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## ZFX acts as a transcriptional activator in multiple types of human tumors by binding downstream of transcription start sites at the majority of CpG island promoters

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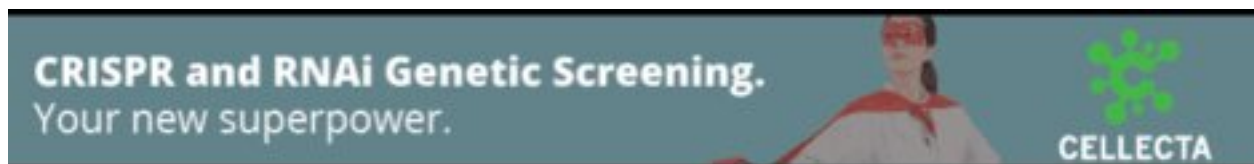
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1           **ZFX acts as a transcriptional activator in multiple types of human**  
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4  
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14          Keywords: ZFX, zinc finger proteins, CpG islands, promoters, transcriptional regulation,

15          chromatin structure

## 1 **ABSTRACT**

2 High expression of the transcription factor ZFX is correlated with proliferation, tumorigenesis,  
3 and patient survival in multiple types of human cancers. However, the mechanism by which ZFX  
4 influences transcriptional regulation has not been determined. We performed ChIP-seq in four  
5 cancer cell lines (representing kidney, colon, prostate, and breast cancers) to identify ZFX  
6 binding sites throughout the human genome. We identified ~9,000 ZFX binding sites and found  
7 that the majority of the sites are in CpG island promoters. Moreover, genes with promoters  
8 bound by ZFX are expressed at higher levels than genes with promoters not bound by ZFX. To  
9 determine if ZFX contributes to regulation of the promoters to which it is bound, we performed  
10 RNA-seq analysis after knockdown of ZFX by siRNA in prostate and breast cancer cells. Many  
11 genes with promoters bound by ZFX were downregulated upon ZFX knockdown, supporting the  
12 hypothesis that ZFX acts as a transcriptional activator. Surprisingly, ZFX binds at +240bp  
13 downstream of the TSS of the responsive promoters. Using Nucleosome Occupancy and  
14 Methylome Sequencing (NOME-seq), we show that ZFX binds between the open chromatin  
15 region at the TSS and the first downstream nucleosome, suggesting that ZFX may play a critical  
16 role in promoter architecture. We have also shown that a closely related zinc finger protein  
17 ZNF711 has a similar binding pattern at CpG island promoters, but ZNF711 may play a  
18 subordinate role to ZFX. This functional characterization of ZFX provides important new insights  
19 into transcription, chromatin structure, and the regulation of the cancer transcriptome.

## 1 INTRODUCTION

2 Altered transcriptomes are a general characteristic of human cancers. In many cases,  
3 the transcriptional dysregulation is driven by altered expression levels or activity of transcription  
4 factors (TFs) (Yao et al. 2015; Rhie et al. 2016). There are ~2,000 DNA-binding TFs in human  
5 genome, but little is known about most of these regulators (Vaquerizas et al. 2009; Wingender  
6 et al. 2015). We have previously identified distinct sets of TFs having increased expression  
7 associated with different cancers (Yao et al. 2015; Rhie et al. 2016). In contrast, ZFX, a zinc  
8 finger protein (ZNF) that contains a DNA binding domain, has been implicated in the initiation or  
9 progression of many different types of human cancers, including prostate cancer, breast cancer,  
10 colorectal cancer, renal cell carcinoma, glioma, gastric cancer, gallbladder adenocarcinoma,  
11 non-small cell lung carcinoma, and laryngeal squamous cell carcinoma (Zhou et al. 2011; Fang  
12 et al. 2012; Jiang et al. 2012b; Jiang et al. 2012c; Nikpour et al. 2012; Li et al. 2013; Fang et al.  
13 2014a; Fang et al. 2014b; Yang et al. 2014; Weng et al. 2015). In these previous studies, it was  
14 shown that high expression of ZFX is linked to tumorigenesis and that knocking down ZFX can  
15 suppress cellular proliferation and increase the proportion of apoptotic cells (Fang et al. 2014a;  
16 Jiang and Liu 2015; Yang et al. 2015; Yan et al. 2016). In addition, high ZFX expression  
17 correlates with poor survival of cancer patients (Jiang and Liu 2015; Li et al. 2015; Yang et al.  
18 2015; Yan et al. 2016). For example, ZFX expression is significantly related to histological grade  
19 ( $p$ -value  $<0.001$ ) in gallbladder adenocarcinoma and patients that survived less than 1 year  
20 were found to have significantly higher ZFX expression than patients that survived more than 1  
21 year (Weng et al., 2015). Taken together, these studies suggest that ZFX may function as an  
22 oncogene. However, the mechanism by which ZFX may influence transcriptional regulation in  
23 such a diverse set of human tumors has not been determined.

24 ZFX is encoded on the X Chromosome and highly conserved in vertebrates. Among the  
25 ~2,000 site-specific DNA-binding TFs, the C2H2 ZNFs are the largest class encoded in the  
26 human genome. Although the biological functions of the majority of ZNFs are unknown, the  
27 molecular functions of ZNFs include not only sequence-specific binding to DNA but also protein-

1 protein interactions and RNA binding (Stubbs et al. 2011; Najafabadi et al. 2015). DNA-binding  
2 ZNFs generally have multiple, adjacent, properly spaced zinc fingers in their DNA binding  
3 domain; ZNFs with fewer than 3 properly spaced fingers are more likely to be involved in  
4 protein-protein or protein-RNA interactions (Brown 2005; Brayer and Segal 2008). ZFX has 13  
5 C2H2-type zinc fingers in its putative DNA binding domain, the last 9 of which are properly  
6 spaced, supporting the hypothesis that ZFX is a DNA binding factor. ZFX contains a large acidic  
7 activation domain in addition to the C2H2-type zinc finger-containing DNA binding domain,  
8 suggesting that, in contrast to the hundreds of ZNFs that contain a KRAB repression domain,  
9 ZFX may be a transcriptional activator.

10 Although the structure of ZFX suggests that it is a DNA binding transcriptional activator  
11 that is expressed at high levels in many different types of cancers, ZFX binding sites have not  
12 yet been mapped in cancer cells. To understand the mechanism by which ZFX may regulate the  
13 cancer transcriptome, we performed ChIP-seq, NOMe-seq, and RNA-seq assays with  
14 knockdown experiments in HEK293T kidney, HCT116 colon, C42B prostate, and MCF-7 breast  
15 cancer cells, identifying ZFX-binding sites and ZFX-regulated genes throughout the human  
16 genome.

17

## 18 **RESULTS**

### 19 **ZFX binds to CpG island promoter regions.**

20 To profile the genome-wide binding sites of ZFX, we performed two biological ZFX ChIP-seq  
21 replicates using chromatin from HEK293T kidney, HCT116 colon, C42B prostate, and MCF-7  
22 breast cancer cells (Fig. 1A, see Supplemental Fig. S1 for ZFX antibody validation and  
23 Supplemental Table S1 for access information for all genomic datasets). We chose to use these  
24 cancer models because there is a strong link in these 4 cancer types between ZFX expression  
25 and cell proliferation, tumor development, or patient survival. For example, prostate cancer  
26 tissues exhibit significantly higher ZFX expression than benign prostatic hyperplasia and  
27 adjacent tissues and siRNA-mediated knockdown of ZFX suppresses the proliferation of

1 prostate cancer cells and reduces the number of colonies in colony forming assays (Jiang et al.  
2 2012a). Similarly, expression of ZFX is high in advanced invasive breast cancers and  
3 knockdown of ZFX reduces the proliferation rate of breast cancer cells (Yang et al. 2014). High  
4 expression of ZFX promotes tumor growth of colon cancer cells and colorectal cancer patients  
5 with high ZFX expression have poorer overall and disease-free survival (Jiang and Liu 2015).  
6 Moreover, knockdown of ZFX suppresses proliferation and invasion of colon cancer cell lines  
7 (Jiang and Liu 2015). Finally, ZFX is significantly upregulated in renal cell carcinomas (RCC)  
8 and has been suggested to be a strong predictor for prognosis of RCC patients (Li et al. 2015).  
9 Also, knockdown of ZFX expression in renal carcinoma cells results in significantly inhibited  
10 proliferation and cell cycle progression (Fang et al. 2014a).

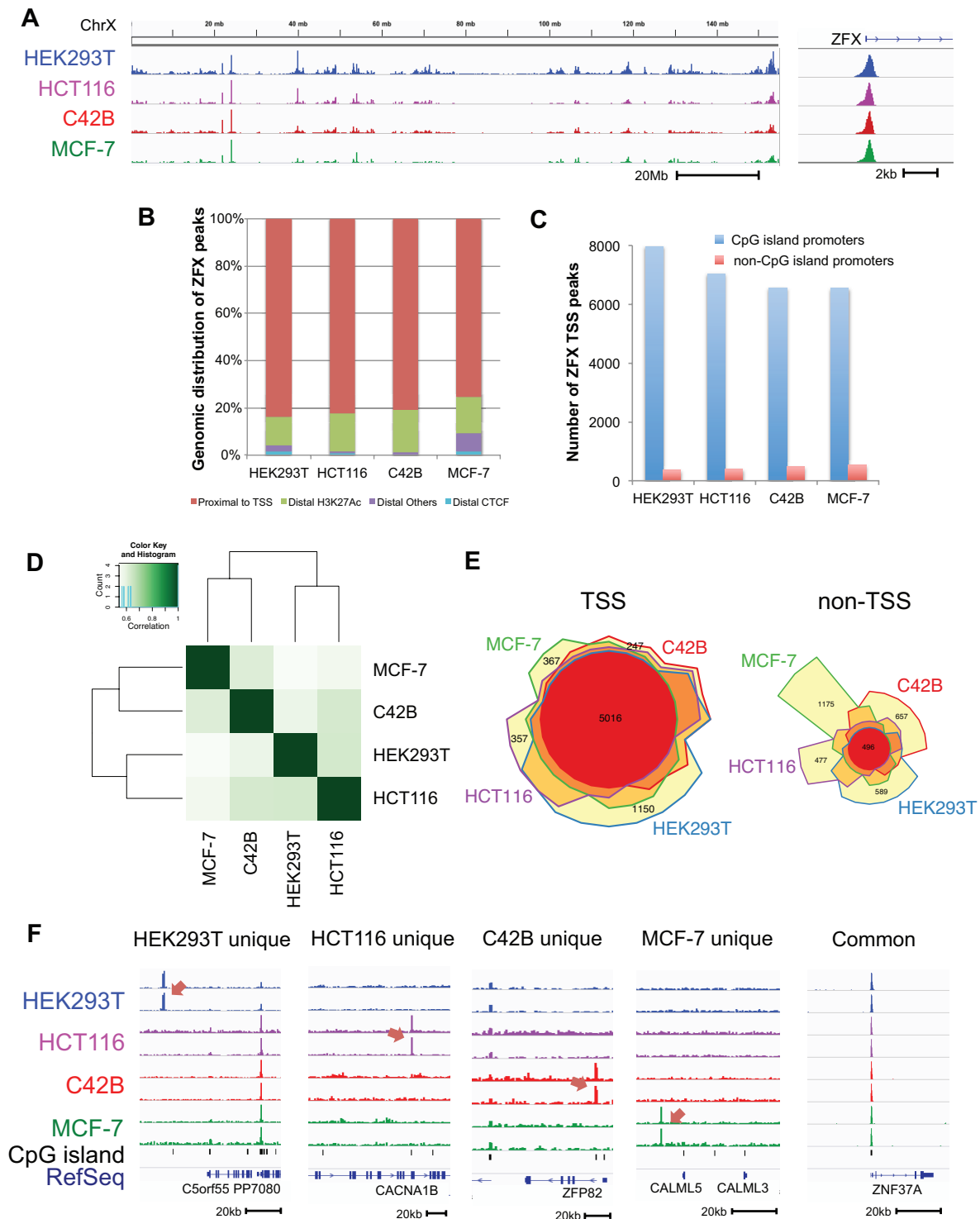
11 We identified ~9,000 reproducible ZFX binding sites in each cancer cell line (HEK293T:  
12 9,955, HCT116: 9,039, C42B: 8,708, MCF-7: 9,382). Annotation of the ZFX binding sites with  
13 respect to different genomic regions showed that ~80% of the sites are located in a promoter  
14 region ( $\pm 2$ kb of a TSS). In each of the cell types examined, only ~1,000 sites are located in  
15 distal elements (i.e. distal sites having H3K27ac or CTCF peaks or other distal sites that are not  
16 marked with H3K27ac or CTCF) (Fig. 1B). To further classify the promoter binding sites, we  
17 determined if ZFX preferentially binds to housekeeping, CpG island promoters or to more cell  
18 type-specific, non-CpG island promoters. We found that the majority of the ZFX peaks are in  
19 CpG island promoters (Fig. 1C). In fact, we identified more than 13,000 CpG island promoters  
20 that are bound by ZFX in the union of the 4 cell lines, with ~60% of all active CpG island  
21 promoters in a given cell type being bound by ZFX, including a strong peak at the promoter of  
22 the ZFX gene (Fig. 1A right panel, Supplemental Table S2, Supplemental Table S3A-D).

23

#### 24 **The ZFX binding pattern at promoter regions is very similar in different cancer types.**

25 Many oncogenic TFs bind to distinct cell type-specific distal regulatory elements in different  
26 types of tumors (Rhie et al. 2016). However, the majority of ZFX peaks are in promoter regions,  
27 suggesting that ZFX may bind to regulatory elements that are common to all cell types, rather

1 than to cell type-specific regulatory elements. To further analyze the ZFX binding patterns, we  
2 compared the ZFX binding sites in the 4 cancer cell lines; the binding patterns are similar to  
3 each other in general (correlation coefficient  $>0.5$ ) (Fig. 1D). We then separated the peaks into  
4 TSS proximal and non-TSS ( $>2\text{kb}$  from a TSS). We found that the ZFX binding sites in promoter  
5 regions are largely shared among the 4 cell lines, with ZFX commonly binding to more than  
6 5,000 promoter peaks in all 4 cell lines (Fig. 1E, Supplemental Table S3E). In contrast, the distal  
7 sites bound by ZFX are not always the same in the different cell types. We note that both the  
8 common and the cell type-specific ZFX binding sites are robust and reproducible (Fig. 1F).



1  
 2 **Figure 1. Genome-wide ZFX binding profiles in multiple types of human tumors.** (A)  
 3 Shown is ZFX ChIP-seq data for a ~150 Mb region of Chromosome X for HEK293T kidney,  
 4 HCT116 colon, C42B prostate, and MCF-7 breast cancer cells (left panel) and for a ~6 kb  
 5 region near the ZFX promoter (right panel). (B) The percentage of ZFX binding sites in

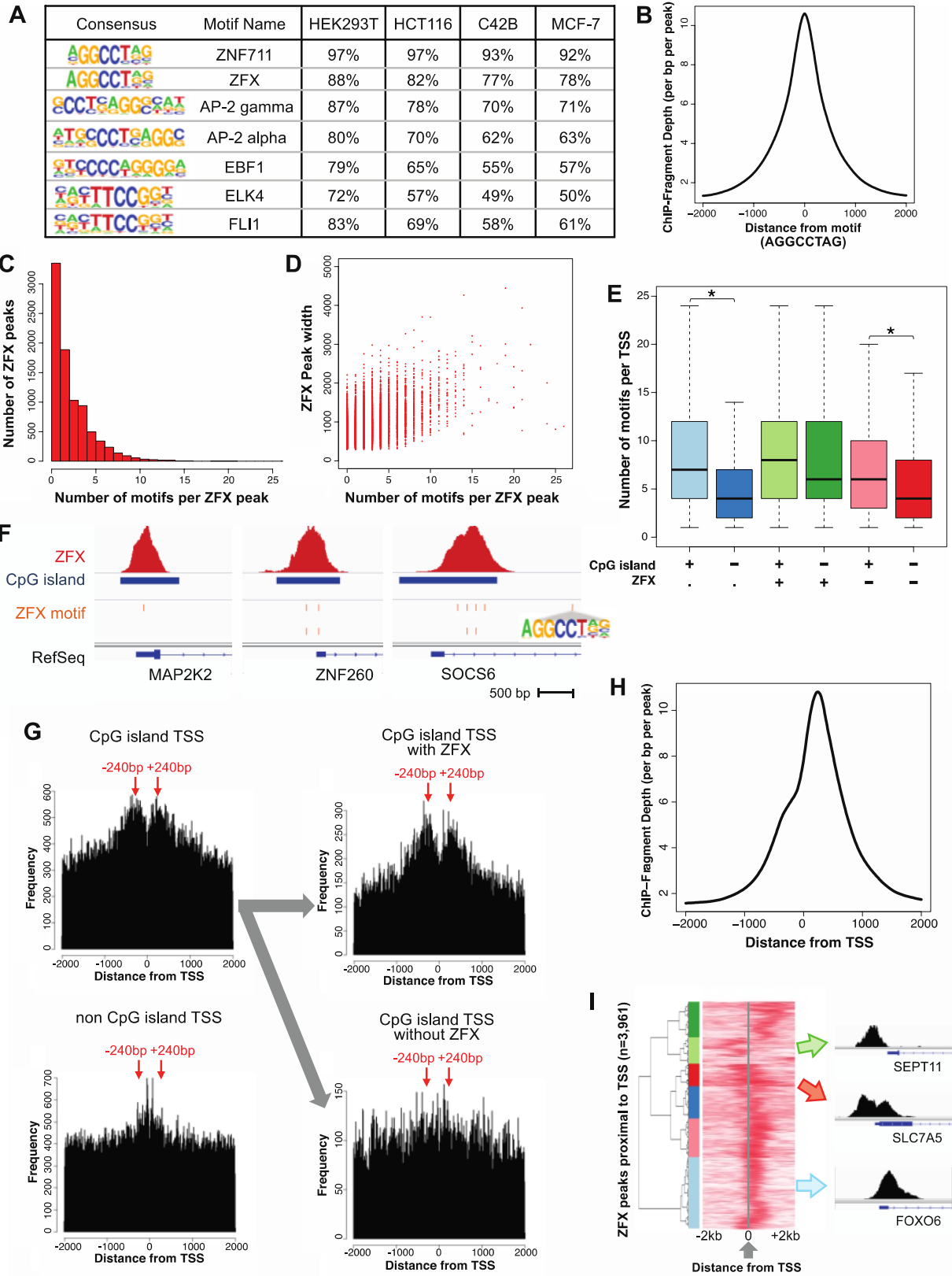
1 promoters ( $\pm 2$ kb from the TSS), distal enhancers (H3K27ac), distal insulators (CTCF not in  
2 enhancers), and at other locations is shown for ZFX ChIP-seq data for 4 cell types. (C) The  
3 number of ZFX binding sites located in CpG island promoters vs non-CpG island promoters is  
4 shown for the 4 cell types. (D) Shown is a heatmap of the ChIP-seq signal correlation for ZFX  
5 binding sites in the 4 tumor types. (E) Shown are Venn diagrams of ZFX binding sites near  
6 promoters (left) and distal regions (right) for HEK293T kidney, HCT116 colon, C42B prostate,  
7 and MCF-7 breast cancer cells. (F) Examples of cell-type-specific and common ZFX binding  
8 sites.

9  
10 **ZFX motifs are enriched at 240bp up and downstream of the TSS in CpG island**  
11 **promoters, but ZFX prefers to bind downstream of the TSS.**

12 To determine the preferred binding motif for ZFX, we performed motif analyses using 20bp  
13 windows from ZFX peak summits. ZFX has 9 properly spaced zinc fingers; because a zinc  
14 finger can bind to 3 nucleotides of DNA (Desjarlais and Berg 1992), one would expect a 27  
15 nucleotide motif if all of these fingers are involved in DNA binding. However, the motif we  
16 identified in the majority of the ZFX peaks was only 8 nucleotides (AGGCCTAG) with a strong 6  
17 nucleotide consensus (AGGCCT) (Fig. 2A). This motif was originally identified from ZNF711  
18 ChIP-seq data from the brain tumor cell line SH-SY5Y (Kleine-Kohlbrecher et al. 2010) and from  
19 ZFX ChIP-seq data from mouse embryonic stem cells (Chen et al. 2008). We also identified  
20 several other CT-containing motifs, such as the motif for AP-2. It is possible that multiple sets of  
21 zinc fingers in ZFX may recognize a repeating unit of a short motif. Alternatively, it is possible  
22 that ZFX utilizes only a subset of its fingers to bind DNA; we have previously described this  
23 situation for two artificial 6-finger ZNFs (Grimmer et al. 2014). To further analyze the ZFX  
24 binding preferences, we focused on the AGGCCTAG motif, which is found in >90% of the ZFX  
25 peaks in each cell line. We showed that the motif is centered in the ZFX peaks, suggesting that  
26 this motif directly recruits ZFX (Fig. 2B). However, we also found that some ZFX peaks have  
27 many copies of the motif (Fig. 2C), with larger peaks having, in general, more copies (Fig. 2D).

1 Next, we asked whether this motif is found in all promoter regions or only in promoters bound by  
2 ZFX. Surprisingly, we found that 48,373 out of 57,820 promoters of all known genes in the  
3 human genome have at least one copy of this motif  $\pm 2$ kb from the TSS. In fact, there are  
4 multiple copies of this motif in most promoter regions, with more motifs in CpG island promoters  
5 (Fig. 2E). We note that promoters bound by ZFX have, on average, slightly more motifs than  
6 promoters not bound by ZFX. However, the number of motifs does not necessarily correspond  
7 to the number of ZFX peaks in a promoter. Some promoters, like *MAP2K2*, have one motif and  
8 one binding site. Other promoters, such as *ZNF260* and *SOCS6*, have multiple copies of the  
9 motif, but not all of the motifs are bound by ZFX (Fig. 2F)

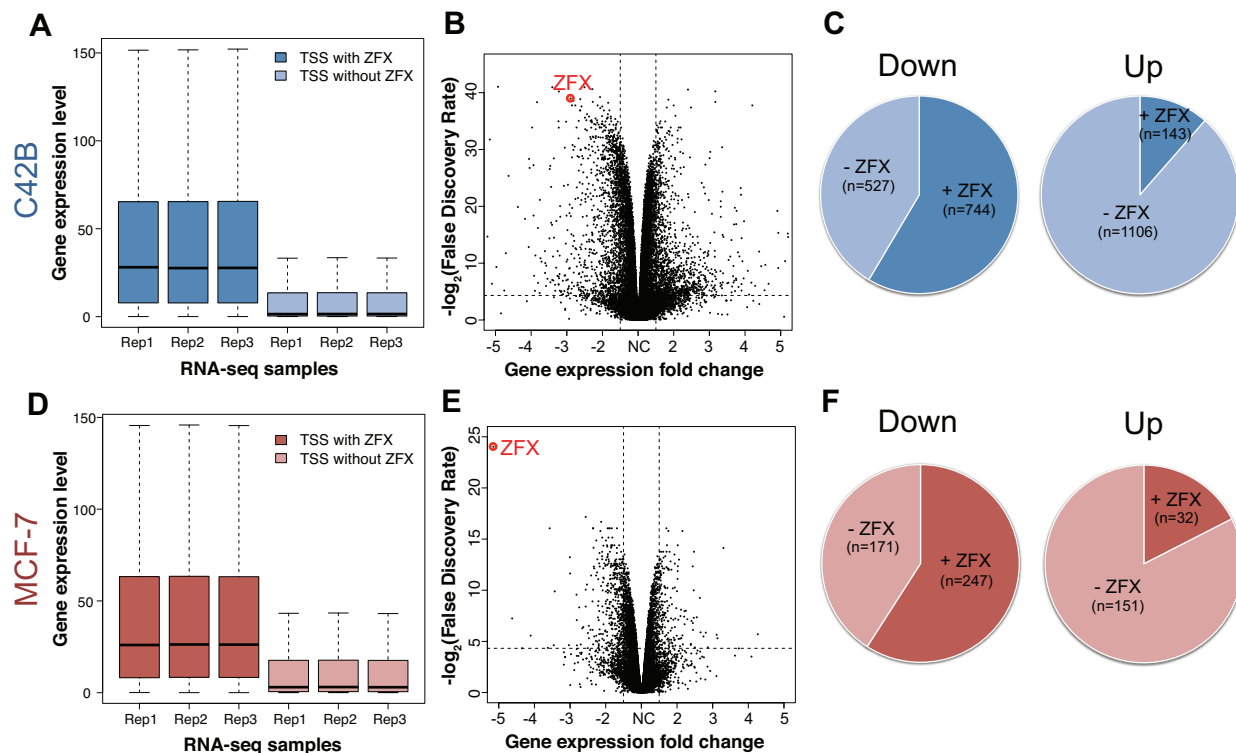
10 We further investigated the distribution of the AGGCCTAG motif relative to the TSS. We  
11 found that this motif is symmetrically enriched  $\pm 240$ bp from the TSS, with CpG island promoters  
12 showing greater enrichment than non-CpG island promoters and CpG island promoters bound  
13 by ZFX showing greater enrichment than those not bound by ZFX (Fig. 2G). The symmetrical  
14 distribution of the motif  $\pm 240$ bp relative to the TSS is unusual and specific for the ZFX motif and  
15 not scrambled variants (Supplemental Fig. S2). Therefore, we asked if ZFX binding has a  
16 similar distribution or, as for most TFs, if ZFX binds mainly upstream of the TSS. Surprisingly,  
17 we found that ZFX has a stronger preference for binding at +240bp downstream of the TSS  
18 (Fig. 2H). The reason that there are some peaks at -240bp could be due to the inclusion of  
19 bidirectional promoters. To test this hypothesis, we selected the ZFX binding sites that have  
20 only one known TSS within a  $\pm 2$ kb window ( $n=3,961$ ) and plotted heatmaps centered to each  
21 TSS (Fig. 2I, Supplemental Table S3F). Most of the time, ZFX is bound at +240bp of the TSS  
22 (e.g. *FBXO6*). However, there are a small number of promoters (<10%) that have a ZFX peak at  
23 -240bp, (e.g. *SEPT11*) and some promoters that have two ZFX peaks symmetrically located on  
24 either side of the TSS (e.g. *SLC7A5*). We conclude that the presence of motif, which is  
25 symmetrically enriched  $\pm 240$ bp from the TSS may be necessary, but is not sufficient, to recruit  
26 ZFX because ZFX has a higher binding frequency at +240bp than at -240bp.



1 **Figure 2. Characterization of ZFX motifs and binding sites.** (A) Shown are motifs enriched  
2 at summits of the ZFX binding sites and the percentage of ZFX peaks having each motif for  
3 HEK293T kidney, HCT116 colon, C42B prostate, and MCF-7 breast cancer cells; the same  
4 motifs were identified in the set of ZFX peaks located near a TSS and the set of distal ZFX  
5 peaks. (B) Shown is the average ZFX ChIP-seq signal in C42B relative to  $\pm 2$ kb from the motif  
6 AGGCCTAG. (C) Shown is a histogram of the number of motifs per ZFX peak. (D) Shown is a  
7 scatterplot of the relationship between the number of motifs per ZFX peak and ZFX peak width.  
8 (E) Shown is the number of AGGCCTAG motifs per TSS region ( $\pm 2$ kb from the TSS) for  
9 different groups of promoters (light blue: CpG island promoters; blue: non-CpG island  
10 promoters; light green: CpG island promoters bound by ZFX; green: non-CpG island promoters  
11 bound by ZFX; light pink: CpG island promoters not bound by ZFX; red: non-CpG island  
12 promoters not bound by ZFX). Comparisons of datasets that show a significant difference  
13 (adjusted p-value  $< 0.05$ ) are marked with an asterisk. (F) Shown is an example of ZFX binding  
14 site and motif position at CpG island promoters having one (*MAP2K2*) or more (*ZNF260*,  
15 *SOCS6*) motifs. (G) Shown is the frequency of AGGCCTAG motifs located  $\pm 2$ kb from the TSS  
16 of CpG island promoters, of non-CpG island promoters, of CpG island promoters bound by ZFX,  
17 and of CpG island promoters not bound by ZFX; a comparison to results obtained using a  
18 scrambled motif can be found in Supplemental Fig. S2. (H) Shown is the average ZFX ChIP-seq  
19 signal  $\pm 2$ kb from the TSS of promoters bound by ZFX in MCF-7. (I) Shown is a heatmap  
20 representing unsupervised clustering of ZFX ChIP-seq signals in MCF-7 cells at promoters  
21 bound by ZFX that only have one TSS in a  $\pm 2$ kb region (n=3,961). Also shown are examples of  
22 ZFX binding sites from the light green cluster (promoters having a ZFX peak only upstream of  
23 the TSS), the red cluster (promoters having a ZFX peak both up and downstream of the TSS),  
24 and the light blue cluster (promoters having a ZFX peak only downstream of the TSS). Genes  
25 from each cluster are listed in Supplemental Table S3F.  
26  
27 **ZFX has properties of a transcriptional activator.**

1 To determine if ZFX functions as a transcriptional activator or repressor, we separately analyzed  
2 expression levels of genes regulated by promoters that are bound by ZFX vs. those promoters  
3 not bound by ZFX. We found that the median expression level of genes with promoters bound  
4 by ZFX is much higher than the median expression level of genes with promoters not bound by  
5 ZFX (Fig. 3A), suggesting that ZFX may be a transcriptional activator. To gain insight into  
6 possible mechanisms by which ZFX might activate transcription, we used transient transfection  
7 with siRNA to knockdown the levels of ZFX in C42B prostate cancer cells and identified 1,271  
8 genes whose expression decreased and 1,249 genes whose expression increased upon  
9 reduction of ZFX levels in C42B cells (FDR <0.05, fold change >1.5) (Fig. 3B and Supplemental  
10 Table S4A). Genes identified as responsive to changes in the level of a TF include both direct  
11 target genes and genes that are in downstream signaling pathways regulated by the direct  
12 target genes (i.e. indirect targets). One approach to identify direct ZFX target genes is to  
13 determine which of the deregulated genes have ZFX binding sites in their promoter regions. We  
14 found that the promoters of 744 of the 1,271 downregulated genes (58.5%) but only 143  
15 promoters of the 1,249 upregulated genes (11.4%) of the upregulated genes are bound by ZFX  
16 in C42B cells (Fig. 3C).

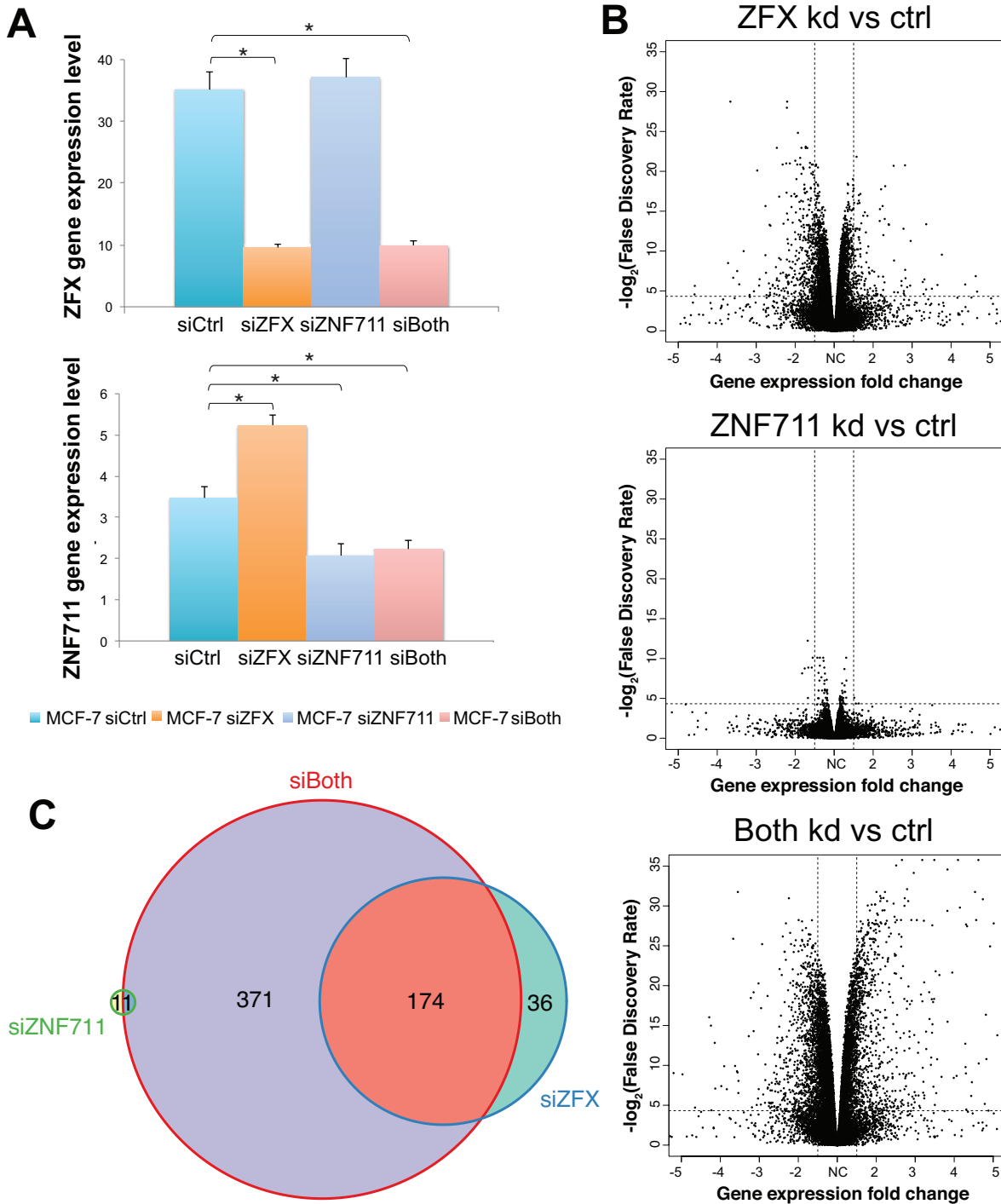
17 We repeated the siRNA experiments in MCF-7 breast cancer cells. Again, we found that  
18 genes having a bound ZFX in their promoter had a median higher expression levels than genes  
19 without a bound ZFX (Fig. 3D). However, when we knocked down ZFX, we identified only 418  
20 genes whose expression decreased and 183 genes whose expression increased (Fig. 3E and  
21 Supplemental Table S4B). Although the number of deregulated genes in the MCF-7 knockdown  
22 experiments is less than in the C42B knockdown experiments, the downregulated genes again  
23 have a higher percentage of promoter-bound ZFX (59.1%) than do the upregulated genes  
24 (17.5%) (Fig. 3F).



1  
2 **Figure 3. The role of ZFX in transcription regulation.** Shown are expression levels of genes  
3 with active promoters bound by ZFX and genes with active promoters not bound by ZFX for  
4 C42B (A) and MCF-7 (D); active promoters are defined by detectable expression of a transcript  
5 from that promoter in that particular cell line. Shown are volcano plots demonstrating differential  
6 gene expression after knockdown of ZFX in C42B (B) and MCF-7 (E). Shown are comparisons  
7 of the percentage of downregulated vs. upregulated genes that have ZFX bound at their  
8 promoters for C42B (C) and MCF-7 (F) cells.

9  
10 One explanation for the smaller effect on the transcriptome of MCF-7 cells could be  
11 inefficient knockdown of ZFX. However, the reduction in ZFX was similar in the siRNA-treated  
12 C42B and MCF-7 cells (Supplemental Fig. S3A). An alternative explanation could be that  
13 another TF is functionally redundant with ZFX in MCF-7. C2H2 ZNFs comprise the largest class  
14 of site-specific DNA-binding proteins encoded in the human genome and have arisen through  
15 gene duplication followed by mutation. Specifically, ZFX is very similar to ZFY and ZNF711  
16 (Supplemental Fig. S3B); ZFX and ZNF711 are both encoded on the X Chromosome, whereas

1 ZFY is located on the Y Chromosome. Overall protein homology is 92% between ZFX and ZFY,  
2 with the zinc finger domains having 97% homology, suggesting that these two proteins may  
3 have fully redundant activities (Schreiber et al. 2014). However, MCF-7 are female breast  
4 cancer cells and thus do not express ZFY (we note that although C42B are male, they also do  
5 not express ZFY). There is 55% identity between the entire ZFX and ZNF711 proteins, with the  
6 zinc finger domains having 87% identity, also suggesting that these two TFs may have similar  
7 functions. ZNF711 is not expressed in C42B, but it is expressed in MCF-7 with the expression  
8 slightly increasing upon knockdown of ZFX (Supplemental Fig. S3C). To investigate the  
9 possible functional redundancy of these two TFs, in an independent set of siRNA experiments  
10 than shown in Fig. 3, we knocked down ZFX, ZNF711, or both TFs simultaneously in MCF-7  
11 (Supplemental Table S4C). We again observed an increase in ZNF711 expression when ZFX  
12 was knocked down (Fig. 4A). Several thousand genes changed upon knockdown of ZFX but  
13 very few genes changed upon knockdown of ZNF711. However, we detected more differentially  
14 expressed genes ( $n=1,847$ , FDR  $<0.05$ , fold change  $>1.5$ ) upon knockdown of both ZFX and  
15 ZNF711 in MCF-7 cells than in the combined single knockdown experiments (Fig. 4B). In the  
16 double knockdown, we identified 371 additional downregulated genes that have ZFX bound to  
17 their promoters in MCF-7 cells (Fig. 4C). These findings suggest that ZNF711 may substitute for  
18 ZFX when ZFX expression is reduced by knockdown in MCF-7 cells; similar results were found  
19 when ZNF711 and ZFX were knocked down in HEK293T cells (Supplemental Fig. S4).

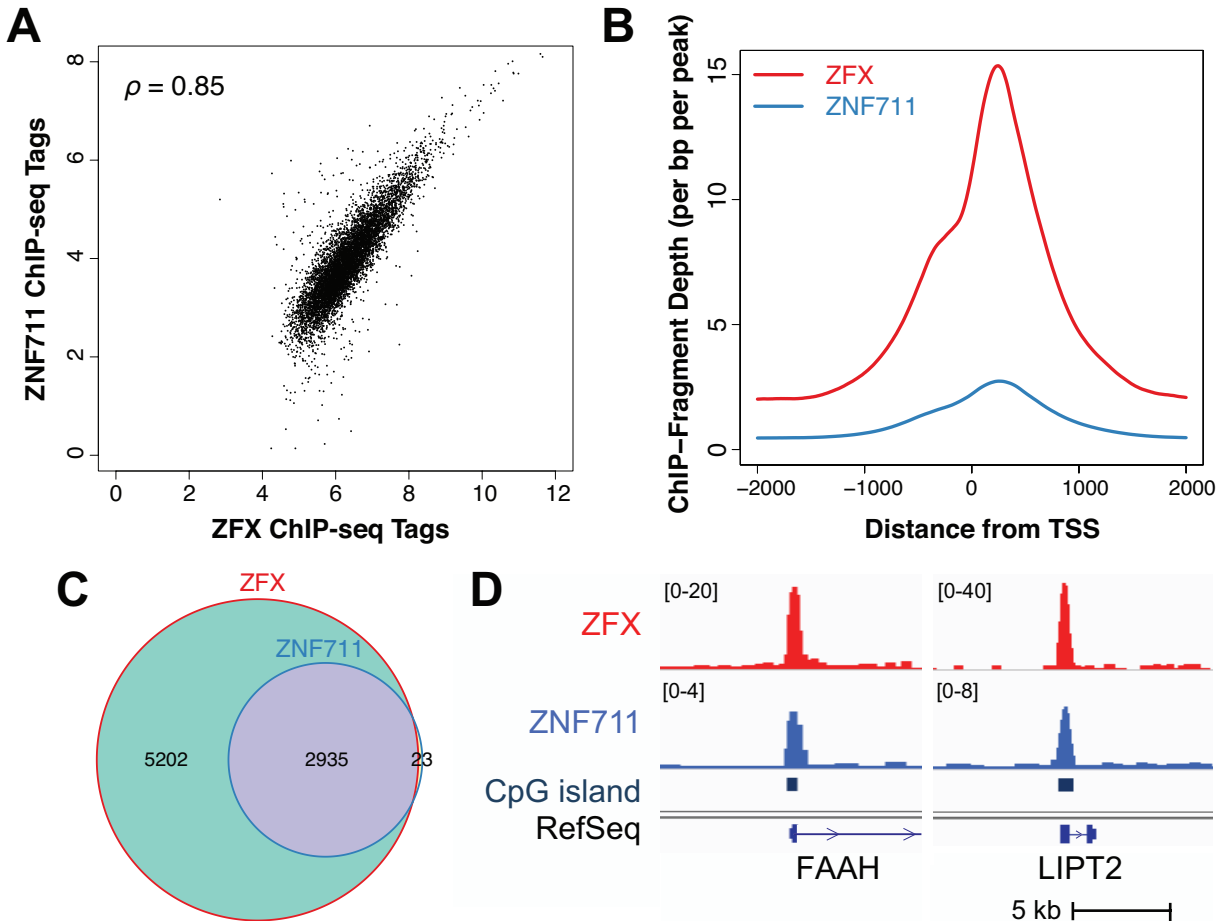


1  
 2 **Figure 4. Combinatorial knockdown of ZFX and ZNF711 in MCF-7 cells.** (A) ZFX and  
 3 ZNF711 expression levels upon knockdown of ZFX, ZNF711 or both TFs in MCF-7.  
 4 Comparisons of datasets that show a significant difference are marked with an asterisk (FDR  
 5 <0.05). (B) Shown are volcano plots demonstrating differential gene expression after

1 knockdown of ZFX, ZNF711, or both TFs. (C) Shown is a comparison of downregulated genes  
2 having ZFX bound at their promoters after knockdown of ZFX, ZNF711, or both TFs.

3  
4 To gain further support for the hypothesis that ZFX and ZNF711 are functionally  
5 redundant, we performed ChIP-seq for ZNF711 in MCF-7 cells. We identified 2,708 ZNF711  
6 binding sites genome-wide (Supplemental Table S5), 98.6% of which overlapped with ZFX  
7 binding sites in MCF-7 cells. As expected, ZNF711 binding sites were also enriched at CpG  
8 island promoter regions, both in MCF-7 cells and in SH-SY5Y cells (Supplemental Fig. S5A-B).  
9 Unlike the ZFX binding pattern in the 4 cancer cell types, ZNF711 appears to have more cell  
10 type-specific binding sites (Supplemental Fig. S5). A comparison of ChIP-seq tags shows  
11 enrichment of ZNF711 at the promoter regions bound by ZFX, but the ZNF711 ChIP-seq signals  
12 are weaker than the ZFX ChIP-seq signals in MCF-7 cells (Fig. 5A), perhaps due to differences  
13 in expression levels of the two TFs (see Fig. 4A). Importantly, the ZNF711 binding sites are also  
14 enriched at +240bp downstream of the TSS (Fig. 5B). A comparison of the set of ZNF711-  
15 bound active promoters to the set of ZFX-bound active promoters revealed that 99% of  
16 promoters bound by ZNF711 are also bound by ZFX (Fig. 5C). This binding site redundancy  
17 supports the hypothesis that ZNF711 can substitute for ZFX when ZFX is knocked down. For  
18 example, *FAAH* and *LIPT2* show statistically significantly reduced expression in the double  
19 knockdown cells (FDR <0.05) but not in the single knockdown cells and the promoters of the  
20 *FAAH* and *LIPT2* genes are bound by both ZFX and ZNF711 in MCF-7 cells (Fig. 5D).

21



1  
2 **Figure 5. Comparison of ZFX and ZNF711 binding at promoters in MCF-7 cells.** (A) Shown  
3 is a scatter plot of the normalized ZFX vs. ZNF711 ChIP-seq tags for the union set of ZFX and  
4 ZNF711 binding sites found in promoters ( $\rho = 0.85$ , Spearman's rank correlation coefficient). (B)  
5 Shown is the average ZFX (red) and ZNF711 (blue) ChIP-seq signal  $\pm 2\text{kb}$  from the TSS of  
6 promoters bound by ZNF711 in MCF-7. (C) Shown is a comparison of expressed genes having  
7 ZFX or ZNF711 bound at their promoters in MCF-7. (D) Shown are examples of ZFX and  
8 ZNF711 binding at CpG island promoters for two genes downregulated upon knockdown of both  
9 ZFX and ZNF711 in MCF-7.

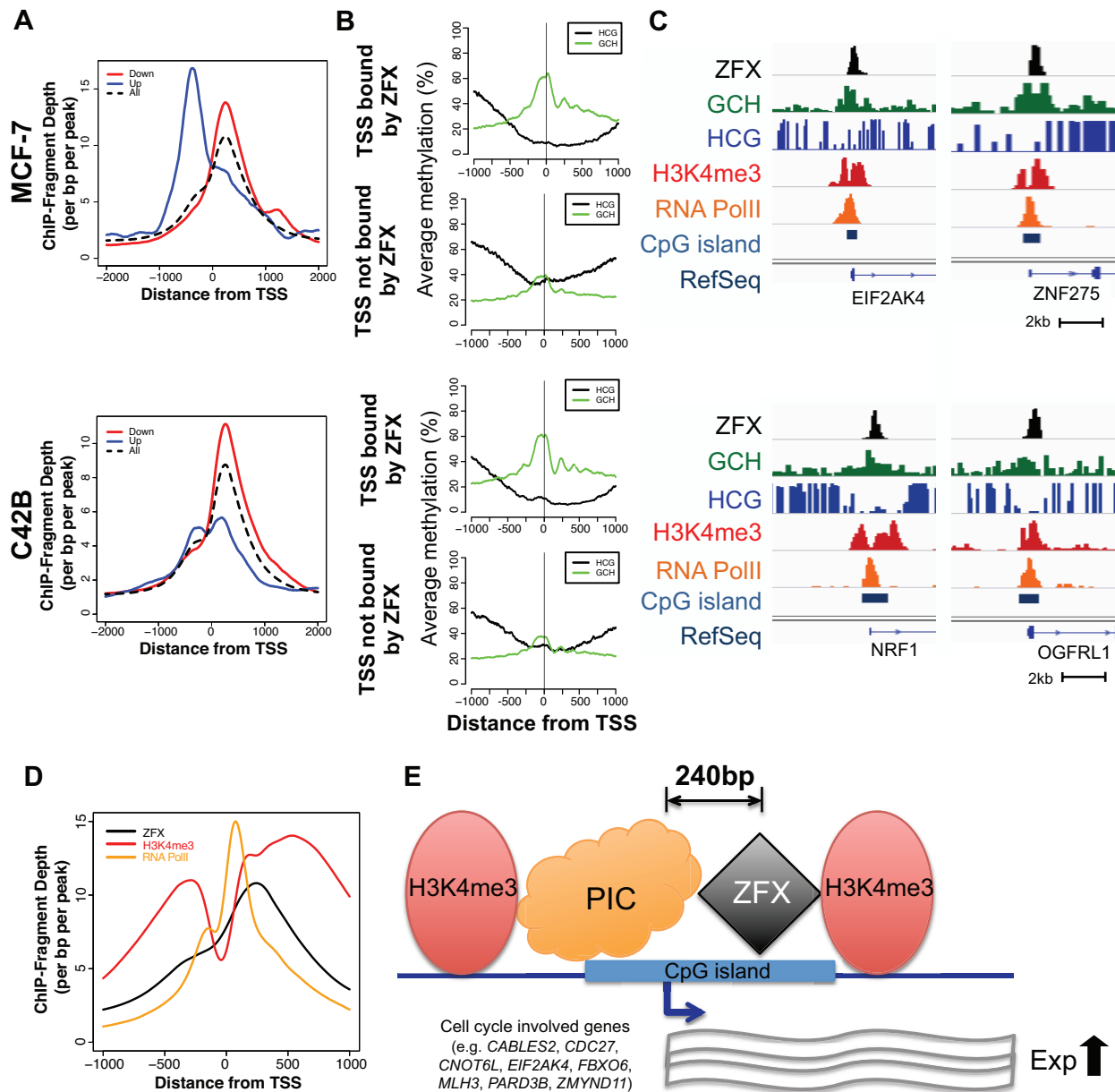
10  
11 **ZFX binds adjacent to the first phased nucleosome downstream of the TSS.**

12 As shown above, ZFX motifs are enriched at 240bp both upstream and downstream of the TSS  
13 but the majority of ZFX peaks are located at +240bp. However, it was possible that the

1 promoters activated by ZFX have a distinct binding pattern as compared to all ZFX peaks.  
2 Therefore, we compared ZFX binding patterns at promoters of all expressed genes vs. genes  
3 downregulated or upregulated upon ZFX knockdown (Fig. 6A). Interestingly, the downregulated  
4 genes (genes that may be directly activated by ZFX) show a nicely positioned ZFX bound at  
5 +240bp. In contrast, the upregulated genes (genes that may be repressed or indirectly regulated  
6 by ZFX) are not as highly enriched for ZFX at the +240bp position; rather there were more  
7 peaks at the -240bp position for upregulated genes (especially in MCF-7 cells). Taken together,  
8 these results suggest that ZFX may be a positive activator only when bound at +240bp of the  
9 TSS.

10 The preferred location of ZFX at +240bp is a unique position for a DNA binding TF. To  
11 further characterize the relationship between the bound ZFX and open chromatin surrounding  
12 the TSS, we used Nucleosome Occupancy and Methylome Sequencing (NOME-seq). This  
13 genome-wide method identifies nucleosome-depleted regions (NDRs) and provides single  
14 molecule resolution for both accessibility and DNA methylation, which can very precisely identify  
15 specific TF binding sites (Kelly et al. 2012). When we used NOME-seq to profile accessibility  
16 and DNA methylation in MCF-7 and C42B cells, we found that promoters bound by ZFX have a  
17 more accessible region with lower levels of DNA methylation near the TSS and more highly  
18 phased nucleosomes downstream of the TSS, as compared to promoters that are active in  
19 those cells but not bound by ZFX (Fig. 6B). Although ZFX ChIP-seq does not allow precise  
20 positioning of the bound ZFX, it appears that the summit of the ZFX peak is located in the NDR  
21 downstream of both the TSS and a bound RNA Polymerase II (RNAPII), just upstream of the  
22 first phased nucleosome (Fig. 6C-D). Indeed, >70% of ZFX peaks that have a summit near  
23 +240bp of the TSS overlapped with NDRs called by NOME-seq in MCF-7 and C42B cells  
24 (Supplemental Table S6). We note that although Fig. 6D shows the pattern for all ZFX-bound  
25 promoters in MCF-7 cells, a similar pattern is also seen if the small subset of promoters bound  
26 by ZFX only in MCF-7 cells is analyzed (Supplemental Fig. S6).

27



1  
2 **Figure 6. The relationship between ZFX binding and chromatin structure at promoters.**

3 (A) Shown are average ZFX ChIP-seq signals  $\pm 2$ kb from the TSS of downregulated (red),  
4 upregulated (blue), and all (black) genes bound by ZFX in MCF-7 (top) and C42B (bottom). (B)  
5 Shown is the endogenous DNA methylation (HCG) (black) and the accessibility (GCH) (green)  
6 levels from NOME-seq data  $\pm 1$ kb from the TSS of active promoters bound by ZFX and from the  
7 TSS of active promoters not bound by ZFX in MCF-7 (top) and C42B (bottom). (C) Shown are  
8 examples of ZFX binding sites with ZFX ChIP-seq, NOME-seq, H3K4me3 ChIP-seq, and RNA  
9 Polymerase II ChIP-seq signals in MCF-7 (top) and C42B (bottom). (D) Shown are the average

1 ZFX (black), H3K4me3 (red), and RNA Polymerase II (orange) ChIP-seq signals  $\pm$ 1kb from the  
2 TSS of genes bound by ZFX in MCF-7. (E) A model demonstrating the relationship of ZFX to  
3 other components of CpG island promoter structure. ZFX binds at +240bp in the nucleosome-  
4 depleted region of CpG island promoters, between the general transcription preinitiation  
5 complex (PIC) and the first nucleosome in the transcribed region. When ZFX is bound to this  
6 downstream site, it increases the expression levels of genes involved in cell proliferation; the  
7 wavy lines represent RNA levels.

8

## 9 **DISCUSSION**

10 We have profiled ZFX binding sites genome-wide in kidney, colon, prostate, and breast  
11 cancer cell lines. Unlike many oncogenic TFs that bind to distal elements, ZFX binds to the  
12 majority of CpG island promoters that are active in cancer cells, and many genes with  
13 promoters bound by ZFX were downregulated upon knockdown. Surprisingly, ZFX binds at  
14 +240bp downstream of the TSS of ZFX-regulated promoters, in the open chromatin region  
15 between the TSS and the first downstream nucleosome. Genome-wide analyses of open  
16 chromatin and DNA methylation demonstrate that promoters bound by ZFX have a more  
17 accessible region with lower levels of DNA methylation near the TSS and more highly phased  
18 nucleosomes downstream of the TSS, as compared to promoters that are active but not bound  
19 by ZFX. Taken together, these findings support the hypothesis that ZFX may act as a  
20 transcription activator and play an important role in maintaining a nucleosome-free promoter  
21 region and/or in positioning nucleosomes at many CpG island promoters in the human genome.

22 In accordance with findings from previous studies (Fang et al. 2012; Jiang et al. 2012a;  
23 Fang et al. 2014a; Yang et al. 2014; Yang et al. 2015), we found that the top categories of  
24 genes affected by ZFX knockdown are related to the cell cycle, to the DREAM complex (which  
25 contains E2F family members), and/or to genes regulated by E2F family members  
26 (Supplemental Fig. S7). For example, cell division cycle 27 homolog (*CDC27*), a component of  
27 the anaphase promoting complex/cyclosome that ubiquitinates Cyclin B (Lee and Langhans

1 2012), and MutL homolog 3 (*MLH3*), which is implicated in maintaining DNA replication and  
2 mismatch repair (Lipkin et al. 2000), both have a ZFX binding site downstream of the TSS and  
3 their expression levels are decreased upon ZFX knockdown in both MCF-7 and C42B. Thus,  
4 our results support the previous studies that ZFX expression is linked to cell proliferation. We  
5 also mapped ZFX binding sites in human normal prostate epithelial cells (PrEC) (Supplemental  
6 Fig. S8, Supplemental Table S3G). Although the ZFX binding pattern in normal prostate cells is  
7 very similar to the ZFX binding pattern in the prostate cancer cell line, the ChIP-seq peaks in  
8 PrEC were considerably smaller, suggesting that high ZFX expression in cancer cells may result  
9 in stronger binding and higher expression of genes involved in cell proliferation.

10

### 11 **What distinguishes a “functional” bound ZFX from a “non-functional” bound ZFX?**

12 Although ZFX binds to ~8,000-9,000 promoters in a given cell type, siRNA-mediated knockdown  
13 of ZFX resulted in altered activity of only a subset of these promoters. Although the ZFX motif is  
14 enriched symmetrically  $\pm 240$ bp from the TSS, our results suggest that ZFX acts as a  
15 transcriptional activator only when bound at +240bp. However, not all promoters with a ZFX  
16 bound at +240bp responded in the knockdown experiments. There are several possibilities that  
17 can explain why reduction of ZFX levels only affected a small percentage of promoters to which  
18 it is bound. First, it is possible that the incomplete knockdown of ZFX by siRNA treatment may  
19 have prevented the identification of all ZFX-regulated genes. In the future, knockout of ZFX by  
20 CRISPR/Cas9 could be performed to determine if a larger set of ZFX-regulated genes is  
21 identified upon complete removal of ZFX from the cell. It is also possible that co-binding of ZFX  
22 with other TFs is required for ZFX to regulate transcription. Finally, there is the possibility that  
23 other TFs are functionally redundant with ZFX. We tested the possibility that ZNF711, a TF that  
24 shares high homology and a similar DNA binding motif to ZFX, can substitute for ZFX. Indeed,  
25 ZNF711 binding sites are shared by ZFX binding sites and we identified several hundred  
26 additional ZFX-bound target genes that are downregulated upon knockdown of both ZFX and

1 ZNF711; perhaps complete loss of both proteins is required to observe the full effect of ZFX on  
2 the transcriptome.

3  
4 **How does ZFX regulate transcription of CpG island promoters from a downstream**  
5 **position?**

6 There are two main types of transcriptional regulatory elements, promoters and enhancers.  
7 Unlike enhancers, which are located far from a TSS, are cell-type specific, and are closely  
8 linked to cellular identity (Rhie et al. 2014; Rhie et al. 2016), promoter elements are crucial for  
9 basal transcription of genes. The majority of human promoters are classified as CpG island  
10 promoters; these promoters are generally active in most cell types (Deaton and Bird 2011).  
11 Interestingly, ZFX is bound to most of the active CpG island promoters in a given cell. Other TFs  
12 have been shown to preferentially bind to CpG island promoters (Rozenberg et al. 2008; Jaeger  
13 et al. 2010; Landolin et al. 2010; Blattler et al. 2013). However, these CpG island-binding TFs  
14 tend to bind upstream of the TSS (Cao et al. 2011), whereas ZFX binds 240bp downstream of  
15 +1.

16         Comparison of the binding patterns of ZFX with RNAPII and H3K4me3 revealed that the  
17 bound ZFX is slightly downstream of the RNAPII signal and slightly upstream of the downstream  
18 peak of H3K4me3 signal (Fig. 6D). Although it is possible that ZFX regulates release of a  
19 paused RNAPII, factors implicated in this process are usually bound at +30 to +40bp relative to  
20 the TSS (Krumm et al. 1995; Shao and Zeitlinger 2017). We also note that it is unlikely that ZFX  
21 is involved in splicing, since the binding site can be in the first exon or at various places within  
22 the first intron, depending on the size of the first exon. Moreover, RNAPII and H3K4me3 signals  
23 are more enriched at ZFX-bound promoters than at promoters not bound by ZFX (Supplemental  
24 Fig. S9); these findings are consistent with a role for ZFX in transcriptional (not post-  
25 transcriptional) regulation. We note that ZFX does appear to be uniquely placed in relation to  
26 the phased nucleosomes located downstream of the TSS and ZFX-bound promoters have a  
27 more open region near the start site than do promoters that are active but not bound by ZFX.

1 Therefore, we postulate that perhaps ZFX is involved in creating a nucleosome-depleted region  
2 in CpG island promoters by recruiting the transcription pre-initiation complex and/or in  
3 positioning the downstream nucleosomes (Fig. 6E).

4  
5 In conclusion, we have profiled ZFX binding sites genome-wide in kidney, colon,  
6 prostate and breast cancer cells and found that ZFX may function as a transcriptional activator,  
7 regulating as many as 60% of active CpG island promoters. Because tumor cells require  
8 abnormally high levels of transcription for their inappropriate proliferation and survival, increased  
9 overall transcription mediated by ZFX may explain why this TF has been correlated with poor  
10 prognosis for a variety of human cancers (Jiang and Liu 2015; Li et al. 2015; Yang et al. 2015;  
11 Yan et al. 2016). Future studies will focus on testing the hypothesis that ZFX contributes to  
12 overall high levels of transcription via a role in maintaining the large NDR found at the ZFX-  
13 bound promoters. Our demonstration that ZNF711, a TF highly related to ZFX, has a similar  
14 binding pattern suggests that we may have identified a new class of regulatory TFs. Further  
15 characterization of these TFs and their role in gene regulation will provide important new  
16 insights into transcription, chromatin structure, and the regulation of the cancer transcriptome.

17

## 18 **METHODS**

19 **Cell culture.** The human kidney HEK293T (ATCC# CRL-3216), colon HCT116 (ATCC# CCL-  
20 247), and breast cancer MCF-7 (ATCC# HTB-22) cells were obtained from ATCC  
21 (<https://www.atcc.org/>). The human prostate cancer C42B cells were obtained from ViroMed  
22 Laboratories (Minneapolis, MN, USA). The human normal prostate epithelial cells (PrEC) were  
23 obtained from Lonza (Cat# CC-2555, Lonza, Walkersville, MD, USA) The corresponding  
24 medium of each cell lines (DMEM for HEK293T, McCoy's 5A for HCT116, RPMI 1640 for C42B,  
25 DMEM for MCF-7) was supplemented 10% fetal bovine serum (Gibco by Thermo Fisher  
26 Scientific, Waltham, MA, USA) and 1% penicillin and streptomycin at 37°C with 5% CO<sub>2</sub>. PrEC  
27 cells were grown with PrEGM Bullet Kit (Prostate Epithelial Cell Growth Medium with

1 supplements), which were obtained from Lonza (Cat# CC-3166, Walkersville, MD, USA). All cell  
2 stocks except primary cells, PrEC were authenticated at the USC Norris Cancer Center cell  
3 culture facility by comparison to the ATCC and/or published genomic criteria for that specific cell  
4 line; all cells are documented to be free of mycoplasma. Pre-authentication was performed at  
5 Lonza (Walkersville, MD, USA) for PrEC and the first passage from the cultured cells were used  
6 for ChIP assay.

7  
8 **ChIP-seq.** ZFX ChIP assays were performed in HEK293T, HCT116, C42B, MCF-7, and PrEC  
9 cells using a ZFX antibody (Cat# L28B6 Lot# 1, Cell Signaling Technology, Boston, MA, USA),  
10 according to ENCODE standards (Blattler et al. 2014). The ZFX antibody was validated using  
11 siRNAs, followed by western blots to demonstrate loss of the detected protein band  
12 (Supplemental Fig. S1). ZNF711 ChIP-seq experiments in MCF-7 cells were performed using  
13 antibodies from two different rabbits that were generated against ZNF711 amino acids 1-358;  
14 these antibodies have been previously used in ChIP-seq and were provided by Dr. Kristian  
15 Helin (Kleine-Kohlbrecher et al. 2010). H3K4me3 and RNAPII ChIP-seq experiments in C42B  
16 cells were performed using antibodies from Cell Signaling Technology (Cat# 9751S, Boston,  
17 MA, USA) for H3K4me3 and BioLegend (Cat# 664906, San Diego, CA, USA) for RNAPII. Each  
18 ZFX/ZNF711 ChIP-seq experiment in cancer cells was performed using two biological replicates  
19 and ChIP-seq libraries were sequenced on an Illumina HiSeq. All ChIP-seq data were mapped  
20 to hg19 and peaks were called using MACS2 (Zhang et al. 2008) with the IDR tool  
21 (<https://github.com/nboley/idr>) after preprocessing data with the ENCODE3 ChIP-seq pipeline  
22 (<https://www.encodeproject.org/chip-seq/>). ZFX and ZNF711 binding sites are listed in  
23 Supplemental Tables S3 and S5. A detailed description of ChIP-seq analyses can be found in  
24 Supplemental Methods.

25  
26 **Motif analyses.** To discover de novo motifs enriched in the ChIP-seq peaks, we collected  
27 sequences of 20bp windows of the ZFX peak summits and used MEME version 4.10.1 (Bailey

1 and Elkan 1994) with a minimum motif width of 6 and a maximum motif width of 12, scanning  
2 both strands of the DNA sequences. The discovered motifs were very similar to the known  
3 motifs for ZNF711 and ZFX; AGGCCTAG motif found from HOMER  
4 (<http://homer.ucsd.edu/homer/>) (Heinz et al. 2010) was originally identified from ZNF711 ChIP-  
5 seq in SH-SY5Y (Kleine-Kohlbrecher et al. 2010) and ZFX ChIP-seq in mouse embryonic stem  
6 cells (Chen et al. 2008). Therefore, we used known motifs to scan ZFX and ZNF711 binding  
7 sites in 4 cell types using findMotifsGenome.pl script from HOMER to identify the enriched  
8 motifs and calculate the percentage of regions with the motifs (Fig. 2A). The motifs reported in  
9 Fig. 2A are the enriched motifs (FDR <0.05) found in more than 50% of ZFX peaks (sequences  
10 of 20bp windows of the ZFX peak summits) in each cell types. To further examine motif  
11 distribution in promoters, we compared the ZFX motif (AGGCCTAG), 10 randomly scrambled  
12 motifs having the same nucleotide composition as the ZFX motif, and the ETS motif  
13 (Supplemental Fig. S2).

14

15 **siRNA knockdown, RT-qPCR, and RNA-seq.** For transient knockdown, cells were transfected  
16 in triplicate with 100nM of siRNA oligonucleotides targeting human ZFX (Cat# L006572000005),  
17 ZNF711 (Cat# L008444020005) or control oligonucleotides (Cat# D0018101005) using SMART  
18 pool Dharmafect transfection reagent 3 (Cat# T200301) for C42B and reagent 1 (Cat# T200101)  
19 for MCF-7 (Dharmacon, Lafayette, CO, USA). Cells were incubated for 24 hours and  
20 transfected again with the same concentration of siRNAs and the incubation was continued for  
21 an additional 24 hours. RNA was extracted using TRIzol reagent (Cat# 15596-018, Thermo  
22 Fisher Scientific, NY, USA) following the manufacturer-suggested protocol. cDNA was  
23 synthesized using the SuperScript® VILO™ cDNA Synthesis Kit (Cat# 11754-050, Life  
24 technologies, Carlsbad, CA, USA). RNA-seq libraries were made using KAPA Stranded mRNA-  
25 Seq Kit with KAPA mRNA Capture Beads (Cat# KK8421, Kapa Biosystems, Woburn, MA, USA)  
26 and sequenced on an Illumina HiSeq. To remove batch effects, matched controls and  
27 knockdown samples were prepared and sequenced at the same time. Differentially expressed

1 genes were selected using the Gene Specific Algorithm from Partek® Flow® software with the  
2 upper quartile normalization method (Partek Inc., St. Louis, MO, USA). An FDR cut-off of 0.05  
3 was used to select statistically significantly differently expressed genes. Differentially expressed  
4 genes with absolute fold change >1.5 are listed in Supplemental Table S4.

5  
6 **NOMe-seq.** The NOMe-seq method is a combination of the genome-wide identification of open  
7 chromatin regions plus whole genome bisulfite sequencing (to identify methylated DNA). The  
8 first step of the method is based on the treatment of chromatin with the M.CviPI  
9 methyltransferase. This enzyme methylates Cs in the context of GpC dinucleotides. GpC<sup>m</sup> does  
10 not occur in the human genome (the vast majority of DNA methylation in the human genome is  
11 at CpG dinucleotides, not GpC dinucleotides) and therefore there is no endogenous background  
12 of GpC<sup>m</sup>. The enzyme can only methylate GpC dinucleotides that are accessible in the context  
13 of chromatin, i.e. not protected by nucleosomes or other proteins that are tightly bound to the  
14 chromatin. The second step of the method involves bisulfite treatment of the M.CviPI-  
15 methylated chromatin, which converts unmethylated Cs to Ts. This allows the distinction of GpC  
16 from GpC<sup>m</sup> and CpG from C<sup>m</sup>pG. Using this method, NDRs are defined as regions having  
17 increased GpC<sup>m</sup> methylation over background (i.e. they are in open regions and thus were  
18 methylated by the M.CviPI enzyme) that are at least 140 bp in length. Because the bisulfite  
19 treatment also allows the distinction of CpG from C<sup>m</sup>pG, the endogenous methylation status of  
20 the genome can also be obtained in the same sequencing reaction. It is important to note that in  
21 contrast to the induced GpC<sup>m</sup>, which represents nucleosome-free, open chromatin that is  
22 available for TF binding, the endogenous C<sup>m</sup>pG represents nucleosome-bound chromatin that is  
23 not available for TF binding. Open chromatin is expected to have high levels of GpC<sup>m</sup> but low  
24 levels of C<sup>m</sup>pG; thus, each of the two separate methylation analyses serve as independent (but  
25 opposite) measures which should provide matching chromatin designations (open vs. closed).  
26 C42B NOMe-seq data were generated as previously described (Rhie et al. 2018) and  
27 sequenced using an Illumina HiSeq 2000 PE 100bp to produce FASTQ files. FASTQ files of

1 MCF-7 NOME-seq data were obtained from GSE57498 (Taberlay et al. 2014). Each FASTQ file  
2 was aligned to a bisulfite-converted genome (hg19), using BSMAP (Xi and Li 2009) and  
3 processed as previously described (Rhie et al. 2018). To identify the methylation status of CpG  
4 sites (in all HCG trinucleotides) and GpC sites (in all GCH trinucleotides) from the BAM file, the  
5 Bis-SNP (Liu et al. 2012) program was used and the Bis-tools was used to visualize DNA  
6 methylation and accessibility signals (<https://github.com/dnaase/Bis-tools>) (Lay et al. 2015). For  
7 identification of NDRs (Supplemental Table S6), the findNDRs function in the aaRon R package  
8 was used (<https://github.com/astatham/aaRon>).

9  
10 **GSEA and Gene ontology (GO) analysis.** Differentially expressed genes upon ZFX  
11 knockdown are selected using FDR cutoff 0.05 and fold change cutoff 1.5 in C42B cells  
12 (Supplemental Table S4) and genes bound by ZFX were selected for GSEA and GO analysis.  
13 The differentially expressed genes were used to identify enriched gene sets using the GSEA  
14 (Gene Set Enrichment Analysis) tool (Subramanian et al. 2005). Hypergeometric test was used  
15 to calculate p-value, and false discovery rate (q-value) <0.05 was used to select significantly  
16 enriched gene sets. The same differentially expressed genes were analyzed for enrichment in  
17 particular GO categories using the TopGO program  
18 (<https://bioconductor.org/packages/release/bioc/html/topGO.html>). A Fisher's exact test was  
19 performed, and an adjusted p-value cutoff 0.05 was used to select statistically significantly  
20 enriched GO categories (Supplemental Fig. S7 and Supplemental Fig. S8E).

## 21 22 **DATA ACCESS**

23 All ChIP-seq, RNA-seq, and NOME-seq data generated in this study have been submitted to the  
24 NCBI Gene Expression Omnibus (GEO; <http://www.ncbi.nlm.nih.gov/geo/>) under accession  
25 number [GSE102616](https://www.ncbi.nlm.nih.gov/geo/acc/show/GSE102616)). Access to other publicly available datasets from GEO or ENCODE  
26 (Consortium 2012; Sloan et al. 2016) used in this study is detailed in Supplemental Table S1.

27

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9  
10 **AUTHOR CONTRIBUTIONS:** Conceived and designed the experiments: SKR and PJF.  
11 Designed and performed experiments in cell lines: LY, ZL, HW, SS, YG, and AP. Performed  
12 data analysis: SKR and LY. Wrote and edited the manuscript: SKR and PJF.

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