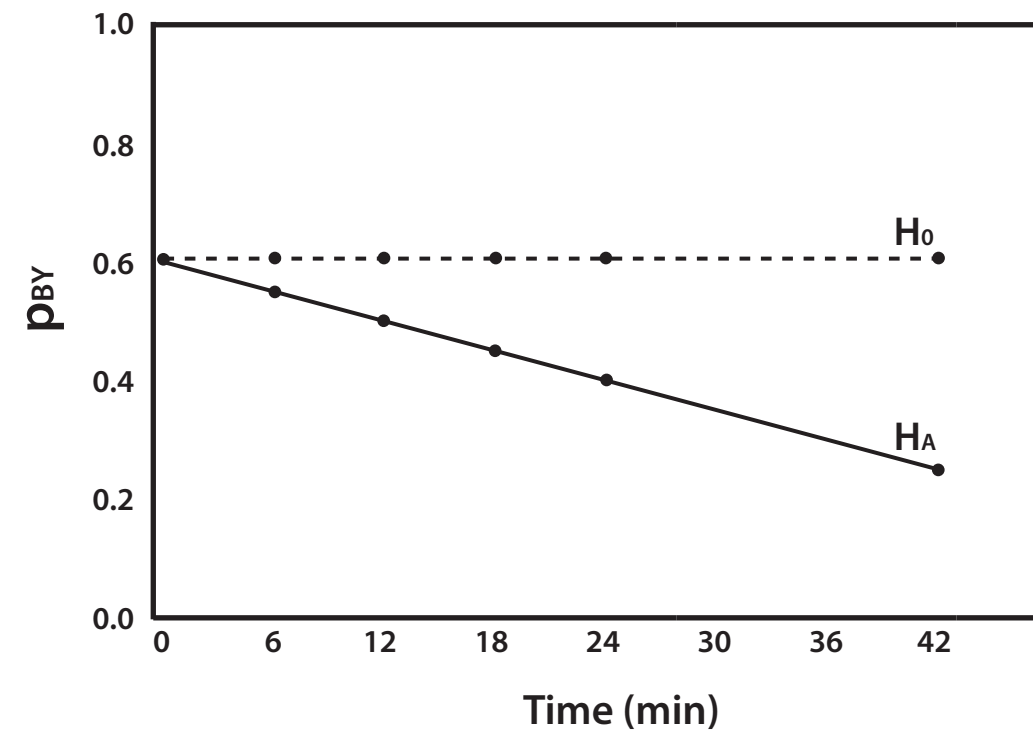
Figure 5. Genes with significant allele-specific decay are enriched for predicted differences in mRNA structure. A) Calculation of $\Delta\Delta G$ for SNVs across a transcript. We determined the mRNA sequence for both the BY and RM alleles of each gene. For each SNV (denoted by a *), we calculated the predicted minimum Gibb's free energy (ΔG) associated with the mRNA secondary structures of each allele using 41 bp regions of the mRNA transcript centered on each of the SNVs. The difference in ΔG ($\Delta\Delta G$) between the BY and RM alleles for each 41 bp window was measured by subtracting the ΔG calculated for the RM allele from the ΔG calculated for the BY allele. We then calculated the absolute value of the difference in free energy between alleles, $|\Delta\Delta G| = |\Delta G_{\text{BY}} - \Delta G_{\text{RM}}|$, for each variant. For each gene, we recorded the maximum $|\Delta\Delta G|$ we observed among all its variants. B) The maximum $|\Delta\Delta G|$ of genes with and without allele-specific decay rate differences are significantly different (Mann-Whitney U test, $p\text{-value} = 4.47 \times 10^{-7}$), suggesting that genes with allele-specific decay rates are significantly enriched for variants with larger predicted effects on mRNA secondary structure compared to genes without allelic differences in decay. C) Predicted mRNA secondary structures surrounding an SNV in the gene *HSP78*, which encodes a mitochondrial matrix chaperone. The location of the SNV in each transcript allele is denoted by a *; the BY and RM alleles at the variant site are colored blue and red, respectively. Note, *HSP78* only contains one SNV.

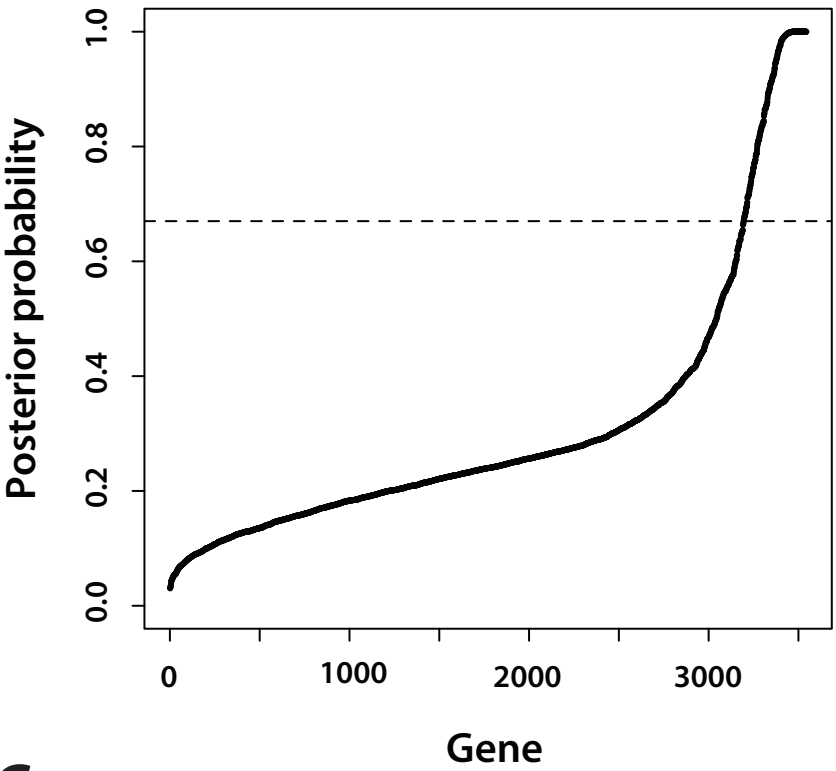
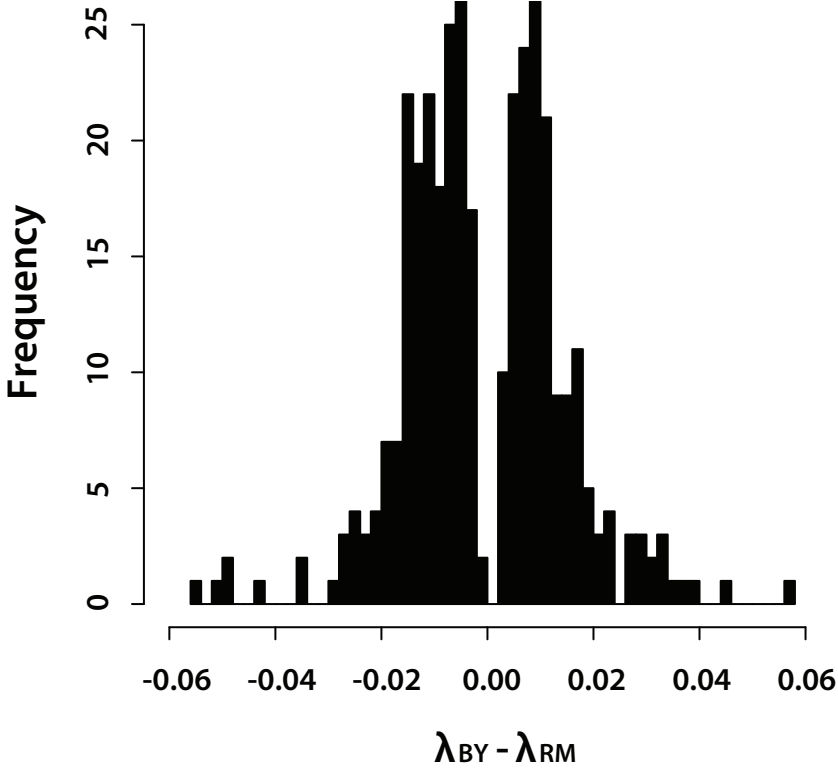
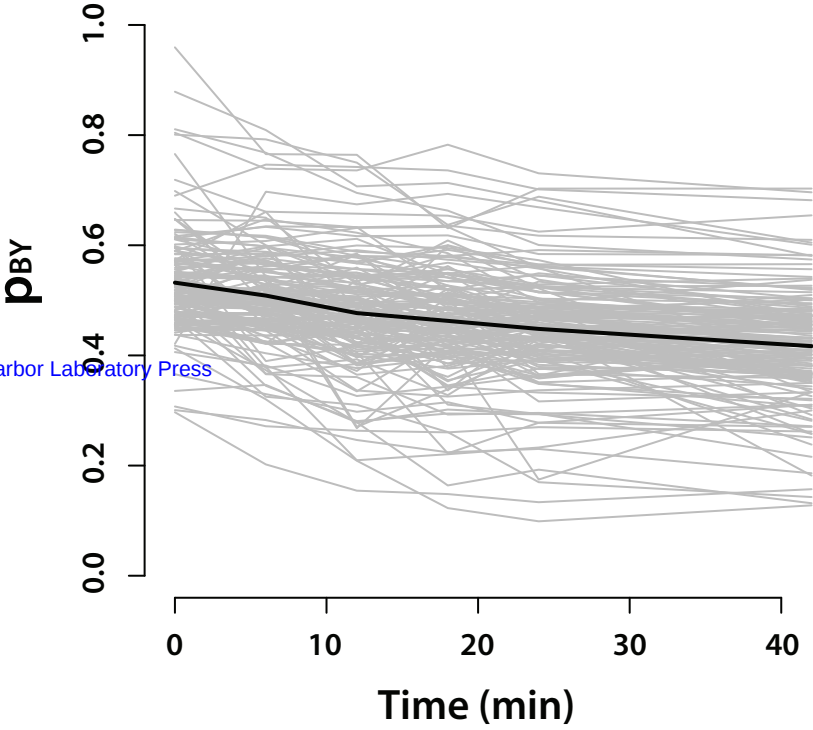
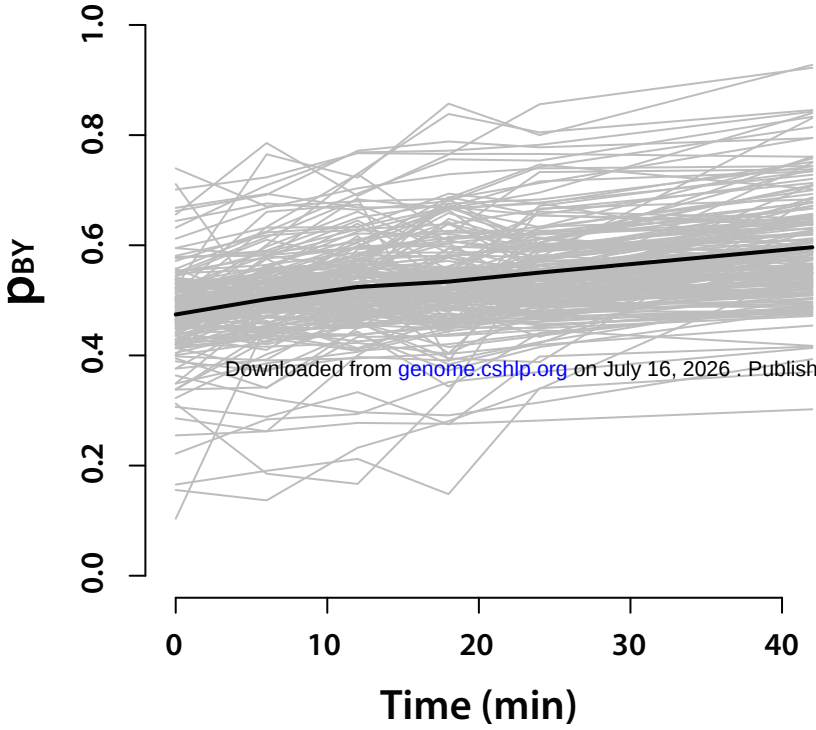
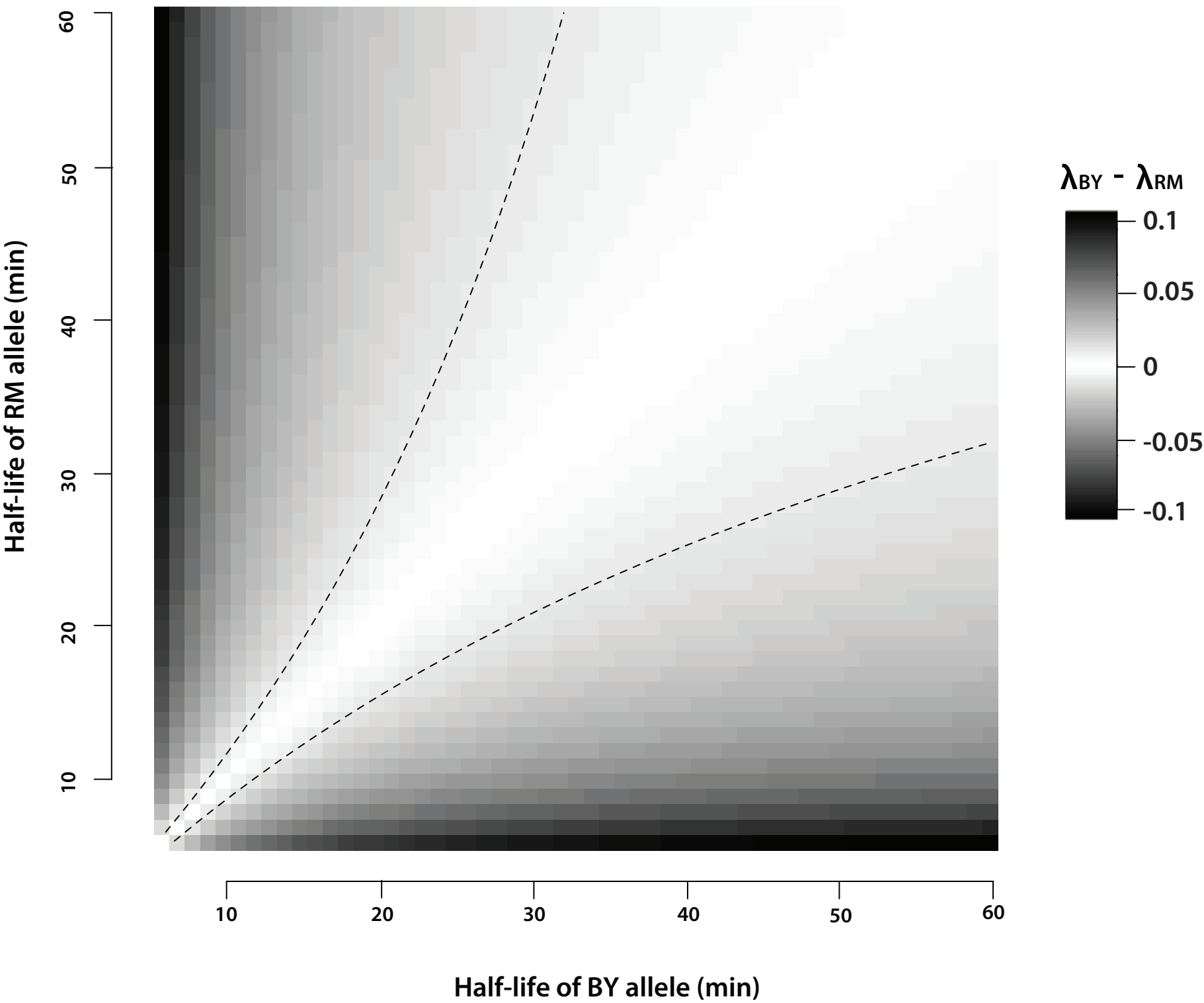
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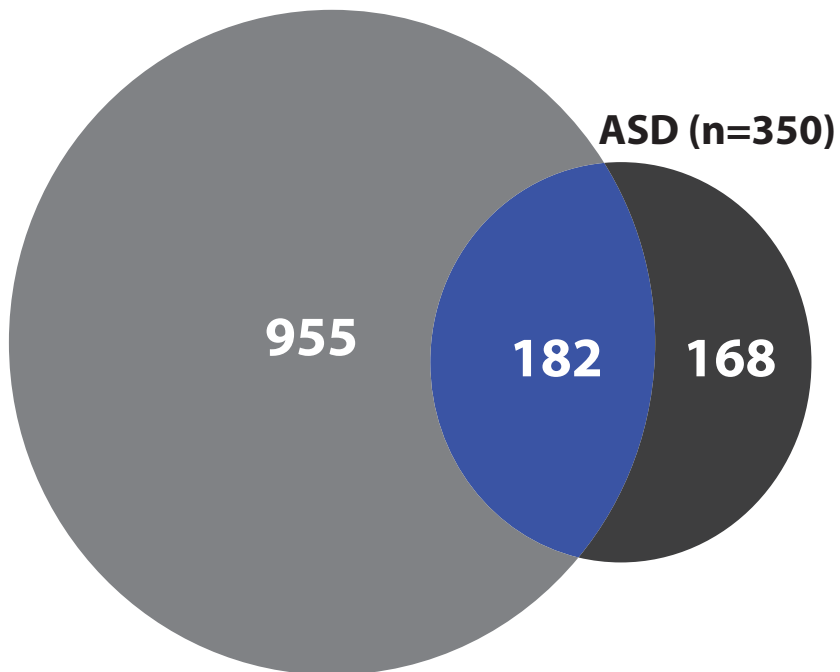
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A**B**

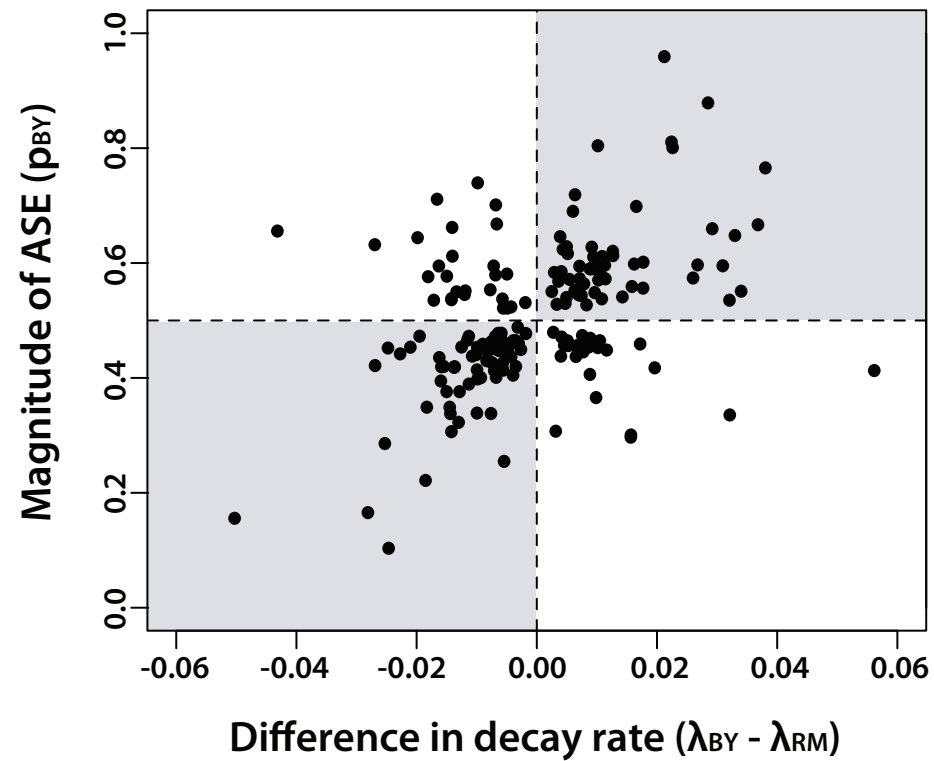
A**B****C****D**

ASE (n=1137)

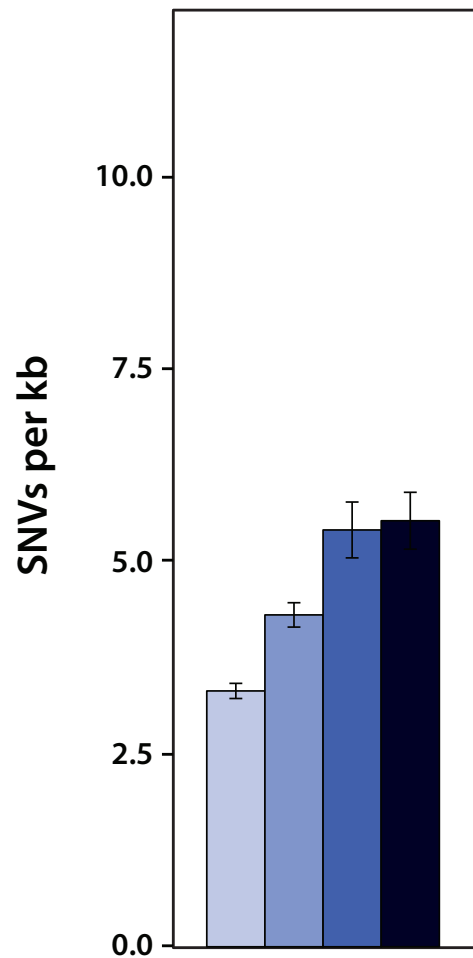


ASD (n=350)

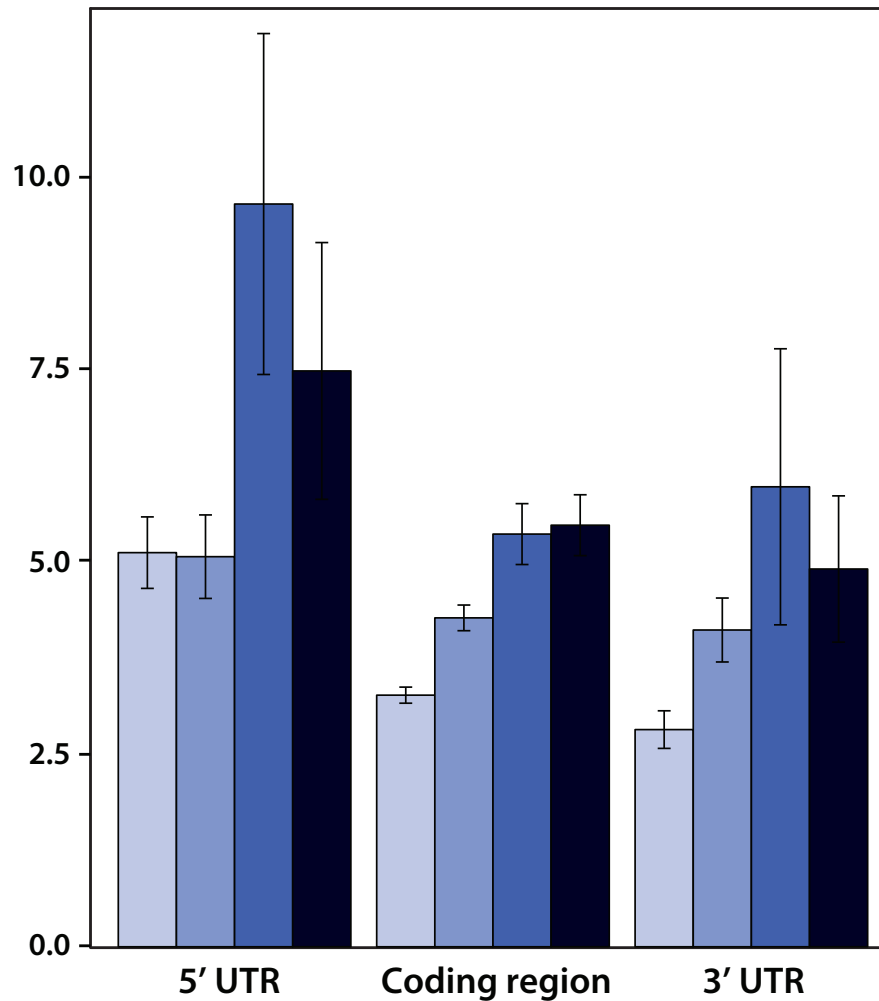
ASD, ASE (n=182)



Whole gene



Gene region



Gene Category

