SMASH, a fragmentation and sequencing method for genomic copy number analysis

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Copy number variants (CNVs) underlie a significant amount of genetic diversity and disease. CNVs can be detected by a number of means, including chromosomal microarray analysis (CMA) and whole-genome sequencing (WGS), but these approaches suffer from either limited resolution (CMA) or are highly expensive for routine screening (both CMA and WGS). As an alternative, we have developed a next-generation sequencing-based method for CNV analysis termed SMASH, for short multiply aggregated sequence homologies. SMASH utilizes random fragmentation of input genomic DNA to create chimeric sequence reads, from which multiple mappable tags can be parsed using maximal almost-unique matches (MAMs). The SMASH tags are then binned and segmented, generating a profile of genomic copy number at the desired resolution. Because fewer reads are necessary relative to WGS to give accurate CNV data, SMASH libraries can be highly multiplexed, allowing large numbers of individuals to be analyzed at low cost. Increased genomic resolution can be achieved by sequencing to higher depth.

[Supplemental material is available for this article.]

Analysis of CNVs on a genomic scale is useful for assessing cancer progression and identifying congenital genetic abnormalities (Hicks et al. 2006; Sebat et al. 2007; Marshall et al. 2008; Xu et al. 2008; Levy et al. 2011; Stadler et al. 2012; Warburton et al. 2014; for review, see Malhotra and Sebat 2012; Weischenfeldt et al. 2013). CNVs are typically identified by microarray hybridization (Iafrate et al. 2004; Sebat et al. 2004) but can also be detected by next-generation sequencing (NGS). This is generally done using algorithms that measure the number of sequence reads mapping to specific regions (Alkan et al. 2009); consequently, the resolution of sequence-based copy number methods depends largely on the number of independent mappings. The current trend in NGS technologies is to increase the number of bases read per unit cost. This is accomplished by increasing the total number of sequence reads per lane of a flow cell, as well as increasing the number of bases within each read. Because the accuracy of copy number methods is driven by the quantity of unique reads, increasing the length of reads does not improve the resolution or decrease the cost of copy number analysis.

Most of the human genome is mapped well by short reads, on the order of 35–40 bp (Supplemental Fig. S1). At the moment, high-throughput sequencers with the greatest per base cost effectiveness are generating paired-end read lengths of 150 bp, well in excess of what suffices for unique mapping. In fact, variability in insert size and “mappability” of paired-end reads suggest that paired-end mapping is a poor choice for read-depth–based copy number analysis of WGS. To take advantage of current (and future) increases in read length and optimally utilize paired-end reads, we have developed SMASH to “pack” multiple independent mappings into every read pair. We accomplish this by breaking genomic DNA into small fragments with a mean length of ~40 bp. These fragments are joined together into chimeric stretches of DNA with lengths suitable for creating NGS libraries (300–700 bp).

SMASH is conceptually similar to serial analysis of gene expression (SAGE) (Velculescu et al. 1995), which utilized the generation of chimeric molecules of short cDNA-derived tags to provide a digital readout of gene expression. SMASH differs in that it (1) requires significantly longer tags than SAGE and its later variants (e.g., SuperSAGE) (Matsumura et al. 2008) due to the complexity of genomic DNA, and (2) utilizes mechanical shearing and/or enzymatic digestion to counteract restriction enzyme bias, creating highly variable fragments of genomic DNA.

The chimeric sequence reads generated by SMASH are processed using a time-efficient, memory-intensive mapping algorithm that performs a conservative partition of the long read into constituent fragments. The fragment maps are utilized in the same manner as read maps in downstream copy number analysis. For 125-bp paired-end reads, whole-genome sequencing (WGS) averages less than one map per read pair, whereas SMASH yields four to five. The quality of SMASH maps, i.e., the nonuniformities introduced by the sample preparation and sequencer and mapping bias, is of the same order as those seen with WGS mapping. Using correction and testing protocols optimized for WGS data, we show that on a map-for-map basis, SMASH generates read-depth copy number data that is virtually equivalent to WGS at a small fraction of the cost.

Results

Overview

The SMASH protocol (Methods) is illustrated in Figure 1. To obtain short fragments of genomic DNA, we first mechanically shear by sonication and then cut with two restriction endonucleases (REs). We then use bead purification to enrich for the target size range

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of ~40 bp (Fig. 1, right). To generate the long chimeric DNA molecules for sequencing, we then end-repair the short fragments and ligate them together. Barcoded sequencing adaptors are attached to the ends of the molecules so multiple libraries can be run together on a single lane. DNA fragments in the optimal size range (300–700 bp) are then selected by bead purification. This protocol is robust and reproducible, typically generating libraries with nearly identical distributions of fragment and chimera lengths (Supplemental Fig. S2). All data utilized in this work were generated using the initial protocol. Subsequently, an improved SMASH protocol (described further in the “alternate fragmentation” section of the Results) has been developed that substitutes digestion by dsDNA fragmentase for sonication and restriction digests, reducing the cost and time of sample preparation (Methods; Supplemental Table S1). For each sample, we counted the number of maps within each bin and then normalized bin counts for GC bias by a LOESS fit. We used data from whole blood-derived genomic DNA from two families as well as genomic DNA from SKBR3, a mammary cancer cell line. The families are from the Simons Simplex Collection (SSC), each with data from a mother, father, proband, and an unaffected sibling (Fischbach and Lord 2010).

To recover mapping information from the chimeric reads, we apply an algorithm and a set of heuristics, described briefly here (Fig. 2; Methods). We adapted sparseMEM (Khan et al. 2009), a program that uses suffix arrays to quickly determine all maximal almost-unique matches (or MAMs) between an NGS read and the reference genome. We use a heuristic to identify distinct and unambiguous matches (or “maps”) spanned by the read pair. The parameters of the heuristic balance the number of maps per read against the quality of the map assignment, and their optimization is described below. The mappings of a read pair provide a unique signature for each SMASH read, allowing easy identification and removal of PCR duplicates.

Our copy number detection algorithm is based on the distribution of map counts and requires that we first establish bin boundaries that partition the genome. We employ bins of expected uniform density, an idea that we first used in single-cell genomic copy number determination (Navin et al. 2011). Boundaries are chosen such that each bin contains the same expected number of maps when sequencing the reference genome with exhaustive coverage and perfect reads. SMASH and WGS have different distributions of expected map densities due to variation in map lengths. To conservatively judge the performance of SMASH, we selected bin boundaries suitable for WGS and mapped the reads in the optimal mode for WGS data: single-end reads using the first 76 bp of the read (Supplemental Table S1). For each sample, we counted the number of maps within each bin and then normalized bin counts for GC content by dividing all sample data by that reference and then dividing all remaining sample data by that reference (Levy et al. 2011). The resulting copy number segmentation
typically results in segment means that are low integer fractions, reflecting copy number in the sample. To obtain copy number profiles from the bin count data, we use the standard method of circular binary segmentation (Olshen et al. 2004).

Optimizing pipeline parameters

To measure performance precisely and choose parameters for pipeline processing, we compared the signal in bins on the X Chromosome to those on autosomes in male subjects. We also calculated (1) the median average deviation (MAD) of normalized bin counts to measure the magnitude of the noise and (2) the autocorrelation as a measure of trendiness in the data, an important risk factor for segmentation error. Signal to noise (“S/N”) is calculated as the difference in the medians of the autosomes and X Chromosome, divided by the square root of the sum of the squares of the MADs. We used these statistics to evaluate reference normalization and mapping algorithms and then to compare WGS to SMASH (Table 1).

We first considered the utility of applying reference normalization (Table 1, “ref. norm”). Dividing the GC-adjusted bin ratios by a standard sample bin ratio greatly improved performance for both WGS and SMASH (rows 1–4). Namely, reference normalization decreases autocorrelation up to 10-fold while increasing signal to noise.

Next we established a two-part, two-parameter (L:K) rule for accepting the map of a substring from a SMASH read to the reference genome (Fig. 2A). First, we find all substrings in a read that occur only once in the reference genome and such that the match cannot be extended. These are called “MAMs,” for maximal almost-unique matches (Methods). We demand a minimum match length, L, the first parameter. For the data shown here, L is 20 bp. To avoid false maps that arise by chimerism, we employ a second rule: We demand that a MAM of length M contains a substring that is not present in the reference genome.
We compute autocorrelation, medians, and median absolute deviation (MADs) for the autosomes and X Chromosomes in males, as well as the resultant signal-to-noise. The first four entries compare WGS and SMASH for the same bin resolution (100,000) and the same number of maps per bin (50). We show results with and without normalizing by a reference sample. SMASH and WGS have similar performance, and both methods reduce autocorrelation by reference normalization while maintaining signal to noise. The lower three entries compare SMASH performance using different rules for selecting valid maps (see text). Each SMASH instance operates on the same number of reads with the most lax rule (20:0) generating 117 maps per bin and the strictest rule (20:8) generating 53 maps per bin. The best signal to noise is obtained with the 20:4 rule.

Comparing WGS to SMASH profiles under optimized pipeline parameters

We compared the performance of WGS and SMASH as described above. We consider different total numbers of bins (from 50,000 to 500,000) and different mean numbers of maps per bin (20, 50, and 100), collecting statistics for signal to noise and autocorrelation. Both WGS and SMASH have very similar performance characteristics (Table 2). WGS, map for map, slightly outperforms SMASH. When we choose bin boundaries such that the reference sample has the same number of maps in each bin, the signal-to-noise ratio improved for both SMASH and WGS, and the difference between them narrowed substantially (Supplemental Table S3).

As the number of bins increases, the signal to noise diminishes: for SMASH it decreases from 5.6 at 50K bins to 4.0 at 500K bins. Similar degradation of signal occurs for WGS. We hypothesized that this was the result of using the same total number of reference maps for normalization, independent of the number of bins. Therefore, as the number of bins increases, the number of reference maps per bin diminishes, increasing the variance of the normalized ratio. To test if this was the case, we performed reference normalization—this time matching the total number of reference maps to the total number of sample maps. When we did this, there was virtually no degradation of signal to noise as the bin number increased (Supplemental Table S4).

Finally, we compared the actual profiles of samples using SMASH and WGS. We used bins optimized for WGS and the

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### Table 2. WGS and SMASH by number of bins and maps

<table>
<thead>
<tr>
<th>Type</th>
<th>Number of bins</th>
<th>Maps per bin</th>
<th>Autosomal autocorrelation</th>
<th>Autosomal median</th>
<th>X Chromosome median</th>
<th>Autosomal MAD</th>
<th>X Chromosome MAD</th>
<th>Signal to noise</th>
</tr>
</thead>
<tbody>
<tr>
<td>SMASH</td>
<td>50,000</td>
<td>20</td>
<td>-0.002</td>
<td>2.032</td>
<td>1.111</td>
<td>0.297</td>
<td>0.218</td>
<td>2.497</td>
</tr>
<tr>
<td>WGS</td>
<td>50,000</td>
<td>20</td>
<td>0.000</td>
<td>2.031</td>
<td>1.072</td>
<td>0.295</td>
<td>0.208</td>
<td>2.659</td>
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<td>50</td>
<td>0.006</td>
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<td>1.068</td>
<td>0.194</td>
<td>0.140</td>
<td>3.933</td>
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<td>50</td>
<td>0.000</td>
<td>2.007</td>
<td>1.032</td>
<td>0.191</td>
<td>0.135</td>
<td>4.173</td>
</tr>
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<td>100</td>
<td>0.009</td>
<td>2.002</td>
<td>1.056</td>
<td>0.141</td>
<td>0.100</td>
<td>5.487</td>
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<tr>
<td>WGS</td>
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<td>100</td>
<td>0.008</td>
<td>2.002</td>
<td>1.019</td>
<td>0.138</td>
<td>0.095</td>
<td>5.861</td>
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<td>1.108</td>
<td>0.298</td>
<td>0.224</td>
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<td>2.010</td>
<td>1.071</td>
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<td>0.146</td>
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<tr>
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<td>50</td>
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<td>2.008</td>
<td>1.032</td>
<td>0.194</td>
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<tr>
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<tr>
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<td>0.019</td>
<td>2.002</td>
<td>1.021</td>
<td>0.143</td>
<td>0.099</td>
<td>5.633</td>
</tr>
<tr>
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<td>20</td>
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<td>2.033</td>
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<td>1.059</td>
<td>0.181</td>
<td>0.122</td>
<td>4.319</td>
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<tr>
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<td>2.003</td>
<td>1.023</td>
<td>0.177</td>
<td>0.114</td>
<td>4.649</td>
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</tbody>
</table>

We compute the same performance statistics as in Table 1, comparing SMASH and WGS over a range of resolutions (50K, 100K, and 500K) and coverage (20, 50, and 100 maps per bin).
map selection rules discussed earlier. We analyzed genomic DNA from two families using reference normalization (Fig. 3) and one cancer cell line without reference normalization (Fig. 4). For comparison, we sampled an equal number of maps from both WGS and SMASH. Across all scales of genome resolution—whether looking at normalized bin counts or segmented data—the profiles from the two methods appear very similar. In both figures, we show 10 million maps distributed into 100,000 bins. Parental transmission patterns appeared largely Mendelian (Fig. 3A). This is illustrated clearly in Figure 3B, which zooms in to show the transmission of a deletion from the father to an unaffected sibling. Whereas the global segmentation patterns generated by SMASH and WGS are not completely identical, much of the variation has to do with segmentation itself. When we look at bin concordance, WGS and SMASH are exceedingly similar (Fig. 3C).

Both WGS and SMASH yielded approximately the same integer-valued copy number profile for the cancer cell line SKBR3 (Fig. 4A). To illustrate the concordance between the data, we zoom in to a single chromosome with extensive genomic copy number variation (Fig. 4B). Again, the bin-for-bin LOESS-adjusted ratios are largely concordant (Fig. 4C). A detailed segment-by-segment comparison of WGS and SMASH across these profiles is provided in the Supplemental Material (Supplemental Table S5). The correlation coefficient between SMASH and WGS is in excess of 0.95 after trimming high copy number outlier segments (<0.01% of the data).

An alternate fragmentation protocol for SMASH

The initial SMASH protocol combined sonication and RE digestion. We attempted to devise a simpler protocol to yield a tighter fragment length distribution and increase the randomness of SMASH maps. For this purpose, we digested genomic DNA with dsDNA fragmentase (NEB), a combination of enzymes that randomly generates nicks on dsDNA and then cuts the DNA strand opposite the nick, producing dsDNA breaks (Supplemental Methods). Using recommended conditions, we readily obtained fragment lengths with a tighter size distribution and somewhat shorter lengths than those obtained by sonication and RE cleavage. We could readily ligate the fragments and size-select chimeras to an optimal length for sequencing (Supplemental Fig. S3). We then compared this protocol (“SMASH2”) to our initial protocol on genomic DNA from the cancer cell line SKBR3, without normalization. The copy number profiles generated by the two methods were virtually identical (Supplemental Fig. S4). The average number of maps increases by more than one per read pair with the SMASH2 method (Illumina NextSeq 500, 2 × 150 bp run mode). We believe the improvement is due to more precise sizing achievable in this protocol.

Discussion

SMASH has one clear advantage over standard WGS for obtaining copy number information: each sequence read is packed with multiple independent mappings, increasing the information density per read and thereby lowering the cost per sample. Map for map, SMASH is comparable in quality to WGS with respect to copy number profiling. While employing longer reads in WGS may yield additional structural information, such as the breakpoints of copy number events, small-scale indels and point mutations, the identification of these elements requires orders of magnitude more coverage than what is needed for copy number analysis. For detecting CNVs several kb and larger, the choice should be driven by cost.
Our observations on WGS read length and copy number measurement suggest that the most cost-efficient WGS sequencing mode for CNVs would employ shorter reads than SMASH. Based on current systems in widespread usage (e.g., the Illumina HiSeq 2500) on which samples can be multiplexed, the most cost-effective mode currently available for effective genomic mapping of WGS reads is 1 × 36 bp in high-output run mode (Supplemental Table S6). For SMASH, the most optimal mode on this instrument is the 2 × 125 bp high-output format. The relative costs of producing “standard” WGS and SMASH libraries vary by <10% (SMASH2 protocol). Based on these criteria and our sequencing costs (which would be expected to scale similarly at larger genome centers), SMASH can produce copy number data at 20-kb resolution for ~55% of the total cost of WGS, and <50% at higher resolution (Supplemental Table S6). With longer read lengths such as 2 × 150 bp available on newer production-scale instruments such as the HiSeq 3000/4000, this cost advantage is likely to grow further.

We have invested significant effort in optimizing the design of the SMASH protocol and algorithms. These include rules for mapping SMASH reads, normalizing bin counting, and methods for fragmentation. With the simplified SMASH2 protocol, we obtain more maps per read pair with comparable resolution on a map-for-map basis. Further improvements are possible, especially in algorithmic development. For example, in this iteration of SMASH, we have ignored fragments that map to duplicated regions of the genome. As these areas will be prone to copy number variation, future versions should include such maps in the analysis.

For most of the analysis of maps, we used bin boundaries determined for WGS so that we could directly compare SMASH to WGS under conditions favoring the latter. However, we have shown that the optimal bin boundaries are those derived empirically to yield uniform map counts (Supplemental Table S4). From such work, it is clear that increasing the coverage of the reference sample used for normalization will improve signal-to-noise ratios for all samples. We do not yet see a lower limit to the level of resolution that can be obtained.

The protocols and sequencing depths demonstrated in this paper are sufficient to identify CNVs of >10 kb, generating higher resolution profiles than the arrayCGH platforms currently in common use. Further, while the SMASH method at present requires 200 ng of genomic DNA, more than is needed for WGS, this is less than the requirements for arrayCGH. As such, the SMASH protocol presented here is quite competitive with the current standard of care for pediatric genetics.

For a given sequencing instrument, the resolution of SMASH (like WGS) is unlimited and scales with the number of reads. But in the future, advances in sequencing technology that reduce unit cost per base pair will likely be driven by increasing read lengths. For copy number inference, this means a continued decline in the number of maps per base sequenced from WGS. However, SMASH, even with existing sequencers, can yield four to six times as many maps per read as standard WGS. Thus, SMASH can reduce the costs of testing in prenatal, pediatric, and cancer genetics, allowing more patients to be tested at lower cost.

Methods
DNA materials
Genomic DNA used in this study was from two sources. The first source was SKBR3, a human breast cancer cell line. The second source was whole blood-derived DNA from two families of the Simons Simplex Collection (SSC).

SMASH protocol
The amount of genomic DNA required for SMASH is variable. We tested three different genomic DNA inputs—200 ng, 500 ng, and 1 μg—and successfully constructed high-quality libraries for all three conditions. In this study, we used 1 μg of DNA as starting material from all the samples. DNA was diluted in 1× Tris buffer
fragments were eluted in 30 µL H2O. The average length of DNA was determined by Bioanalyzer 2100 (Agilent Technologies). These DNA fragments were end-repaired by T4 DNA polymerase (NEB), DNA polymerase I (large Klenow fragment, NEB), and T4 polynucleotide kinase (NEB) at RT for 30 min. The polished DNA fragments were purified by QIAquick nucleotide removal kit (Qiagen) and eluted in 30 µL H2O. The final eight cycles of PCR amplification by Klenow fragment (3′ to 5′ exo, NEB) at 37°C for 30 min. After purification by 1.6× AMPure XP beads, barcode sequencing adapters (Illumina) were ligated to the DNA fragments by quick ligation. This allowed us to multiplex samples on sequencing lanes. DNA fragments were again purified by 1.6× AMPure XP beads and eluted in 50 µL H2O. This size-selection step was carried out to enrich for DNA fragments within the ideal Illumina sequencing length range of 300–700 bp. First, 0.6× (30 µL) AMPure XP beads was added into 50 µL of purified DNA. After incubation at RT for 5 min, supernatant was collected. Eight microliters (0.16× the original 50 µL) of AMPure XP beads was added and mixed well with the supernatant. This mixture was incubated at RT for 5 min. After two washes with 180 µL of 80% ethanol, DNA fragments were eluted in 30 µL H2O. The final eight cycles of PCR amplification were carried out on this DNA using Illumina sequencing adapters in 1× Phusion high-fidelity PCR master mix with HF buffer (NEB). DNA libraries were quantitated on the Bioanalyzer and diluted to a concentration of 10 nM. Sequencing was performed on the HiSeq 2000 (2 × 101 bp run mode, Illumina) for libraries prepared from the SKBR3 cell line.

Determining maps
We mapped WGS and SMASH data to the GATK b37 genome. For WGS, we clipped read 1 to 76 bp, mapped it using Bowtie (Langmead et al. 2009), and then filtered duplicate reads using SAMtools (Li et al. 2009). For SMASH (after the mapping procedure described below), we used the multiple-MAM signature of each read pair to filter duplicates. For both methods, we established bins and counted unique mappings for Chromosomes 1–22, X, and Y. To prepare for mapping SMASH data, we modified the sparseMEM package (Khan et al. 2009) to increase the maximum genome size from 2.147 × 10^12 to an essentially unlimited value, and we removed the sparse functionality to increase program speed and decrease complexity. We added features (1) to save the various suffix array index structures to disk; (2) to read suffix array index structures in for subsequent runs using memory-mapping; (3) to distribute reads to the parallel query threads to avoid multiple parsing of the input; and (4) to read several query files in parallel. We also added options to read input data from FASTQ and SAM files, to output mappings and nonmapping reads in SAM and custom binary formats, and to simultaneously map to the genome and its reverse complement to avoid a maximal exact match (MEM) pruning step. The resulting software package is called longMEM for its ability to handle longer genomes. For 10 million read pairs (2 × 150 bp), at peak use, the longMEM mapper employed 117 GB of RAM and 12 threads for parallel processing on our computational cluster (Intel Xeon 2690 CPUs). Mapping and map selection required 40 min. For subsequent processing steps including binning, only a single thread was necessary, and the task was completed in 2 min.

Using longMEM, we searched for MAMs, which are maximally extended subsequences in query reads that match uniquely within the reference and its reverse complement but may be repeated in the query. For query reads of length Q and a reference of length R, we find all MAMs in the query in O(Q × [Q + log(R)]) time using the reference, the suffix array, its inverse, and an LCP (longest common prefix) table. Most tags comprising SMASH reads result in MAMs that are suitable for copy number analysis. The exceptions are fragments that are not present in the reference due to blocking read errors or mutation, as well as those that are too short to be uniquely mapped to their origin. In addition to acceptable MAMs, junctions between adjacent tags in SMASH sometimes result in false MAMs. To filter spurious MAMs, we apply a two-parameter filtering rule (L:K). A MAM passes the (L:K) filter if it (1) is at least L base pairs in length and (2) would still map uniquely to the genome if reduced in length by K base pairs from either end. An additional filter ensures that read pairs contain no MAMs within 10 kb of another. This avoids double counting of fragments containing indels or SNPs, as well as fragments that span both ends of the read pair.

Binning, normalization, copy number, and signal to noise
We divided the autosomes and the X and Y Chromosomes into 50,000, 100,000, and 500,000 WGS-optimized bins by mapping every 50-mer in the reference with Bowtie and adjusting bin boundaries so that each bin had the same number of uniquely mapped reads assigned to it (±1). We assigned an equal number of mappings from SSC WGS and SMASH data to bins and added one count to each total. We normalized counts to set the mean of all autosome bins to one and then performed LOESS on the normalized autosome to correct for GC content. After bin-wise summation across samples, we selected “bad” bins based on upward copy number deviation from the chromosome median exceeding a MAD-based limit using a Bonferroni-corrected P-value of 0.05.

We sampled SSC and SKBR3 mappings at 20, 50, 100, and up to 1000 per bin (when available) and assigned them, excluding bins marked as “bad.” We performed bin-wise normalization of sample counts using an unrelated male reference sample at high coverage. We normalized and GC-corrected the ratio data and then segmented the result using circular binary segmentation (Olshen et al. 2004) with the minimum segment length and alpha parameters set to three and 0.02, respectively. We adjusted the segmented profiles by varying the overall scale and offset within expected bounds to find the best quantal fit.

We defined SSC sample signal to noise for SMASH and WGS as the autosome median minus the X Chromosome median unquantized ratio, divided by its measured MAD-based noise for male samples using a female reference sample (when performing reference normalization).
Data access

All data sets from this study have been submitted to the NCBI Sequence Read Archive (SRA; http://www.ncbi.nlm.nih.gov/sra/) under accession number SRP069815. Source code, including longMEM, SMASH mapping heuristics, bin boundaries, map counting, and copy number profiling, is available for download at https://github.com/docpaa/smash-paper and in the Supplementary Material.

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**Supplemental Material**
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