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*Genome Res.* 2012 22: 2427-2435 originally published online July 26, 2012

Access the most recent version at doi:[10.1101/gr.131953.111](https://doi.org/10.1101/gr.131953.111)

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## Research

# Positionally biased gene loss after whole genome duplication: Evidence from human, yeast, and plant

Takashi Makino<sup>1,2</sup> and Aoife McLysaght<sup>1,3</sup><sup>1</sup>Smurfit Institute of Genetics, University of Dublin, Trinity College, Dublin 2, Ireland; <sup>2</sup>Department of Ecology and Evolutionary Biology, Graduate School of Life Sciences, Tohoku University, Sendai 980-8578, Japan

Whole genome duplication (WGD) has made a significant contribution to many eukaryotic genomes including yeast, plants, and vertebrates. Following WGD, some ohnologs (WGD paralogs) remain in the genome arranged in blocks of conserved gene order and content (paralogons). However, the most common outcome is loss of one of the ohnolog pair. It is unclear what factors, if any, govern gene loss from paralogs. Recent studies have reported physical clustering (genetic linkage) of functionally linked (interacting) genes in the human genome and propose a biological significance for the clustering of interacting genes such as coexpression or preservation of epistatic interactions. Here we conduct a novel test of a hypothesis that functionally linked genes in the same paralogon are preferentially retained in *cis* after WGD. We compare the number of protein–protein interactions (PPIs) between linked singletons within a paralogon (defined as *cis*-PPIs) with that of PPIs between singletons across paralogon pairs (defined as *trans*-PPIs). We find that paralogons in which the number of *cis*-PPIs is greater than that of *trans*-PPIs are significantly enriched in human and yeast. The trend is similar in plants, but it is difficult to assess statistical significance due to multiple, overlapping WGD events. Interestingly, human singletons participating in *cis*-PPIs tend to be classified into “response to stimulus.” We uncover strong evidence of biased gene loss after WGD, which further supports the hypothesis of biologically significant gene clusters in eukaryotic genomes. These observations give us new insight for understanding the evolution of genome structure and of protein interaction networks.

[Supplemental material is available for this article.]

Well before genome sequences were available to test the hypothesis, Ohno proposed that two rounds (2R) of whole genome duplication (WGD) occurred in early vertebrate evolution (Ohno 1970). Ultimately, analysis of complete genome sequences verified the 2R hypothesis but did not reveal perfectly symmetric duplicate chromosomes. Instead, several studies uncovered complex fossils of the ancient genome duplication events where only some genes remained duplicated (termed “ohnologs”) (Wolfe 2000), and even these groups of duplicated genes had been broken up into “paralogons” by extensive genome rearrangements (Popovici et al. 2001; McLysaght et al. 2002; Panopoulou et al. 2003; Vandepoele et al. 2004; Dehal and Boore 2005; Nakatani et al. 2007; Putnam et al. 2008). The existence of biased gene loss following WGD due to structural or functional constraints is still considered an open question (Jaillon et al. 2009). Here we consider how functional interactions between genes may influence the patterns of gene loss following WGD.

Large-scale linkage conservation between distantly related species has been shown by comparative analyses of vertebrate and invertebrate genomes (Putnam et al. 2007, 2008); however, the biological significance, if any, is unclear. Many functional gene clusters exist in the human genome (Popovici et al. 2001; Hurst et al. 2004; Makino and McLysaght 2008), and some of these, such as the HOX clusters, exist within paralogons (Popovici et al. 2001). We previously showed that interacting gene clusters in the human genome are more numerous than expected and have been conserved in vertebrate genomes more frequently than expected, indicating a functional role for clustering on the chromosome (Makino and McLysaght 2008). If we translate this observation to paralogons, we can consider the patterns of gene loss and test for preferential

retention of interacting gene pairs in *cis* rather than in *trans* (Fig. 1). Following WGD, all interacting gene clusters will be perfectly duplicated, resulting in exactly equal numbers of *cis*- and *trans*-PPIs (protein–protein interactions). For interacting gene pairs that eventually revert to single-copy, the first gene loss is considered to be neutral if all losses are functionally equivalent. However, the second loss will result in either retention of a *cis*-PPI or of a *trans*-PPI. If there is no biological significance of the *cis* positioning of interacting genes, then this is a neutral “choice,” and each scenario should occur with equal frequency. However, if the relative proximity of interacting genes on the genome has biological relevance, then we expect to see non-random gene loss favoring the retention of the *cis*-PPI.

Genome duplication has also been detected in other eukaryotic lineages including yeast (Wolfe and Shields 1997; Dietrich et al. 2004; Dujon et al. 2004; Kellis et al. 2004) and plants (*Arabidopsis* Genome Initiative 2000; Blanc et al. 2000). Additionally, there is evidence for interacting gene clusters in the yeast genome (Teichmann and Veitia 2004; Poyatos and Hurst 2006).

Here we define protein–protein interactions (PPIs) between genes on the same “side” of a paralogon as *cis*-PPIs, and PPIs between genes across a paralogon pair as *trans*-PPIs (Fig. 1, red and green lines, respectively). Although the number of *cis*-PPIs must have been the same as that of *trans*-PPIs in a paralogon immediately after WGD, many of these interactions have been removed by gene losses during evolution. We test whether the number of *cis*-PPIs is greater than that of *trans*-PPIs in paralogons in human, yeast, and *Arabidopsis*.

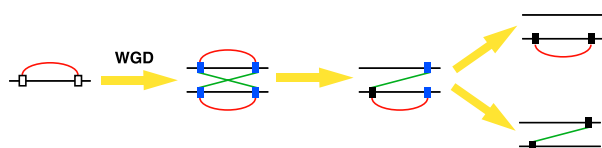
## Results and Discussion

### Preferential retention of *cis*-interacting gene pairs in paralogons following WGD and gene loss

We identified 725 paralogon pairs in the human genome based on extant-paired ohnologs, 373 paralogon pairs in yeast based on

<sup>3</sup>Corresponding author  
E-mail [aoife.mclysaght@tcd.ie](mailto:aoife.mclysaght@tcd.ie)

Article published online before print. Article, supplemental material, and publication date are at <http://www.genome.org/cgi/doi/10.1101/gr.131953.111>.



**Figure 1.** Gene losses after whole genome duplication (WGD). Rectangles and horizontal lines represent genes and chromosomes, respectively. Red and green lines indicate *cis*- and *trans*-protein-protein interactions (PPIs) between proteins encoded by singletons or extant-paired ohnologs, respectively. (White rectangles) Genes prior to WGD. Blue and black rectangles show extant-paired ohnologs and singletons, respectively. Following WGD, all interacting gene clusters will be perfectly duplicated, resulting in exactly equal numbers of *cis*- and *trans*-PPIs. The first gene loss can occur at any locus. Gene loss that reverts the second gene to single copy will result in either retention of a *cis*- or a *trans*-PPI. If gene loss is neutral, then both scenarios should occur with equal frequency.

gene order in the pre-WGD species *Kluyveromyces lactis* and 253 paralogon pairs in *Arabidopsis* derived from conserved gene synteny in the plant genome duplication database (<http://chibba.agtec.uga.edu/duplication/>). We confirmed that the gene content of combined human paralogs is representative of the gene content of at least the ancestral amniote by synteny conservation with chicken (see Methods). We obtained human, yeast, and plant PPI data sets from the Human Protein Reference Database (HPRD), BioGRID, and the *Arabidopsis thaliana* protein interaction network (AtPIN), respectively. We excluded paralogs in which no genes had any annotated PPIs from this study. We classified interactions between genes in a paralogon into *cis*- and *trans*-PPIs (Fig. 1; red and green lines, respectively).

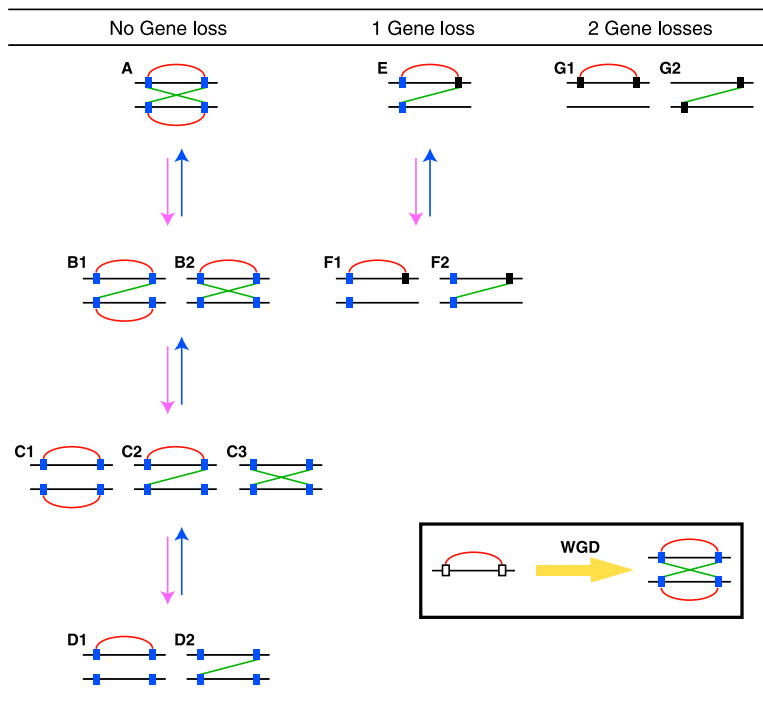
We considered all possible scenarios of retained PPIs in a paralogon after gene loss and/or protein interaction network (PIN) rewiring (Fig. 2). Through whole genome duplication, a single *cis*-PPI between neighboring genes in a genome would be increased to two *cis*- and two *trans*-PPIs (Fig. 2; box insert). After gene and PPI loss events, there are 13 possible PPI scenarios retaining at least one PPI (Fig. 2 A–G). To explore the properties of gene loss, we focused on PPIs among singletons within a paralogon because these have experienced gene loss events (scenario G in Fig. 2). Note that we are using the term “singleton” only to refer to the gene’s duplication status within the paralogon, where it once had an ohnolog copy. It is possible that these genes do have other paralogs in the genome and thus are not strictly singletons in the conventional sense of the term.

For our analysis, we used 668, 308, and 172 paralogon pairs in human, yeast, and plant, respectively (Table 1). Searching within paralogs rather than within a fixed base-pair distance greatly expanded the physical range for detection of *cis*-interactions (Fig. 3). The numbers of *cis*- and *trans*-PPIs between genes in

paralogs were counted (see Methods). The total number of *cis*-PPIs was larger than that of *trans*-PPIs for human, yeast, and plant (Table 1). We also found that the number of paralogs in which *cis*-PPIs outnumber *trans*-PPIs was larger than that of others in human, yeast, and plant paralogs.

Both vertebrates and plants have undergone more than one round of WGD. In the case of the vertebrate 2R (two rounds) tetraploidizations, there are potentially four chromosomal regions—nominally A, B, C, and D—that are all paralogs of each other (Supplemental Fig. S1). The ideal situation would be to only have three comparisons; A–B and C–D, which are the two products of the second round of WGD, and another comparison of [A,B]–[C,D], which examines the outcome of the first WGD. All possible comparisons of four paralogs result in six measurements, three of which might be considered redundant. However, not all of the comparisons are the same because of differing gene content, so we preferred to do all comparisons. This does not introduce a bias because after the first genome duplication, any genes that are kept in *cis* may be resolved to either *cis* or *trans* after the subsequent genome duplications. However, any relationships that are resolved to *trans* after the first genome duplication will only ever be *trans*, and these may be counted multiple times in the paralogon comparisons. Thus this is more likely to disfavor the hypothesis being tested and does not introduce a favorable bias.

However, tests of statistical significance require independent outcomes, and overlapping paralogs from multiple WGD violate this requirement. Therefore, we clustered paralogs derived from a common ancestral region (see Methods) and considered each cluster as only one occurrence for the purposes of statistical



**Figure 2.** Retained network patterns in a paired gene cluster after gene and/or PPI losses. Rectangles and horizontal lines represent genes and chromosomes, respectively. Red and green lines indicate *cis*- and *trans*-PPIs, respectively. (White rectangles) Genes before they experienced WGD. Blue and black rectangles show extant-paired ohnologs and singletons, respectively. Pink and blue arrows indicate PPI losses and gains during evolution, respectively. All possible scenarios that retain at least one PPI are shown.

**Table 1.** *Cis-* and *trans*-interactions between singletons within paralogs

Species	PPI data	Window size for identifying ohnologs	Number of <i>cis</i> -PPIs	Number of <i>trans</i> -PPIs	Number of paralogon pairs (PGs)	Number of PGs (number of <i>cis</i> -PPIs) > (number of <i>trans</i> -PPIs)	Number of PGs (number of <i>cis</i> -PPIs) = (number of <i>trans</i> -PPIs)	Number of PGs (number of <i>cis</i> -PPIs) < (number of <i>trans</i> -PPIs)	P-value
Human	HPRD	100	60,949	48,012	668	483	31	154	<sup>a</sup>
		30	2689	2221	602	323	81	198	<sup>b</sup>
Plant	AtPIN	—	576	457	172	85	30	57	<sup>a</sup>
Yeast	BioGRID	—	5899	5262	308	153	31	124	$4.7 \times 10^{-3}$
		DIP	464	386	182	95	22	65	

<sup>a</sup>Not amenable to statistical analysis, see main text.

<sup>b</sup>Data were subsampled to make independent paralogon pairs and consistently showed statistical significance (Table S1).

analysis. We randomly sampled one representative paralogon pair from each cluster for statistical analysis and repeated this sampling 1000 times. We performed the Wilcoxon signed rank test with continuity correction on each replicate. We observed that the number of paralogs in which *cis*-PPIs outnumber *trans*-PPIs was significantly higher than others for all of the replicates (Supplemental Table S1). Thus, there is strong statistical support for greater retention of *cis*-PPIs.

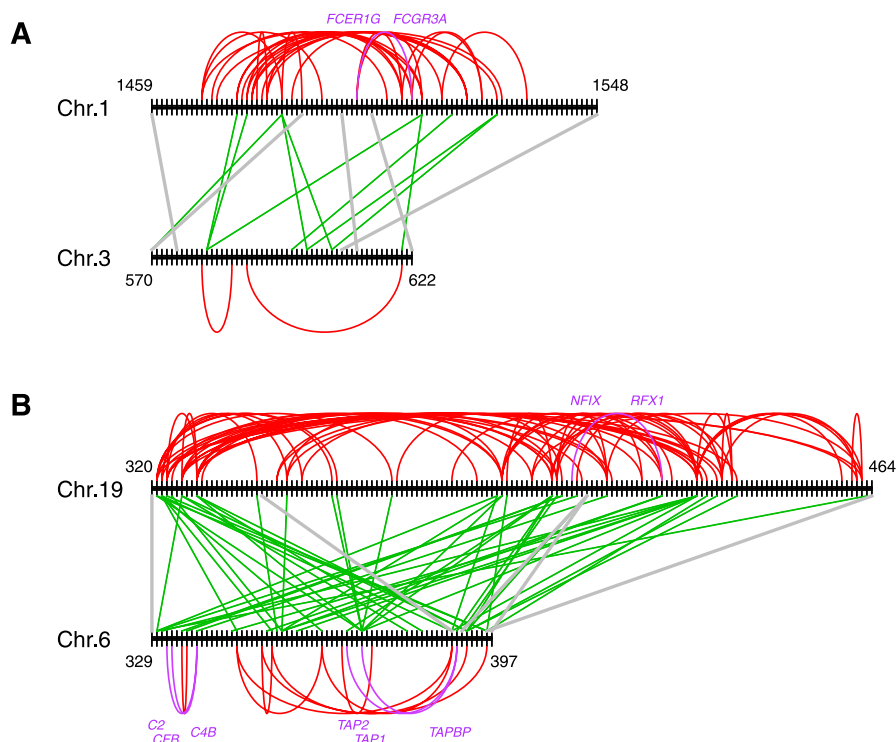
*A. thaliana* has only five chromosomes, and the lineage has experienced WGD at least three times; thus, the number of discriminable subsets of nonoverlapping paralogs with PPIs was very small (only 10 sets including 172 paralogs with PPIs) and not amenable to robust statistical analysis, but we note that the trends are the same as in human.

Similarly, we observed significant differences in the number of *cis*- and *trans*-PPIs in yeast paralogs ( $P = 4.7 \times 10^{-3}$  Wilcoxon signed rank test with continuity correction) (Table 1). This result was consistent when we used an alternative available yeast PPI data set from the Database of Interacting Proteins (DIP) ( $P = 0.037$ ) (Table 1). Notably, we observed consistent trends in different species with paralogs created at different times during evolution. These results indicate that there is a general bias in gene losses in eukaryote genomes following WGD.

### Tests of independence of gene loss

Our analysis assumes that each gene loss is independent. However, it is possible to imagine a scenario in which two linked and interacting genes are removed, along with all intervening genes on the chromosome, in a single large DNA deletion event. One strategy to exclude the possibility of long deletions is to require the retention in duplicate (i.e., as ohnolog pairs) of at least one of the ancestrally intervening genes. There is insufficient knowledge of the ancestral gene order in vertebrates and plants to conduct this

test; however, the ancestral gene order has been carefully reconstructed for yeasts (Gordon et al. 2011). Using this information, we could infer which genes in yeast lay between interacting genes prior to WGD and gene loss. Where at least one of these genes is retained in a present-day ohnolog pair, we can deduce that no single DNA deletion event spanned the entire region and that the return to single copy was an independent event for each of the interacting pair (Supplemental Fig. S2). This requirement reduced the data set of PPIs that we could analyze because only a small



**Figure 3.** *Cis-* and *trans*-PPIs between genes in human paralogs. Black vertical and horizontal lines represent genes and chromosomes, respectively. The numbers beside chromosomes indicate gene ordinal numbers along the chromosome. (Bold gray lines) Homology relationships between extant-ohnolog pairs. Red and green lines indicate *cis*- and *trans*-PPIs between genes in a human paralogon, respectively. Purple lines and gene names denote *cis*-PPIs previously identified as interacting gene clusters related to “immune response” (Makino and McLysaght 2008). In the case of physical links in paralogs, it is possible to identify *cis*-PPIs over a wider range compared with searches within a fixed base-pair distance (Makino and McLysaght 2008). There are many more *cis*-PPIs compared with *trans*-PPIs in (A) paralogon ID 24 (27 *cis* and 10 *trans*) and in (B) paralogon ID 507 (69 *cis* and 46 *trans*) (Supplemental File 1). Even after collapsing tandem duplicated genes, the PPIs of singletons are enriched in *cis* (ID: 24: 18 *cis* and 8 *trans*; ID 507: 60 *cis* and 34 *trans*).

fraction of genes remains as ohnolog pairs. Furthermore, the ancestral genomic distance (counted in number of genes) between *trans*-PPIs tends to be greater than of *cis*-PPIs (Supplemental Fig. S3), which affords greater opportunity for the retention of an intervening ohnolog for *trans*-PPIs compared with *cis*-PPIs; thus, *cis*-PPIs are disproportionately removed from the data set under this rule. If we correct for differences in the number of intervening genes on the ancestral genome by restricting our search to only genes separated by five genes or fewer, then the number of paralogs with *cis*-PPIs greater than *trans*-PPIs is significantly larger than the converse ( $P = 2.9 \times 10^{-5}$ , Wilcoxon signed rank test with continuity correction; similarly for interacting pairs separated by up to five genes,  $P = 1.5 \times 10^{-5}$ ) (Supplemental Table S2). However, when we only included PPIs between genes separated by at least one retained gene although *cis*-PPIs still outnumber *trans*-PPIs, there was no significant difference between the number of paralogs with more *cis*-PPIs and the number with more *trans*-PPIs (Supplemental Table S3).

It has been shown that, following WGD, deletion events tend to be no longer than one gene (Woodhouse et al. 2010) and that gene loss events in recent primate evolution are typically by pseudogenization rather than DNA deletion (Schridder et al. 2009). Therefore, although it is difficult to definitively exclude the possibility of single large deletions simultaneously removing interacting genes from the same side of the paralogon, we suggest that such events are unlikely to have contributed a bias to this analysis.

We also assume that these gene loss events are equally likely on each side of the paralogon. If copies in one paralogon are more likely to be lost than those in the other paralogon, we should expect more *cis*-PPIs than *trans*-PPIs due to a biased reduction of one paralogon, rather than any functional consequence of the interaction between genes. Biased fractionation after tetraploidization was observed in maize (Woodhouse et al. 2010). To test whether biased loss is occurring irrespective of PPIs, we examined paralogs for which the number of *cis*-PPIs was larger than that of *trans*-PPIs and compared the observed number of *cis*-PPIs with that of expected ones based on biased gene retention (see Methods). We observed that only 0%–23.1% of the paralogs had fewer than expected *cis*-PPIs (Supplemental Tables S4, S5). This indicates that a biased gene reduction of one paralogon did not cause biased PPI retention.

### No preferential PPI retention and/or creation after WGD

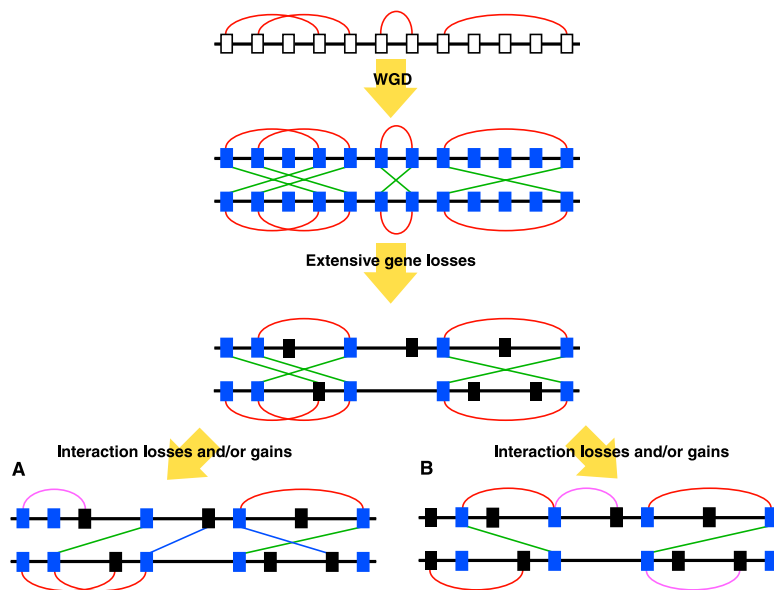
We have already shown that in the case of singletons within a paralogon, we find an excess of *cis*-PPIs (scenario G1 in Fig. 2). However, PPIs may be lost or gained independently of gene gain and loss, a phenomenon known as “network rewiring” (Wagner 2001; Beltrao and Serrano 2007; Presser et al. 2008), although a recent study reported that the evolutionary rate of PPI rewiring is very slow in yeast (Qian et al. 2011). We examined the

possibility that the above results are part of a general phenomenon of biased retention of linked PPIs and/or the creation of interacting clusters occurring independently of gene loss (Fig. 4). If there is no bias in PPI retention or creation, then the number of *cis*- and *trans*-PPIs should be equal when summed over all possible scenarios (Fig. 2A–F). We used 532, 192, and 102 paralogon pairs in human, yeast, and plant, respectively (Table 2). We only counted paralogs with at least one PPI between genes of interest, which means that the total number of paralogs analyzed differs slightly between Table 1 (scenario Fig. 2G) and Table 2 (scenarios Figure 2A–F). The numbers of *cis*- and *trans*-PPIs between genes in a paralogon were counted as above (see Methods). We found no difference between the number of paralogs with more *cis*-PPIs and the number with more *trans*-PPIs in human, yeast, and plant (Wilcoxon signed rank test with continuity correction) (Table 2). This indicates that interaction gain and loss are not biased with respect to relative location and that our observation of more *cis*-PPIs is a result of biased gene loss rather than biased interaction gain or loss.

### Conservation of genes in *cis*-PPIs across vertebrate genomes

If human *cis*-PPIs have biological significance, we expect that the *cis*-PPIs should be observed in other vertebrates. When we examined the relative location of orthologs of genes involved in *cis*-PPIs across vertebrate genomes, we found that they are likely to be conserved, i.e., they are located within the same paralogon (Table 3).

We also considered the possibility that gene loss occurred independently in different vertebrate lineages. Under this scenario, we would expect to observe some cases in which there is no ortholog but only a paralog present due to “independent sorting-out”



**Figure 4.** PPIs losses and gains after whole genome duplication (WGD). Rectangles and horizontal lines represent genes and chromosomes, respectively. Red and green lines indicate *cis*- and *trans*-PPIs, respectively. (White rectangles) Genes prior to WGD. Blue and black rectangles show extant-paired ohnologs and singletons, respectively. Pink and blue lines indicate newly created *cis*- and *trans*-PPIs of ohnologs, respectively. As a matter of convenience, PPI losses and gains are shown after gene losses; however, the events may occur simultaneously in the evolutionary process. (A) Random PPI dynamics model. Some PPIs among ohnologs disappeared and/or appeared randomly after WGD. *Trans*-PPIs are observed as often as *cis*-ones. (B) Biased PPI dynamics model. PPIs among ohnologs in the same paralogon are retained preferentially after PPI losses. In addition, new interacting clusters are created by PPI rewiring. The number of *cis*-PPIs is larger than that of *trans*-PPIs.

**Table 2.** *Cis*- and *trans*-interactions of extant-paired ohnologs

Species	PPI data	Window size for identifying ohnologs	Number of <i>cis</i> -PPIs	Number of <i>trans</i> -PPIs	Number of paralogon pairs (PGs)	Number of PGs (number of <i>cis</i> -PPIs) > (number of <i>trans</i> -PPIs)	Number of PGs (number of <i>cis</i> -PPIs) = (number of <i>trans</i> -PPIs)	Number of PGs (number of <i>cis</i> -PPIs) < (number of <i>trans</i> -PPIs)	<i>P</i> -value
Human	HPRD	100	19,510	19,134	532	243	67	222	Not significant
		30	1646	1684	546	206	109	231	Not significant
Plant	AtPIN	—	483	424	102	48	16	38	Not significant
Yeast	BioGRID	—	3690	3659	192	73	36	83	Not significant
		DIP	—	240	216	94	40	25	29

of the redundancy (Scannell et al. 2006). If independent gene loss also resulted in independent preservation of the *cis* relationship of the interacting gene pair, that would provide further support for the biological significance of the relative chromosomal location of these genes (Fig. 5). We identified orthologous and paralogous paralogons within 12 vertebrate genomes for human paralogons based on conserved gene order of orthologous ohnologs and surveyed partisan losses. We found several paralogous *cis*-PPIs in fish genomes (Table 3). However, overall we rarely observed paralogous relationships. This indicates that gene loss occurred quite rapidly before the radiation of most lineages and that *cis*-PPIs have been conserved since before the fish–tetrapod split. We also examined partisan losses for yeast (see Methods). As we observed in human, there were several paralogous *cis*-PPIs, but most *cis*-PPIs had orthologous relationships (Supplemental Table S6).

Here we had specifically searched for cases in which we could infer independent retention of the *cis*-PPI by the paralogous rather than orthologous relationship of the extant genes in different vertebrate genomes (Fig. 5; Table 3). The fish-specific genome duplication (FSGD) provides an additional opportunity to test for the retention of the same *cis*-PPIs. We constructed FSGD paralogons for stickleback, tetraodon, medaka, and zebrafish (see Methods) for examining preservation (independent preservation is no object) of the *cis*-relationship of the interacting gene pair in fish paralogons after FSGD (Supplemental Fig. S4). We investigated whether fish orthologs (FSGD singletons) of human singletons with *cis*-PPIs in a paralogon were observed in the FSGD paralogons in a *cis*-relationship more frequently than in a *trans*-relationship. We found that the number of FSGD paralogons in which the number of

*cis*-PPIs was larger than that of *trans*-PPIs was statistically significantly larger than that of others (Wilcoxon signed rank test with continuity correction) (Supplemental Table S7). The result indicates that *cis*-interacting singletons have been retained in both lineages (human and fishes) independently even after FSGD in which a *cis*-interacting gene pair would have a chance to change its formation as *trans* (Supplemental Fig. S4).

#### Retained *cis*-PPIs are enriched for function in “response to stimulus”

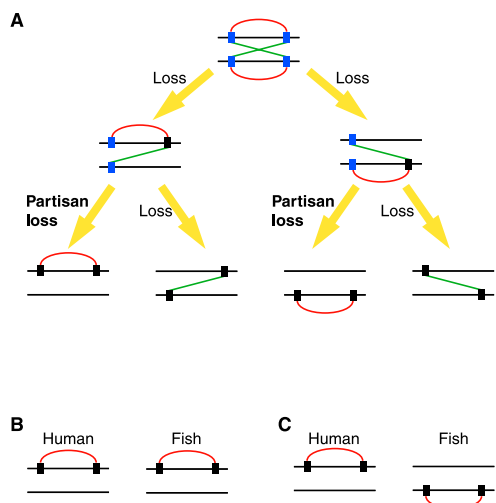
We attempted to understand the characteristics of singletons participating in *cis*- and *trans*-PPIs within paralogons. In a previous study, we showed that interacting genes located within 1 Mb of each other are biased toward a function in “response to stimulus” that includes many genes that operate in adaptive immunity (Makino and McLysaght 2008). Here, we examined the function of *cis*- and *trans*-PPIs using GO slim in human (<http://www.geneontology.org>).

There were two rounds of WGD early in the vertebrate lineage, and therefore it is possible that some genes that are singletons with respect to one paralogon pair are extant-paired ohnologs in another paralogon pair. Furthermore, it has been shown that extant ohnologs are likely to be classified into specific functional classes in vertebrates (Blomme et al. 2006; Brunet et al. 2006; Huffton et al. 2008). Consistent with previous studies, we found that extant-paired ohnologs are often related to developmental processes in human (e.g., multicellular organismal development, cell communication, regulation of biological process, cell motion,

**Table 3.** *Cis*- and *trans*-interacting gene pairs between singletons within paralogons of vertebrate genomes

Species	Number of conserved paralogons	Conserved gene pairs		Human <i>cis</i> -interacting gene pairs			Human <i>trans</i> -interacting gene pairs	
		Number of <i>cis</i>	Number of <i>trans</i>	Number of orthologous <i>cis</i>	Number of paralogous <i>cis</i>	Number of <i>trans</i>	Number of <i>cis</i>	Number of <i>trans</i>
Stickleback	204	812	688	772	9	40	31	648
Tetraodon	176	684	480	617	22	30	45	450
Medaka	195	838	719	770	21	47	47	672
Zebrafish	161	292	240	246	16	20	30	220
Chicken	247	5885	4572	5875	0	16	10	4556
Opossum	361	8286	6689	8268	0	26	18	6663
Cow	412	13,675	10,764	13,653	0	19	22	10,745
Dog	458	15,294	12,249	15,283	0	8	11	12,241
Mouse	440	12,109	9140	12,106	0	1	3	9139
Rat	408	10,352	8007	10,340	0	9	12	7998
Macaca	548	26,575	21,095	26,514	4	32	57	21,063
Chimp	590	39,220	31,593	39,212	0	10	8	31,583

Fish-Specific Genome Duplication (FSGD) paralogons were not analyzed for *cis* and *trans* relationships.



**Figure 5.** PPIs in orthologous/paralogous paralogs in vertebrate genomes. Vertical and horizontal lines represent genes and chromosomes, respectively. Blue and black rectangles show extant-paired ohnologs and singletons, respectively. Red and green lines indicate *cis*- and *trans*-PPIs, respectively. (A) Gene loss patterns of *cis*-linked genes after WGD. Partisan loss can be observed when the second gene loss occurs in a paralogon where the first gene loss occurred. (B) When we observe conserved *cis*-linked genes in an orthologous paralogon between human and fish, it is difficult to distinguish an independent partisan loss from a *cis*-PPI derived from a common ancestor. (C) When we observe conserved *cis*-linked genes in a paralogous paralogon between human and fish, this pattern is strong evidence of independent partisan loss after speciation between human and fish.

cell differentiation, multicellular organismal process) (Supplemental Table S8). The numbers of extant-paired ohnologs classified into functional classes “response to stimulus” or metabolic processes were significantly smaller than expected in human (Supplemental Table S8). On the other hand, functional classes “response to abiotic stimulus” and “response to chemical stimulus” were enriched in ohnologs for plant and yeast, respectively (Supplemental Table S9). Biased functions of ohnologs seem to be different among eukaryotes that experienced WGD (Maere et al. 2005; Wapinski et al. 2007). In particular, “response to stimulus” is likely to be enriched in ohnologs from 2R WGD but not those from 1R/3R WGD in plant (Maere et al. 2005). To minimize the functional bias of extant-paired ohnologs (Supplemental Table S8), we only used singletons that were not included in any extant-paired ohnologs for GO analysis. We compared the number of GO slim terms for singletons in *cis*-PPIs with that for singletons in *trans*-PPIs (Table 4). We found “response to stimulus” (GO:0050896) was

significantly enriched in *cis*-interacting singletons in human (Table 4;  $P = 1.2 \times 10^{-5}$  after correction for multiple tests). This is consistent with the enrichment for “response to stimulus” in genes in interacting gene clusters in the human genome (Makino and McLysaght 2008). Interestingly, by searching for *cis*-PPIs within a paralogon rather than within a fixed base-pair distance, we were able to detect conserved linkage of genes over longer regions of chromosome (Fig. 3). These observations show a tendency for genes involved in “response to stimulus” to revert to single copy retaining the *cis* relationship of interacting genes. Only “RNA metabolic process” was enriched in *cis*-interacting singletons compared with *trans*-interacting ones in yeast, although the statistical significance was not high ( $P = 0.034$ ). There was no observable bias in the function of *cis*-interacting singletons in plant because only 38 *cis*-interacting singletons had GO annotation.

## Conclusion

We present evidence that functionally linked singletons in the same paralogon were preferentially retained in *cis* after extensive gene losses in human, yeast, and plant (Table 1). On the other hand, there was no significant enrichment of *cis*-PPIs between extant-paired ohnologs in the three species (Table 2); i.e., we found no evidence for biased rewiring of PPIs after WGD. Furthermore, the relative location of genes with *cis*-PPIs tends to be conserved across vertebrate genomes (Table 3).

The analysis of *cis*-PPI retention reported here assumes independent gene loss rather than large, sweeping DNA deletions that removed one copy of the interacting pair along with all intervening genes. Although we could not conduct a robust test to definitively eliminate the possibility of single large deletion events, we are satisfied that if these occur, they are rare and unlikely to introduce an artifact into this genome-wide analysis. Previous work has shown such large deletion events to be extremely rare following tetraploidization (Woodhouse et al. 2010) and within recent primate evolution gene loss is almost exclusively by means of pseudogenization rather than DNA deletion (Schridder et al. 2009). Although we note that neither of these studies refers specifically to the period following vertebrate WGD, they lend support to the assumption that large deletions were rare.

An alternative and very interesting explanation for the biased retention of *cis*-PPIs is that rather than reflecting a biological advantage to physical clustering on the chromosome, it instead is a legacy of an *allopolyploid* rather than *autopolyploid* event. *Allopolyploidy* is genome doubling caused by a type of hybridization between related organisms. If this occurred and if the two parent lineages had sufficiently diverged, the interacting proteins in each genome might have coadapted to the extent that retained inter-

**Table 4.** Comparison of functions of singletons within paralogs involved in *cis*-PPIs and in *trans*-PPIs

Significant difference	GO IDs	Term	Observed	Mean	SD	Z-score	P-value <sup>a</sup>
Overrepresentation	GO:0050789	Regulation of biological process	1539	1482.4	10.2	5.5	$5.15 \times 10^{-7}$
	GO:0050896	Response to stimulus	685	644.2	8.7	4.7	$1.21 \times 10^{-5}$
	GO:0007154	Cell communication	828	789.7	9.2	4.2	$2.67 \times 10^{-4}$
	GO:0051704	Regulates	167	152.1	4.5	3.3	$8.59 \times 10^{-3}$
	GO:0006139	Nucleobase, nucleoside, nucleotide, and nucleic acid metabolic process	1023	990.7	9.6	3.4	$1.54 \times 10^{-2}$
Underrepresentation	GO:0009058	Biosynthetic process	998	968.0	9.7	3.1	$2.92 \times 10^{-2}$
	GO:0008152	Metabolic process	315	336.5	6.5	-3.3	$2.75 \times 10^{-2}$

<sup>a</sup>The estimated *P*-values were adjusted by Bonferroni correction.

acting pairs from the same genome (i.e., in *cis*) were strongly preferred. This model suggests an explanation for why interacting genes would be initially retained in *cis* following WGD and fractionation. However, it provides no explanation for the retention of interacting gene clusters that are found in excess in eukaryotic genomes (Teichmann and Veitia 2004; Poyatos and Hurst 2006; Makino and McLysaght 2008).

The observation that functionally linked genes have been preferentially retained in *cis* following WGD and gene loss and that they have been conserved in *cis* during vertebrate evolution supports the hypothesis that the physical clustering on the chromosome has biological and functional significance. However, the nature of this biological significance remains unclear and may include co-regulation (Hurst et al. 2004), epistasis (Nei 1967), and epigenetic factors (Thomas et al. 2006). Many of the *cis*-interacting genes in human are classified as “response to stimulus,” which is consistent with previous studies showing clustering of genes that operate in immunity. We propose that functionally and physically linked genes have influenced the evolution of both genomic structures and protein interaction networks after WGD in fungi, plants, and vertebrates.

## Methods

### Paralogons

#### Human paralogons

We used six outgroups, which were amphioxus (*Branchiostoma floridae*) assembly v1.0 (Putnam et al. 2008) from JGI (<http://www.jgi.doe.gov>), sea urchin (*Strongylocentrotus purpuratus*) build 2.1 from NCBI (<http://www.ncbi.nlm.nih.gov>), two ascidians (*Ciona intestinalis* and *Ciona savignyi*), fly (*Drosophila melanogaster*), and worm (*Caenorhabditis elegans*) from Ensembl release 52 (Hubbard et al. 2007) for identification of ohnologs and combined them as shown in Makino and McLysaght (2010). Full details of the identification of human ohnologs are given in Makino and McLysaght (2010). We constructed human paralogons using the combined ohnolog data sets. Two genomic regions having two or more ohnologous pairs (within 100 genes) in which an ohnolog of the pairs was located in different genomic region from its ohnologous partner were defined as “paralogons.” We obtained 725 paralogon pairs in human (Supplemental File 1). We also used an alternative, stricter “paralogon” definition in which the maximum distance between ohnologs in a single paralogon was 30 genes; these data give consistent results.

Out of 70,624 human singleton pairs having a *cis*-PPI as shown in Figure 2G before collapsing tandem duplicates, 21,352 pairs were unique. Out of 21,352 gene pairs, we found 9669 pairs in which both genes of a pair had one-to-one orthologs in the chicken genome (Ensembl v52). Both genes in 6057 out of 9669 pairs were on the same chromosome of the chicken genome. When we use the tighter, alternative definition of paralogons (window size = 30), most of the chicken orthologs for singletons with a *cis*-PPI were in the same chromosome (95.9%, 792/826). This result indicates that the locations of genes involved in *cis*-PPIs in human paralogons have been conserved during at least land vertebrate evolution.

#### Yeast paralogons

We used ohnologs in *Saccharomyces cerevisiae* and their orthologs in pre-WGD species *K. lactis* to detect yeast paralogons in the Yeast Gene Order Browser (<http://wolfe.gen.tcd.ie/ygob/>). We removed nonsyntenic genes from our data set, because they have been

possibly relocated from other chromosomal regions. We also removed ohnologs in a paralogon where the genomic location of the paired paralogon was unknown. We obtained 373 yeast paralogon pairs (Supplemental File 2).

We observed that 97.6% (5876/6021) of *cis*-interacting gene pairs in yeast (PPIs in BioGRID database) were in the same chromosome of pre-WGD ancestor (Gordon et al. 2011). The similar result was observed using PPIs in the DIP database (96.4%, 449/466). This indicates that the locations of genes involved in *cis*-PPIs in yeast paralogons have been conserved after WGD.

#### Plant paralogons

We downloaded conserved gene synteny blocks in the *A. thaliana* Genome from the Plant Genome Duplication Database (PGDD; <http://chibba.agtec.uga.edu/duplication>) and used them as plant paralogons (253 paralogon pairs).

#### *Cis*- and *trans*-interactions of genes in paralogons

We downloaded human protein interaction network (PIN) data from Human Protein Reference Database release 7 (Peri et al. 2003), yeast PIN data from the Database of Interacting Proteins (<http://dip.doe-mbi.ucla.edu/>) and BioGRID (only physical interactions; <http://www.thebiogrid.org/>), and plant PIN data from the *A. thaliana* protein interaction network (only experimentally determined interactions; <http://bioinfo.esalq.usp.br/atpin/atpin.pl>) to identify *cis*- and *trans*-interactions of genes in paralogons. We used protein–protein interactions (PPIs) between genes with distance <3 in the protein interaction networks as shown in Poyatos and Hurst (2006). To minimize tandem duplication effects, we removed PPIs among duplicated genes (BLAST, *E*-value < 0.2) (Lercher et al. 2003) using protein sequences of human and yeast genes from Ensembl and plant genes from PGDD, and we furthermore collapsed tandemly duplicated genes in the same paralogon as genes in the same family (BLAST, *E*-value < 0.2). Note that we removed self-interactions because they do not represent a relationship between different loci. If collapsing paralogons into a gene family generated self-interactions, we removed them. Finally, we counted PPIs between genes in the same side of a paralogon as *cis*-PPIs, and PPIs across a paralogon as *trans*-PPIs.

#### Preparation of independent (nonoverlapping) paralogons for statistical analysis

We clustered sets of human paralogons derived from the same ancestral region (such as nominal paralogons A, B, C, and D shown in Supplemental Fig. S1). We grouped paralogons into clusters when paralogons shared at least one ohnolog. A paralogon pair was not clustered when the paralogon pair was from the same chromosome, because they possibly belonged to the same ancestral region, but the paralogon that has become segmented. There were not many sets of nonoverlapping paralogons for human with window size = 100 (only 11 sets including 668 paralogons with PPIs). Therefore, we prepared a set of overlapping human paralogons using window size = 30 (169 sets including 380 paralogons with PPIs). Some paralogons are paired with many other paralogons, and these tend to cause large paralogon clusters by gathering subsets of clusters and thus reducing the number of clusters to a tiny number. Therefore, we deleted paralogons with many relationships (three to seven) (Supplemental Table S1) to maximize the number of paralogon clusters. Note that even when we did not delete them, our conclusions were the same (Supplemental Table S1). We prepared independent paralogons by choosing one paralogon pair randomly from each set and examined the

enrichment of paralogs in which the number of *cis*-PPIs was significantly larger than that of *trans*-PPIs (Wilcoxon signed rank test with continuity correction). We repeated this 1000 times and performed the Wilcoxon signed rank test with continuity correction on each replicate.

### Theoretical calculations of expected numbers of *cis*-PPIs

If gene loss is biased based on location in the genome rather than PPIs, then genes may be disproportionately removed from one side of the paralogon, and a greater number of *cis*-PPIs may simply reflect a greater number of *cis* gene relationships. We constructed a hypothetical scenario with differing rates of gene deletion in the two sides of a paralogon, where the probabilities of deletion of a gene from each side of the paralogon are  $p$  and  $1 - p$ , respectively. We only consider genes that return to single copy. We estimate  $p$  as the number of singletons on one side of the paralogon ( $n_1$ ) expressed as a fraction of the total singletons over the two sides of the paralogon ( $n_1 + n_2$ ), that is,  $p = n_1 / (n_1 + n_2)$ . A pre-WGD *cis*-PPI is retained in *cis* after WGD with probability  $p^2 + (1 - p)^2$  and retained in *trans* with probability  $2p(1 - p)$ . If there are  $c$  *cis*-PPIs between singletons and  $t$  *trans*-PPIs between singletons, the expected number of *cis*-PPIs,  $E_1$ , is given by  $E_1 = (c + t)[((n_1 / (n_1 + n_2))^2 + (n_2 / (n_1 + n_2))^2)]$ . This calculation gives an expected number of *cis*-PPIs proportional to the sizes of the two sides of the paralogon. If both sides have equal numbers of singletons, then  $p = 1/2$  and  $E_1 = 1/2(c + t)$ .

### Orthologous and paralogous paralogs

To identify orthologous and paralogous paralogs within 12 vertebrate genomes (chimpanzee, macaque, mouse, rat, dog, cow, opossum, chicken, medaka, zebrafish, tetraodon, and stickleback), we downloaded vertebrate orthologs for human genes and their genomic locations from Ensembl release 52. We identified orthologous paralogs of the vertebrates based on conserved gene synteny of orthologous ohnolog pairs by using the same algorithm (window size: 100) reported by Makino and McLysaght (2010). Note that, for human genes in a paralogon, it is traceable whether the homologs in vertebrate paralogs are in an orthologous paralogon or a paralogous one.

We obtained orthologous and paralogous paralogs within 10 yeast genomes (*Vanderwaltozyma polyspora*, *Tetrapisispora phaffii*, *Tetrapisispora blattae*, *Naumovozyma dairenensis*, *Naumovozyma castellii*, *Saccharomyces castellii*, *Kazachstania naganishii*, *Kazachstania africana*, *Candida glabrata*, and *Saccharomyces bayanus*) based on syntenic orthologs from YGOB (<http://wolfe.gen.tcd.ie/ygob>).

### FSGD paralogs

We identified paralogs generated by FSGD for stickleback, medaka, tetraodon, and zebrafish using the same algorithm (window size: 100) reported by Makino and McLysaght (2010). Note that we used protein sequences for five teleost fishes (stickleback, medaka, tetraodon, zebrafish, and *Fugu*) and human (outgroup) from Ensembl release 52 to find FSGD candidate ohnolog pairs generated by duplication between speciation of teleost fishes and the fish–tetrapod split.

### Gene Ontology

Gene Ontology (GO) “slim” annotations for biological processes of human, plant and yeast were downloaded from [ftp://ftp.geneontology.org/pub/go/GO\\_slims](ftp://ftp.geneontology.org/pub/go/GO_slims). We excluded the GO ID GO:0008150 (biological process unknown). We calculated the  $P$ -value for each GO ID by comparison of the observed frequency

in extant-paired ohnologs with expectations based on hypergeometric distribution using whole genes with at least one GO ID (Supplemental Tables S8, S9). We also calculated the  $P$ -value for each GO ID by comparison of the observed frequency in singletons with *cis*-PPIs with expectations based on hypergeometric distribution using singletons with *trans*-PPIs (Table 4). The estimated  $P$ -values were adjusted by Bonferroni correction.

### Acknowledgments

This work is supported by Science Foundation Ireland.

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Received September 14, 2011; accepted in revised form July 20, 2012.